The Performance of 3G and 4G Cellular Systems

Markus Rupp
Sebastian Caban, Christian Mehlführer, Stefan Schwarz

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Contact email: mrupp@nt.tuwien.ac.at
web: http://www.nt.tuwien.ac.at

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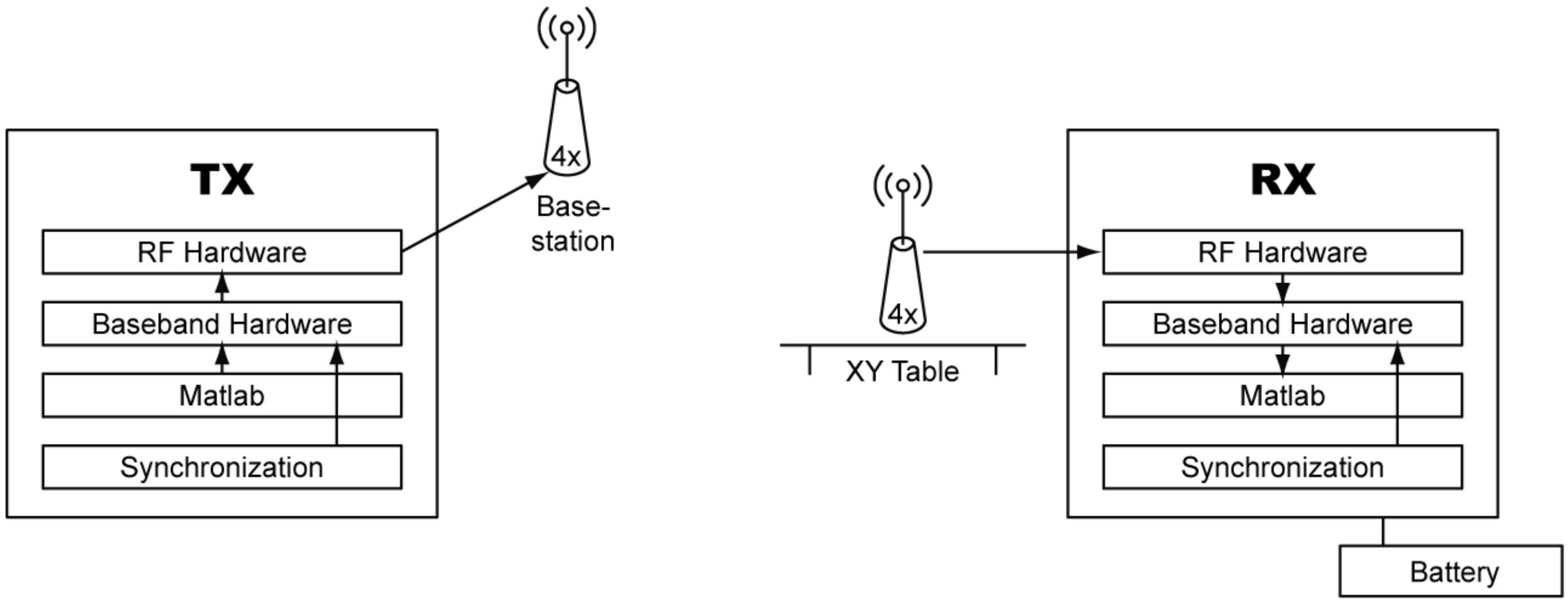
Evaluating MIMO radio communication

- theoretically
- by pure simulation
- by channel sounding
- utilizing a testbed
- utilizing a prototype
- using the final product

degree of realism

effort

MIMO Testbed [T1,T2]



Data is created and evaluated in Matlab ...

Number of Antennas:	**4x4→12x4**
Bandwidth:	**5 MHz→20MHz**
Center Frequency:	**2.5 GHz**

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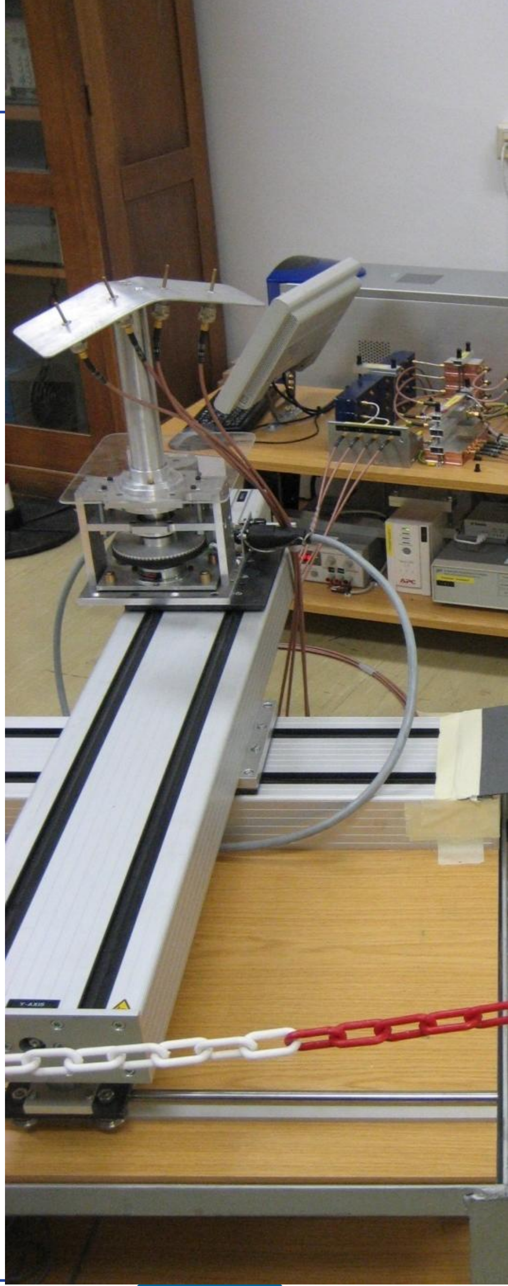
MIMO Testbed [T1,T2]

- **MIMO WiMAX 802.16-2004**
  OFDM physical layer
  - including channel coding and decoding
  - SISO and MIMO
- **MIMO HSDPA (TxAA, DTxAA)**
  CDMA physical layer
  - including channel coding and decoding
  - SISO and MIMO
- **MIMO LTE (new)**
  - also MU, multi BS



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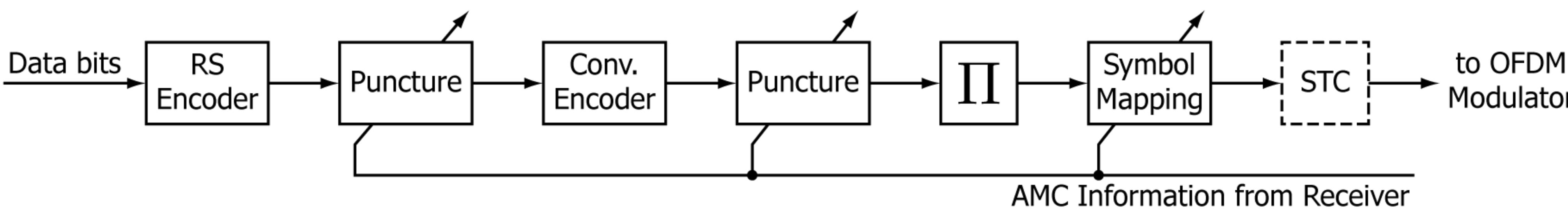
The Vienna Wireless Testbed 2011

Outline

- MIMO Testbed
- WiMAX in Brief
  - Losses in WiMAX
- HSDPA in Brief
  - signal generation and reception
- LTE in Brief
- Comparisons HSDPA vs. WiMAX
- Further Comparisons to LTE
- Conclusion

Adaptive Modulation and Coding (AMC)

- Encoding
  - concatenated Reed-Solomon / convolutional code
  - puncturing depending on AMC information
  - optional block/convolutional turbo coding
  - Alternatively: LDPC coding
- Adaptive symbol mapping
- Optional Alamouti space-time coding

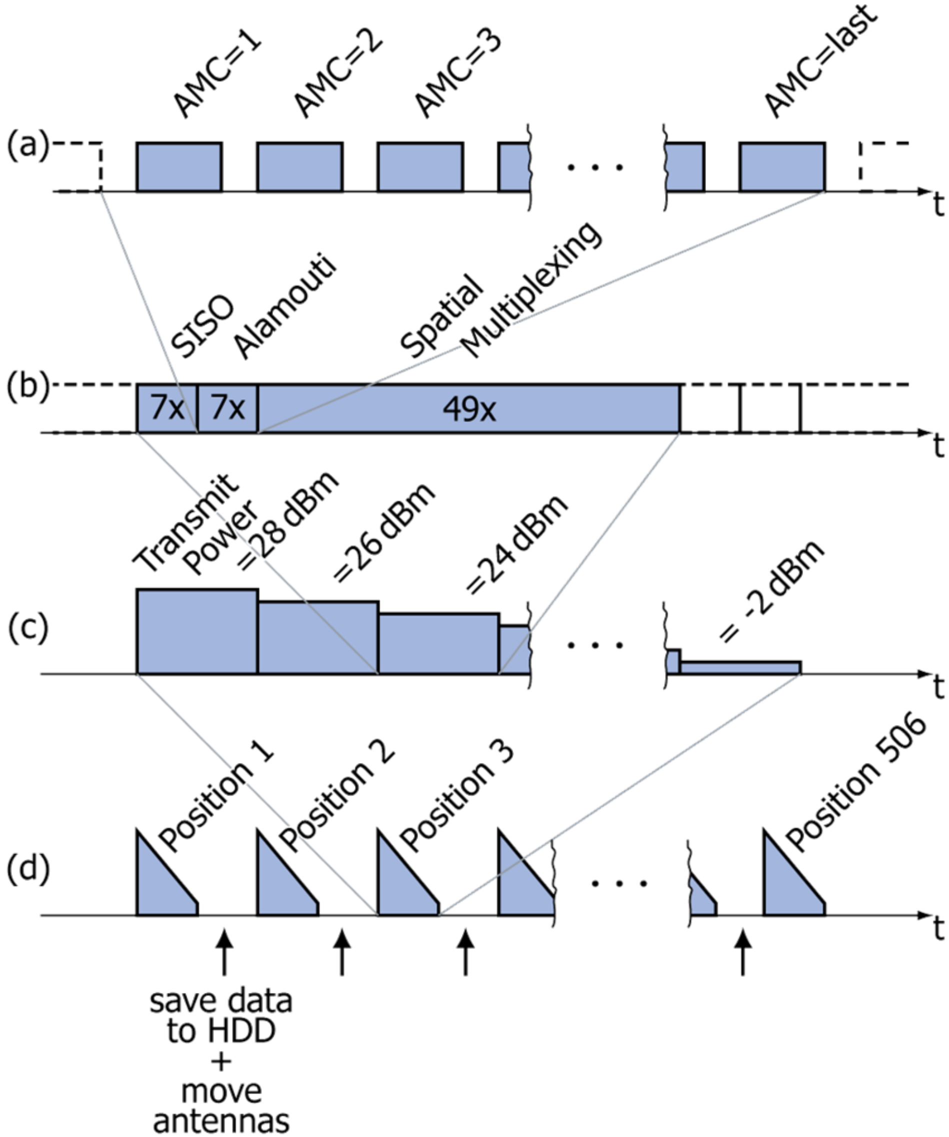


Adaptive Modulation and Coding (AMC)

AMC value	Modulation	RS Code Rate	CC Rate	Overall Code Rate
1	2-PAM	1	1/2	1/2
2	4-QAM	3/4	2/3	1/2
3	4-QAM	9/10	5/6	3/4
4	16-QAM	3/4	2/3	1/2
5	16-QAM	9/10	5/6	3/4
6	64-QAM	8/9	3/4	2/3
7	64-QAM	9/10	5/6	3/4

3bit feedback

Block Transmission [W2]



1. SIMO, 7 AMC schemes, 3 bit feedback
2. MIMO with Alamouti, 7 AMC schemes, 3 bit feedback
3. MIMO with spatial multiplexing, **same** coding scheme on both antennas, 3 bit feedback
4. MIMO with spatial multiplexing, **individual** coding schemes on both antennas, 6 bit feedback

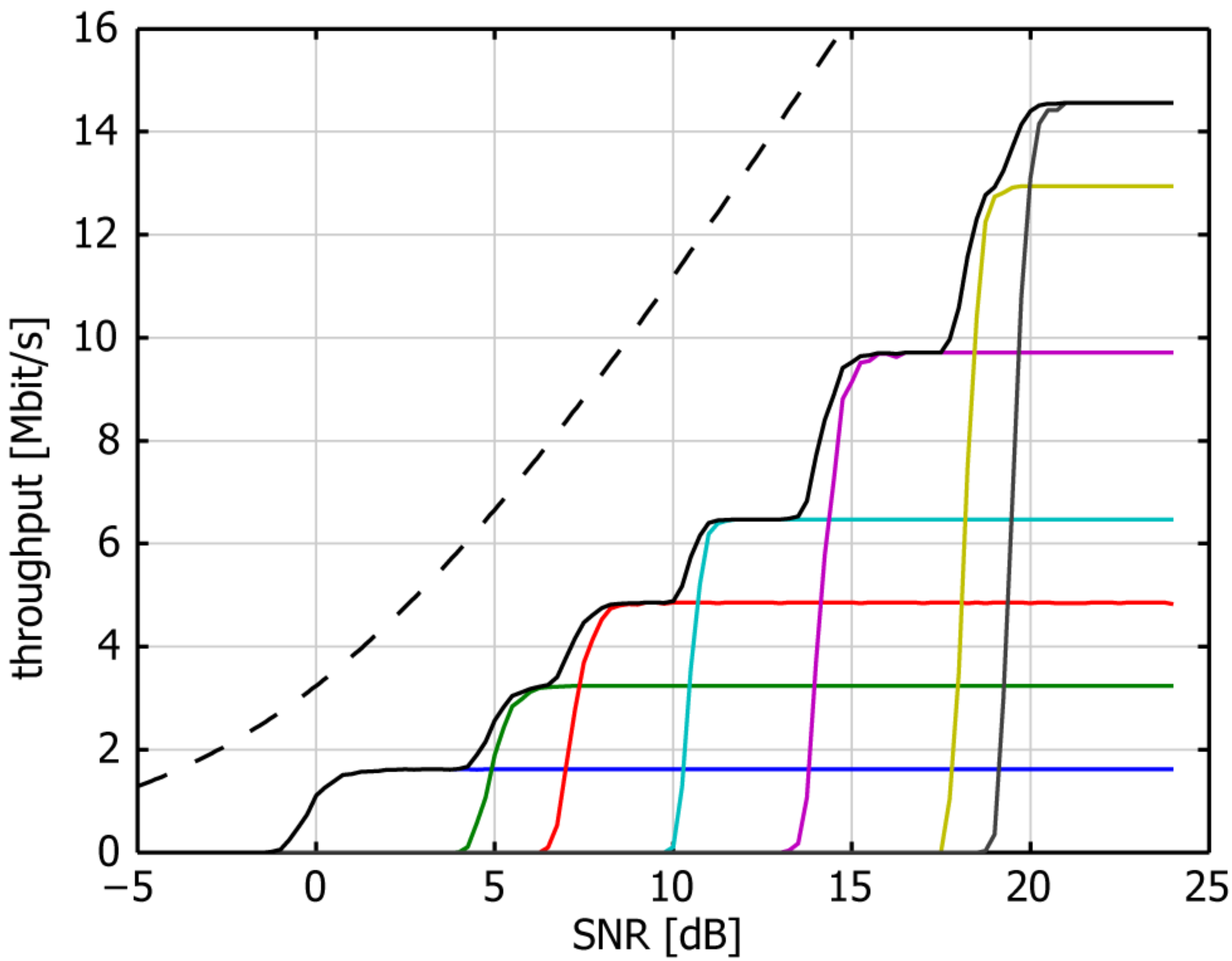
Losses

- WiMAX does not reach Shannon bound because of
  - Channel estimation losses
  - Coding losses

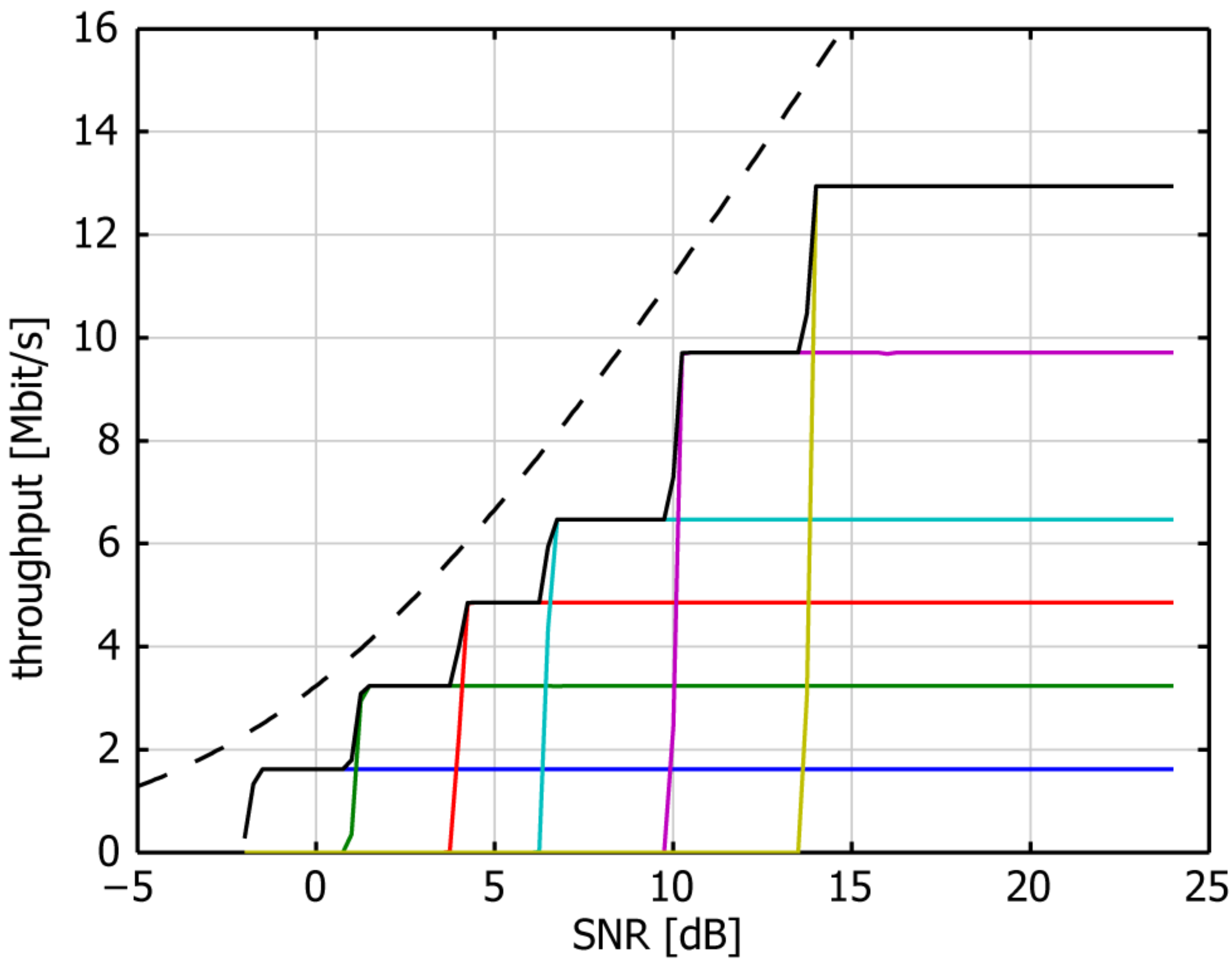
- SNR Gain of Improved Channel Estimators over the LS Estimator [W1]

Scenario 1	LMMSE	genie-driven
1x1 SISO	0.6 dB	1.2 dB
2x1 Alamouti	1.8 dB	2.9 dB
1x2 SIMO	0.5 dB	1.2 dB
2x2 Alamouti	1.9 dB	3.2 dB
2x2 Spatial Multiplexing (3 bit)	1.4 dB	2.4 dB
2x2 Spatial Multiplexing (6 bit)	1.1 dB	2.2 dB

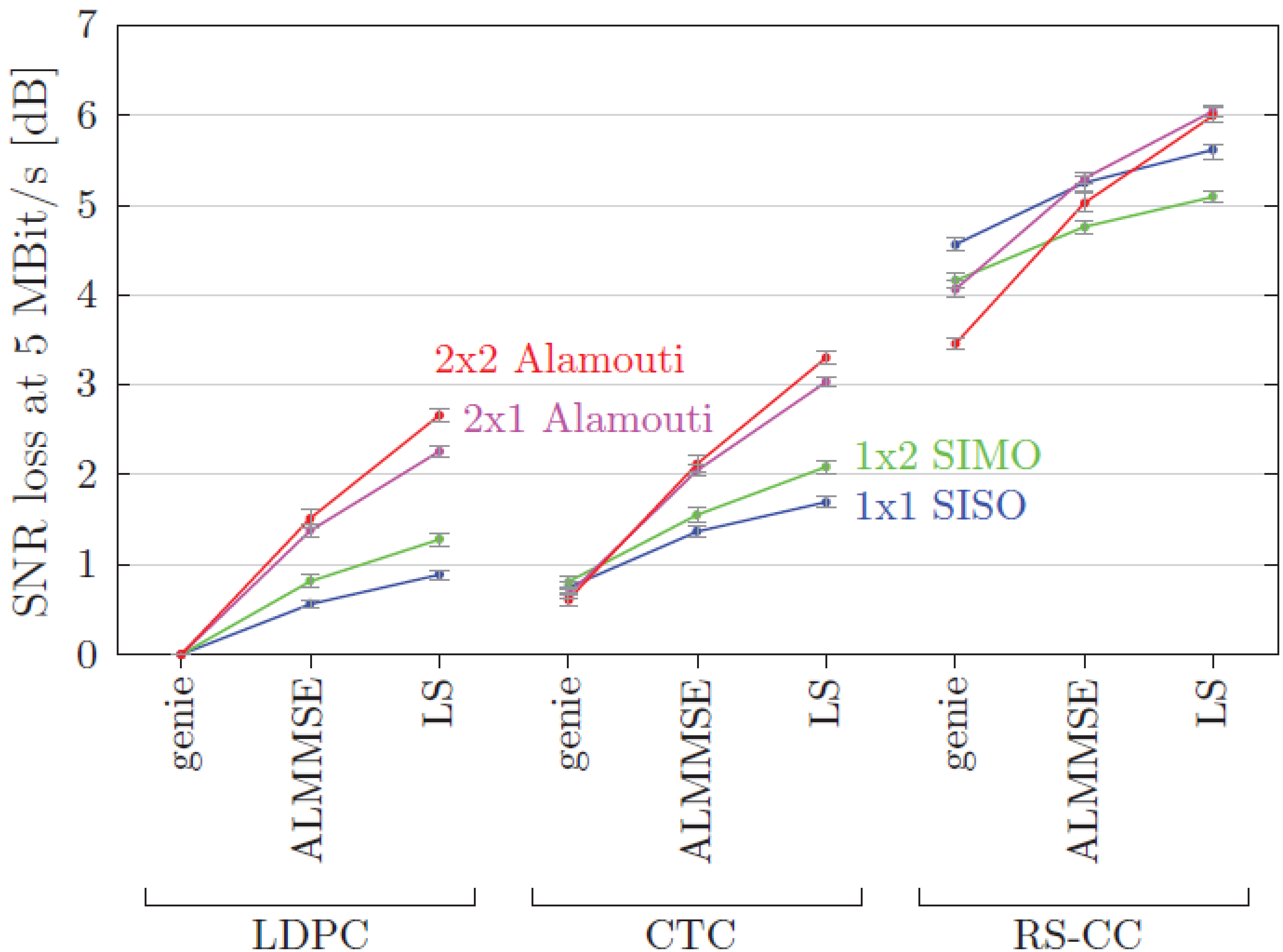
AWGN Performance of the Reed Solomon-Conv.Coder



AWGN Performance of LDPC codes



Losses in WiMAX



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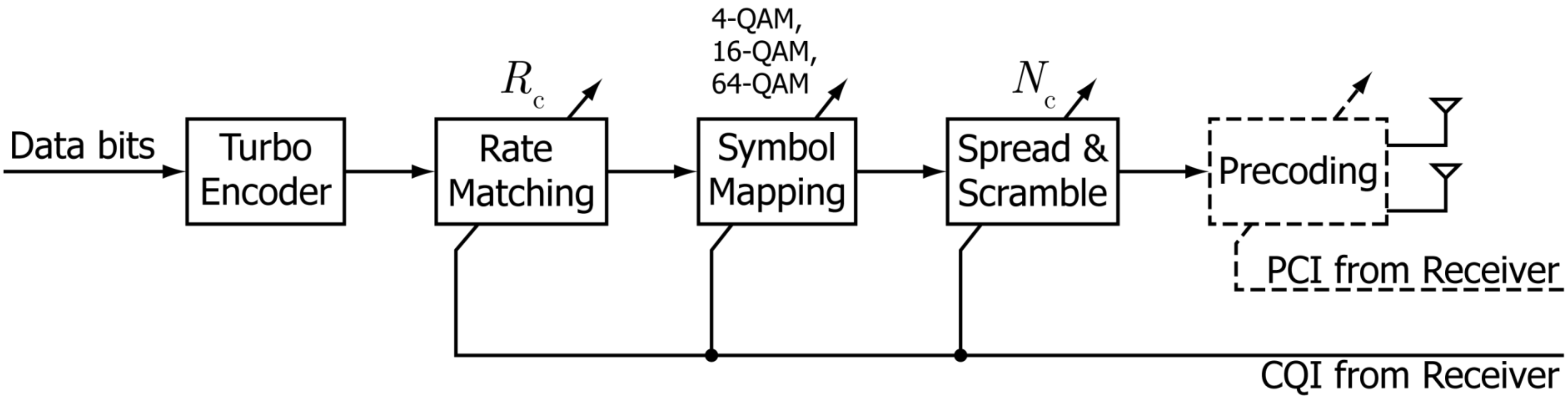
HSDPA Overview:
Adaptive Modulation and Coding (AMC)



- Channel adaptation is performed by means of
  - a Channel Quality Indicator (CQI) and
  - a Precoding Control Indicator (PCI) when two transmit antennas are available

CQI: 30 values=5bit/15 values=4bit for DTxAA
PCI: 2 bit/4bit for DTxAA

Significantly more Feedback

- HSDPA Parameters: CQI,PCI

- Measurement not possible with quasi-static assumption
  - Mini receiver solution (computes the post equalization SINR)

- HDSPA Losses:
  - channel estimation,
  - successive interference cancellation required due to non–orthogonal synch codes
  - High self interference

SINR Estimation in Minireceiver [H5,C6,C9]

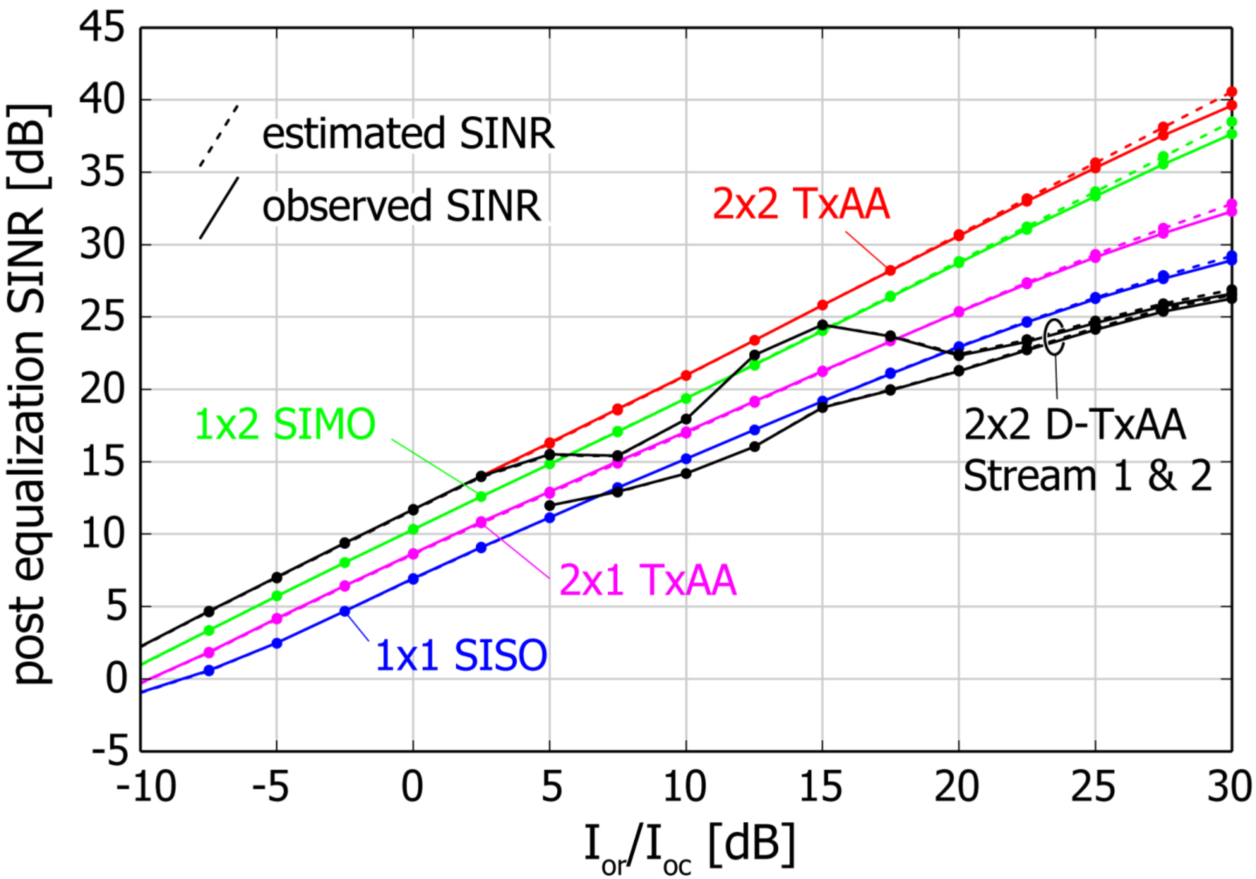
$$\mathrm{SINR}_{\mathrm{est}} = \frac{P_s}{\sigma^2_{n'} + P_{\mathrm{ISI}} + P_{\mathrm{INT}}}$$

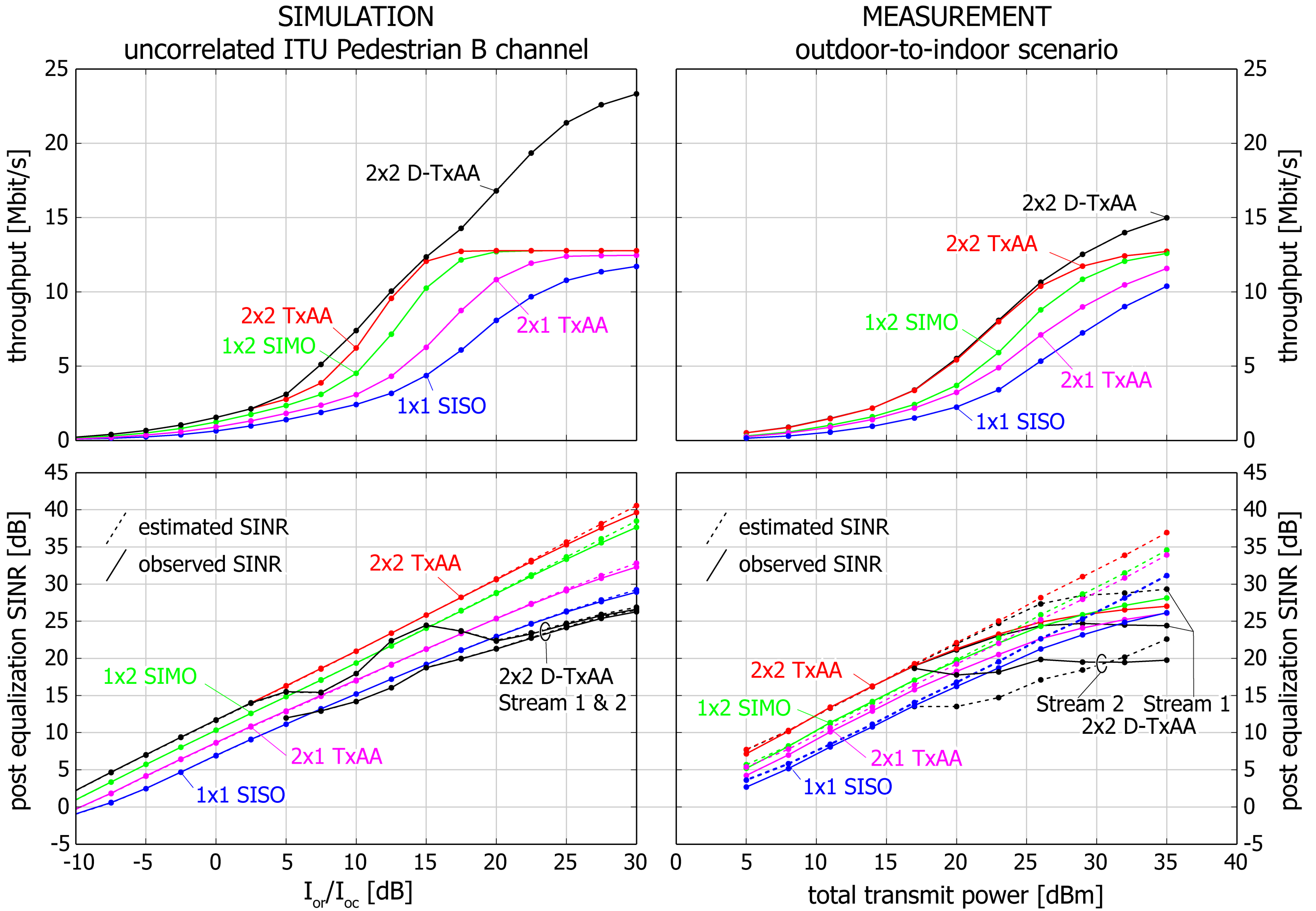
The post equalization SINR is given by

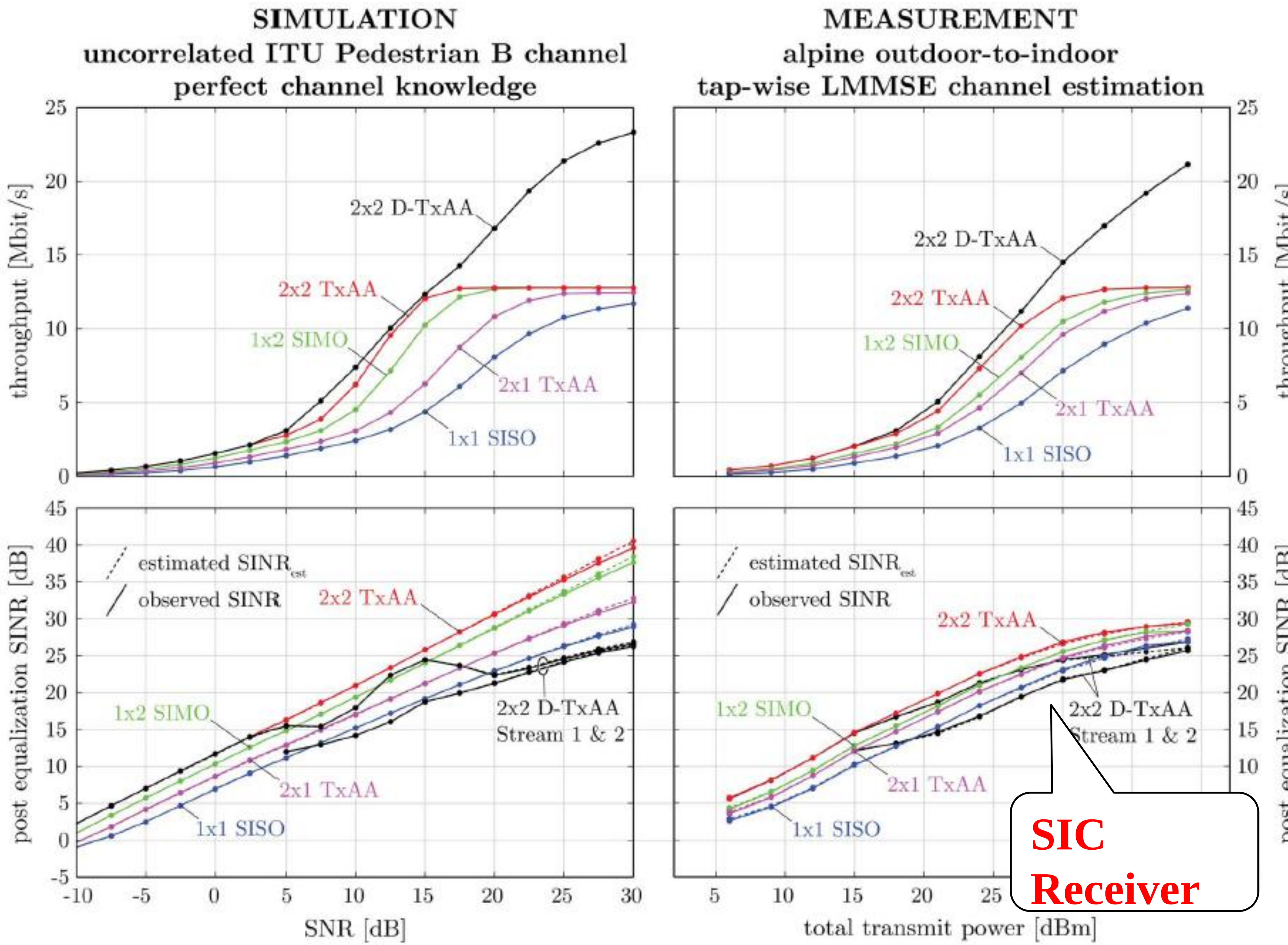
- the signal power P_s
- the noise at the output of the equalizer $\sigma^2_{n'}$
- the remaining inter-symbol interference P_{ISI}
- the interference caused by spatially multiplexed streams sharing the same scrambling and spreading codes P_{INT}

SINR is calculated for all possible precoding vectors and mapped to the supported CQI values. The precoding vector maximizing the transport block size is selected.

Verification of the SINR Estimation in the Simulation [H5,C9]




SIMULATION
uncorrelated ITU Pedestrian B channel
MEASUREMENT
outdoor-to-indoor scenario
throughput [Mbit/s]
2x2 D-TxAA
2x2 TxAA
1x2 SIMO
2x1 TxAA
1x1 SISO
post equalization SINR [dB]
estimated SINR
observed SINR
2x2 D-TxAA
Stream 1 & 2
Stream 2
Stream 1
I_{or}/I_{oc} [dB]
total transmit power [dBm]


SIMULATION
uncorrelated ITU Pedestrian B channel
perfect channel knowledge
MEASUREMENT
alpine outdoor-to-indoor
tap-wise LMMSE channel estimation
throughput [Mbit/s]
2x2 D-TxAA
2x2 TxAA
1x2 SIMO
2x1 TxAA
1x1 SISO
post equalization SINR [dB]
estimated SINR_est
observed SINR
2x2 D-TxAA
Stream 1 & 2
SNR [dB]
total transmit power [dBm]
SIC
Receiver

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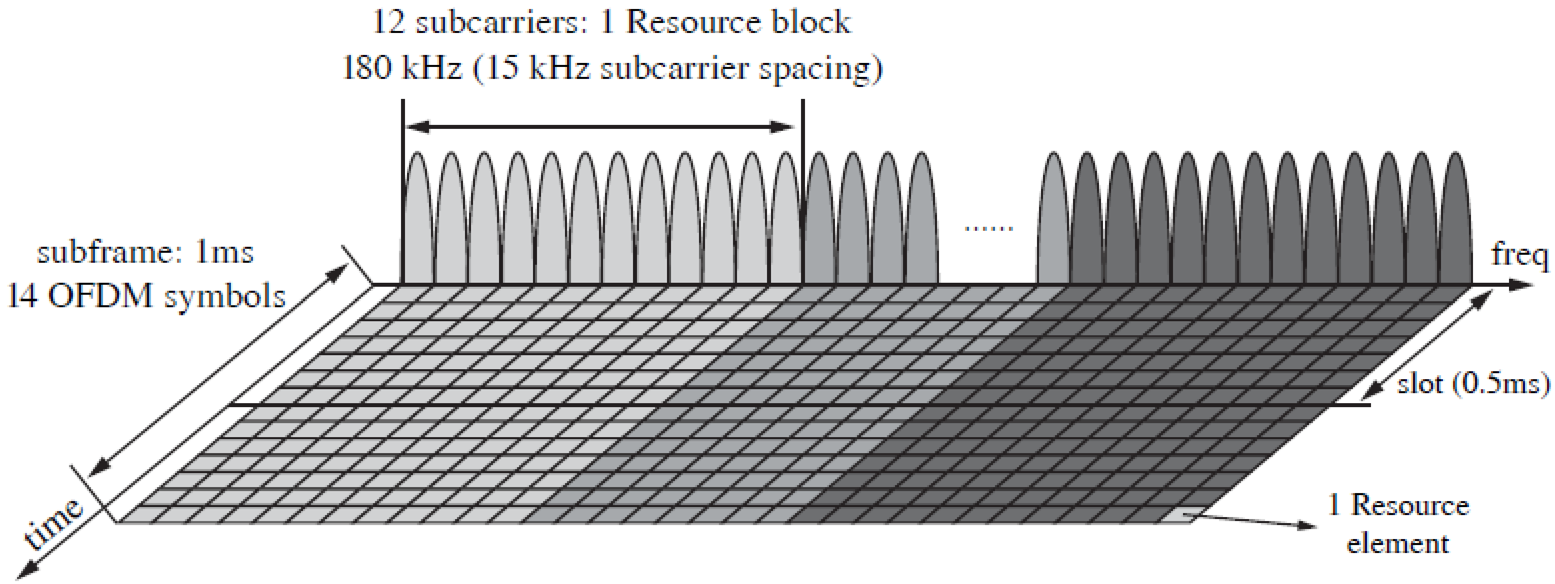
LTE in Brief [L1,L2,…,L14]

- Similar to WiMAX, LTE is an OFDM system



- It offers AMC by
  - CQI (15 values=4bit/31 values =5 bit)
  - PMI (15 values=4bit)
  - RI (2 bit)
  - →Minireceiver required again

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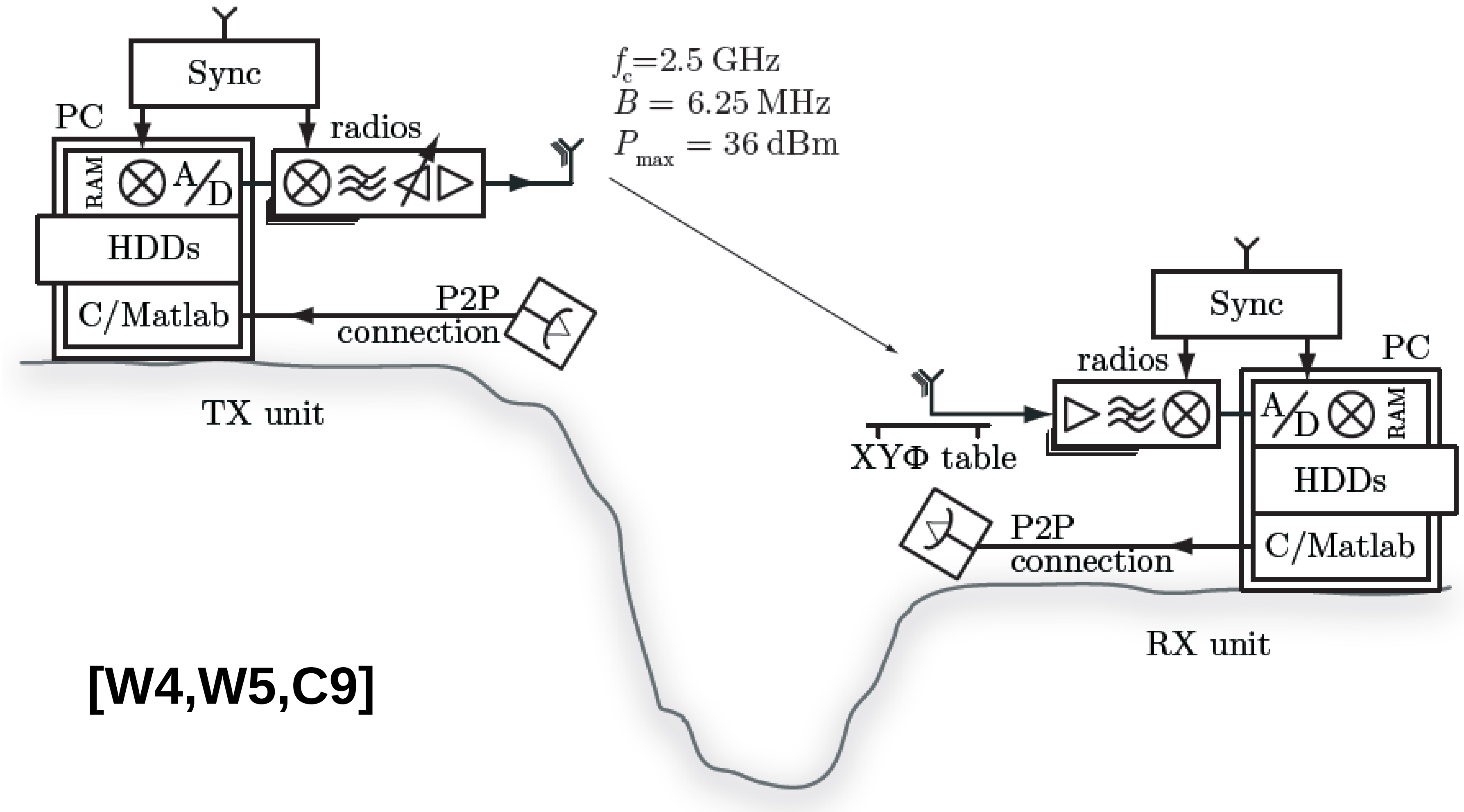
Two Measurement Campaigns: Alpine and Urban



[W4,W5,C9]

Two Measurement Campaigns: Alpine and Urban

Two Measurement Campaigns: Alpine and Urban

[C1,C2,C3,C4,C5,C6,C7,C8,C9]

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Channel Capacities

Capacity (Shannon, Foschini&Gans, Telatar)

$$C(P_{\mathrm{Tx}}) = \max_{\sum \mathrm{tr}\{\mathbf{R}_k\} \leq K} \frac{B}{K} \sum_{k=1}^{K} \log_2 \det \left(\mathbf{I} + \frac{P_{\mathrm{Tx}}}{\sigma_{\mathrm{n}}^2 N_{\mathrm{T}}} \mathbf{H}_k \mathbf{R}_k \mathbf{H}_k^{\mathrm{H}} \right)$$

Mutual Information (constrained capacity)

$$I(P_{\mathrm{Tx}}) = \frac{B}{K} \sum_{k=1}^{K} \log_2 \det \left(\mathbf{I} + \frac{P_{\mathrm{Tx}}}{\sigma_{\mathrm{n}}^2 N_{\mathrm{T}}} \mathbf{H}_k \mathbf{H}_k^{\mathrm{H}} \right)$$

Achievable Mutual Information (constrained by Standard)

$$I_{\mathrm{a}}(P_{\mathrm{Tx}}) = \max_{\mathbf{W} \in \mathcal{W}} \frac{\beta B}{K} \sum_{k=1}^{K} \log_2 \det \left(\mathbf{I} + \frac{\alpha P_{\mathrm{Tx}}}{\sigma_{\mathrm{n}}^2 N_{\mathrm{T}}} \mathbf{H}_k \mathbf{W} \mathbf{W}^{\mathrm{H}} \mathbf{H}_k^{\mathrm{H}} \right)$$

Throughput Losses

- Channel State Information (CSI) Loss:

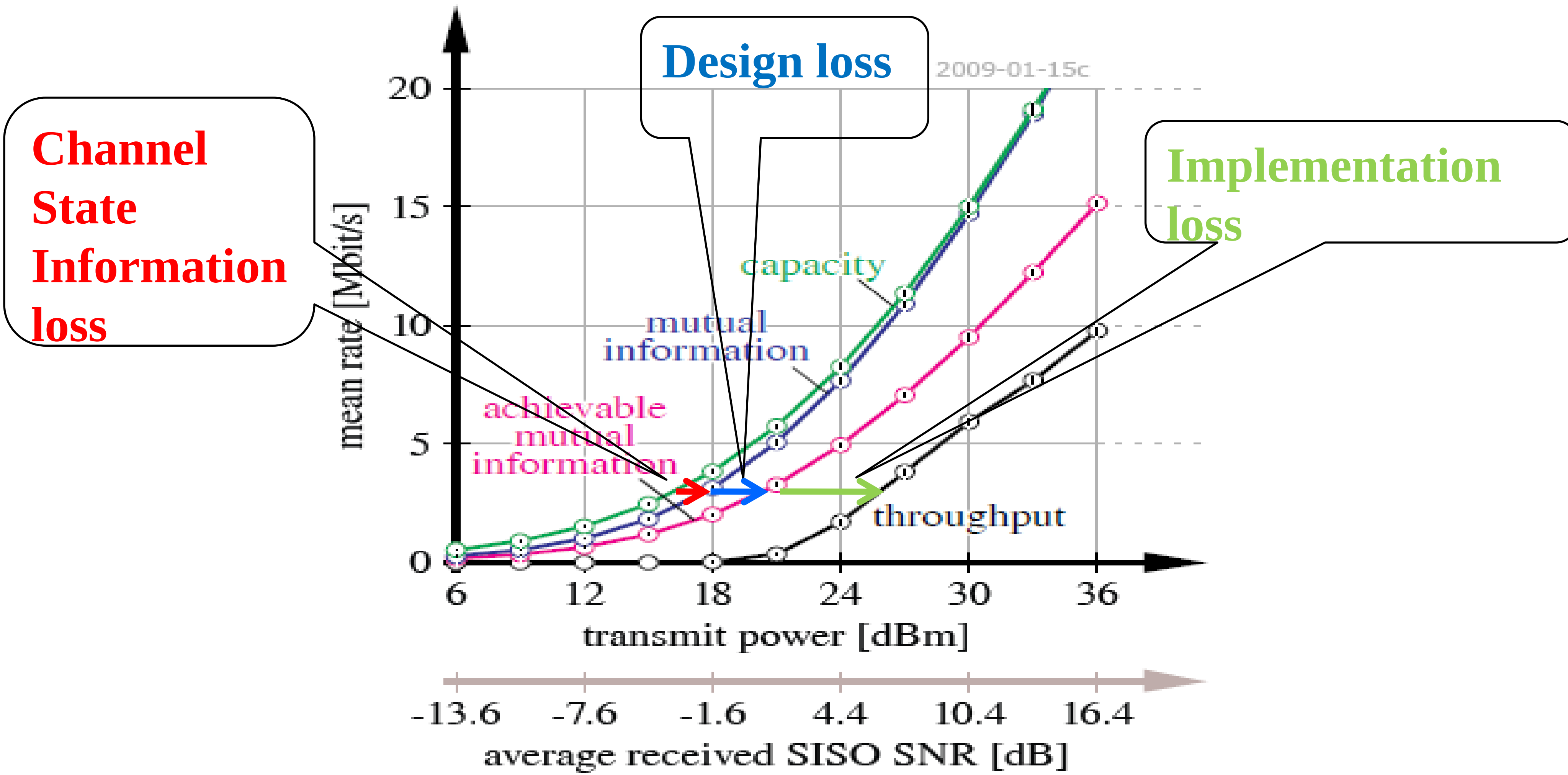
$$L_{\text{CSI}}(P_{\text{Tx}}) = C(P_{\text{Tx}}) - I(P_{\text{Tx}}); \quad L_{\text{CSI\%}}(P_{\text{Tx}}) = 100 \cdot \frac{C(P_{\text{Tx}}) - I(P_{\text{Tx}})}{C(P_{\text{Tx}})}$$

- Design Loss

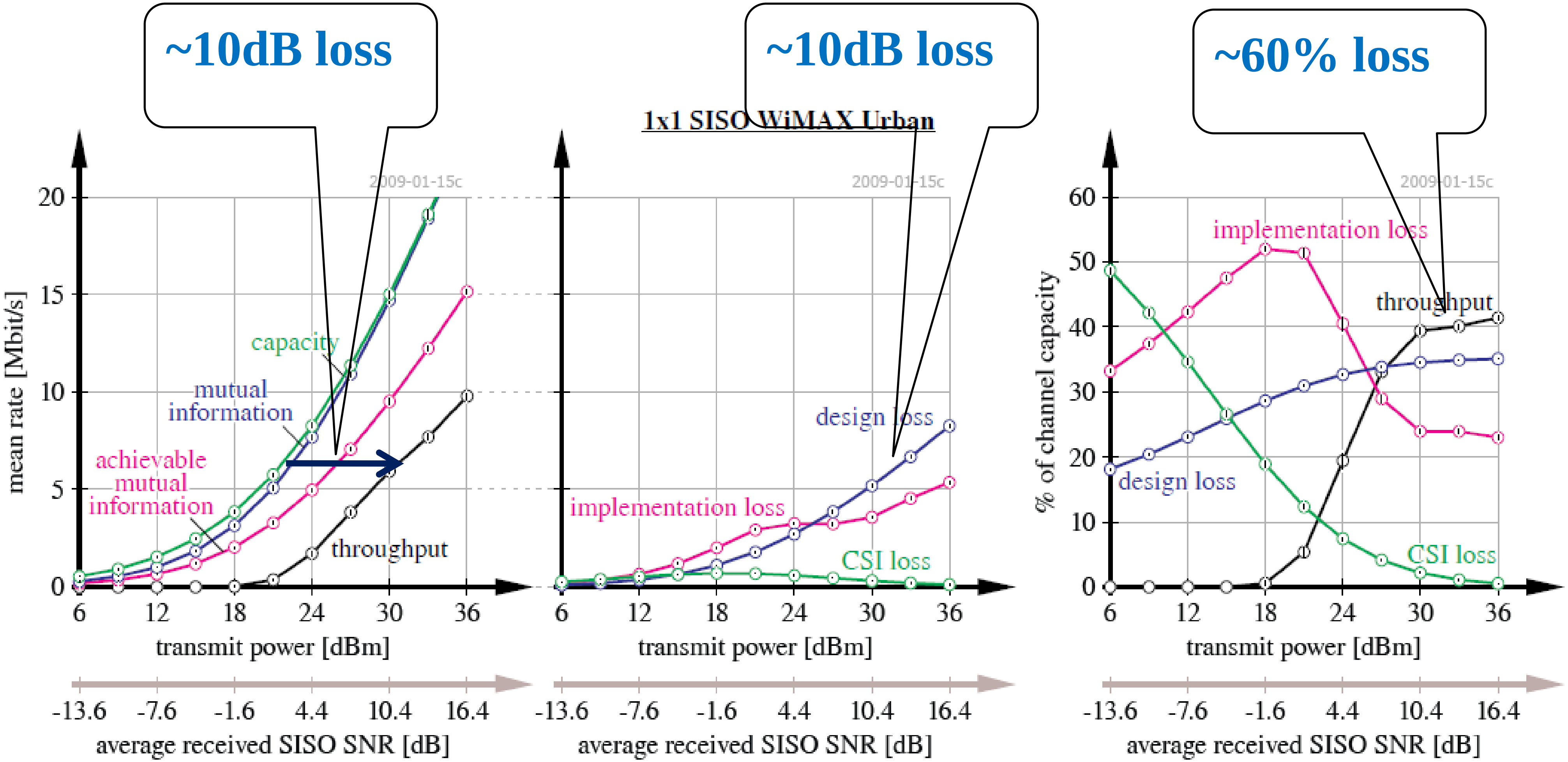
$$L_{\text{d}}(P_{\text{Tx}}) = I(P_{\text{Tx}}) - I_{\text{a}}(P_{\text{Tx}}); \quad L_{\text{d\%}}(P_{\text{Tx}}) = 100 \cdot \frac{I(P_{\text{Tx}}) - I_{\text{a}}(P_{\text{Tx}})}{C(P_{\text{Tx}})}$$

- Implementation Loss

$$L_{\text{i}}(P_{\text{Tx}}) = I_{\text{a}}(P_{\text{Tx}}) - D_{\text{m}}(P_{\text{Tx}}); \quad L_{\text{i\%}}(P_{\text{Tx}}) = 100 \cdot \frac{I_{\text{a}}(P_{\text{Tx}}) - D_{\text{m}}(P_{\text{Tx}})}{C(P_{\text{Tx}})}$$


Channel State Information loss
Design loss
Implementation loss
2009-01-15c
capacity
mutual information
achievable mutual information
throughput
mean rate [Mbit/s]
0
5
10
15
20
6
12
18
24
30
36
transmit power [dBm]
-13.6
-7.6
-1.6
4.4
10.4
16.4
average received SISO SNR [dB]

Performance Comparisons



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Absolute Losses [C6,C9]

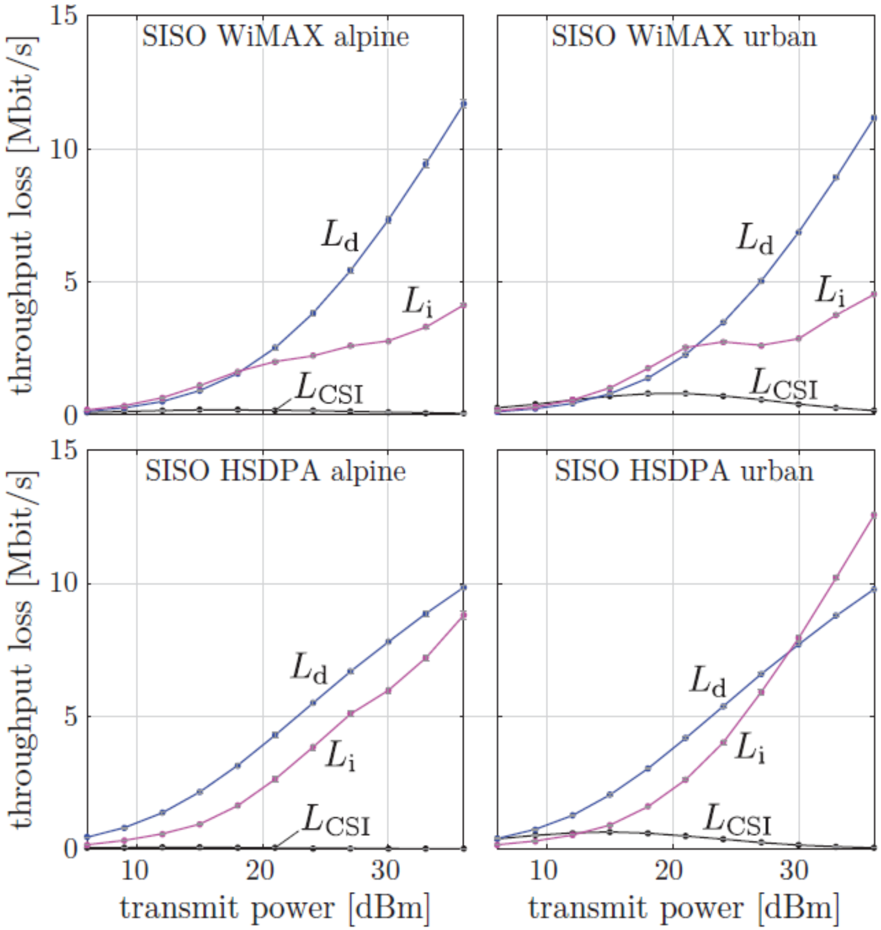


Fig. 2. Throughput losses of the SISO WiMAX and the SISO HSDPA systems in the alpine (ID "2008-09-23") and the urban environments (ID "2009-01-15c").

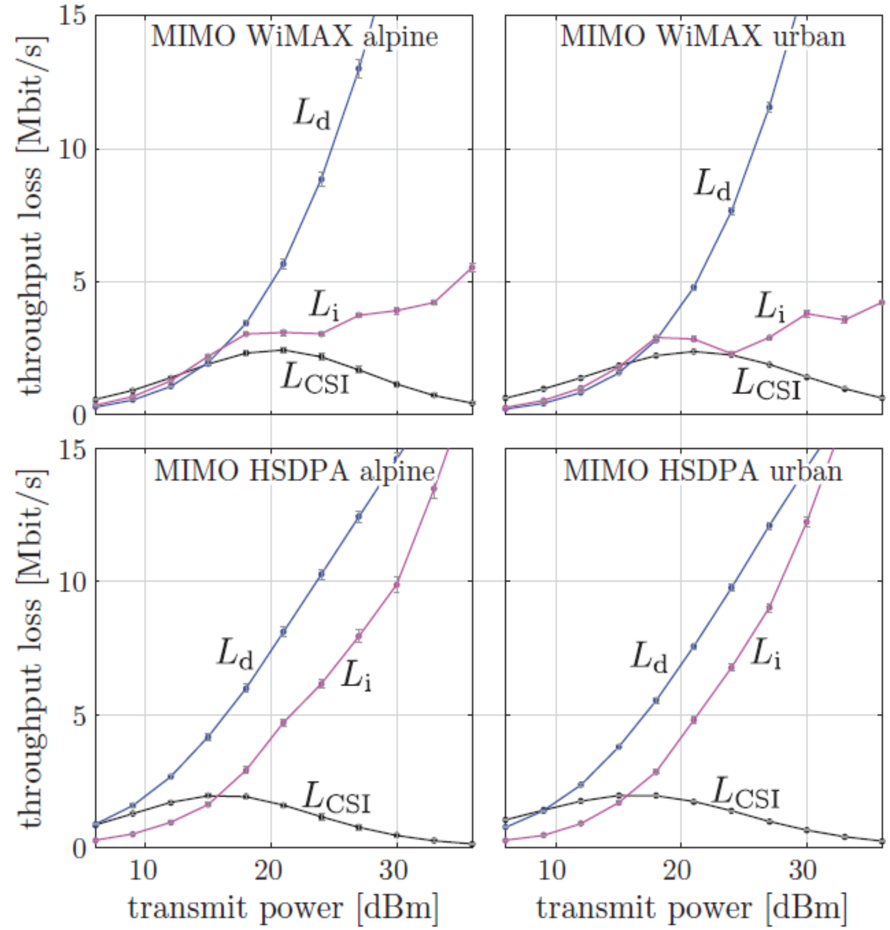
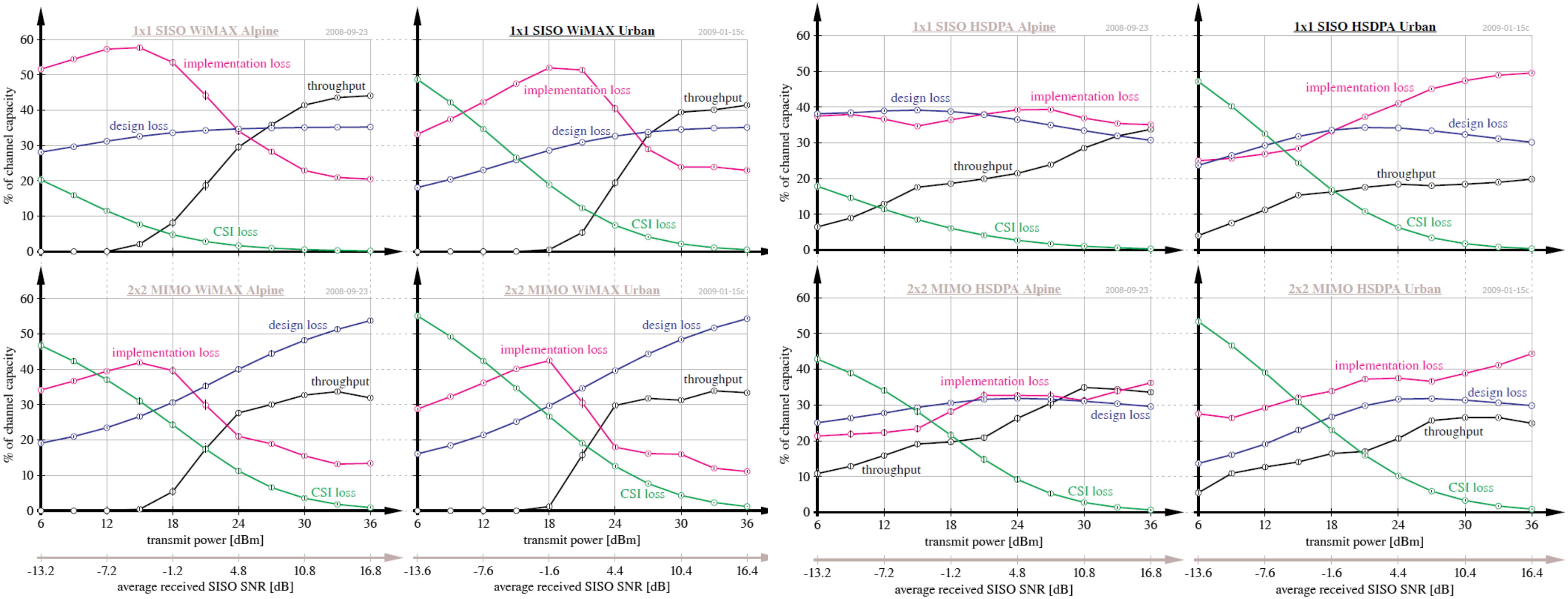


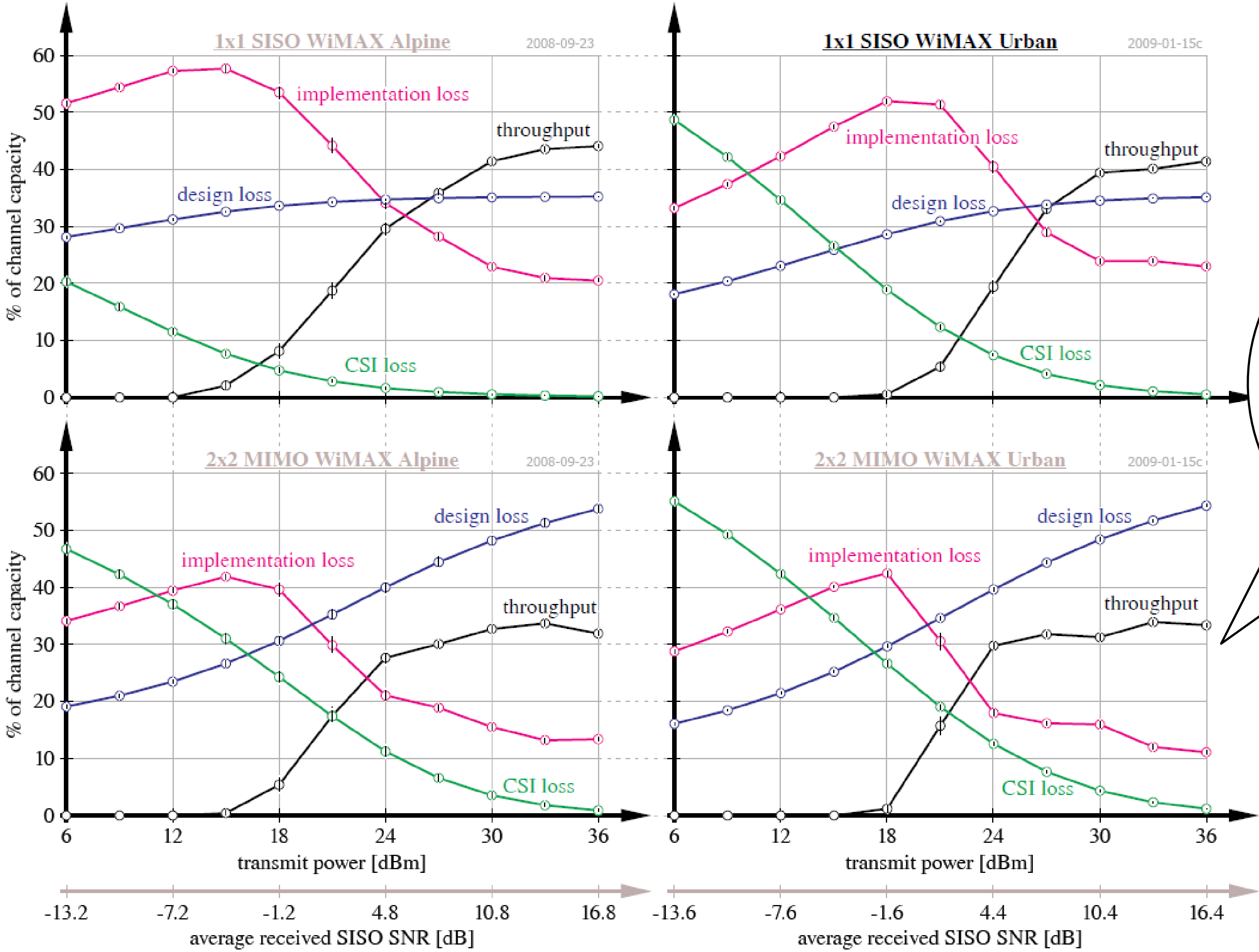
Fig. 3. Throughput losses of the MIMO WiMAX and the MIMO HSDPA systems in the alpine (ID "2008-09-23") and the urban environments (ID "2009-01-15c").

Relative Losses [C8,C9]

- WiMAX HSDPA



Relative Losses: WiMAX [C8,C9]



No difference between Urban and Alpine

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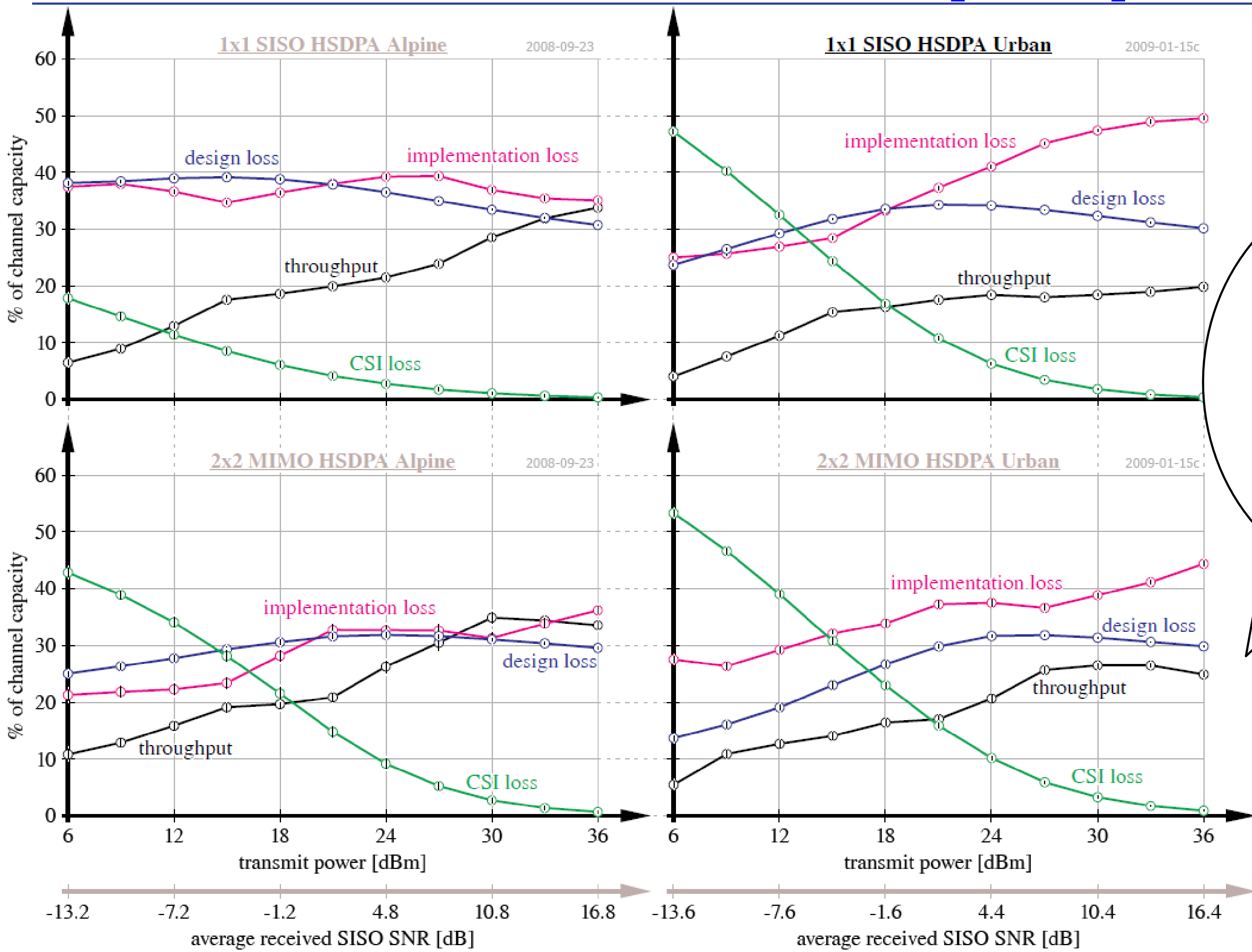
Relative Losses HSDPA [C8,C9]



Difference between Urban and Alpine due to RMS Delay spread

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Vienna LTE Simulators

- You can find below the links to each one of the LTE simulators:
-
- **LTE Downlink Link Level Simulator**
- **LTE Downlink System Level Simulator**
- **LTE Uplink Link Level Simulator**
- Coming soon:
- **LTE Advanced Downlink Link Level Simulator**
- Since 2009 >15,000 downloads

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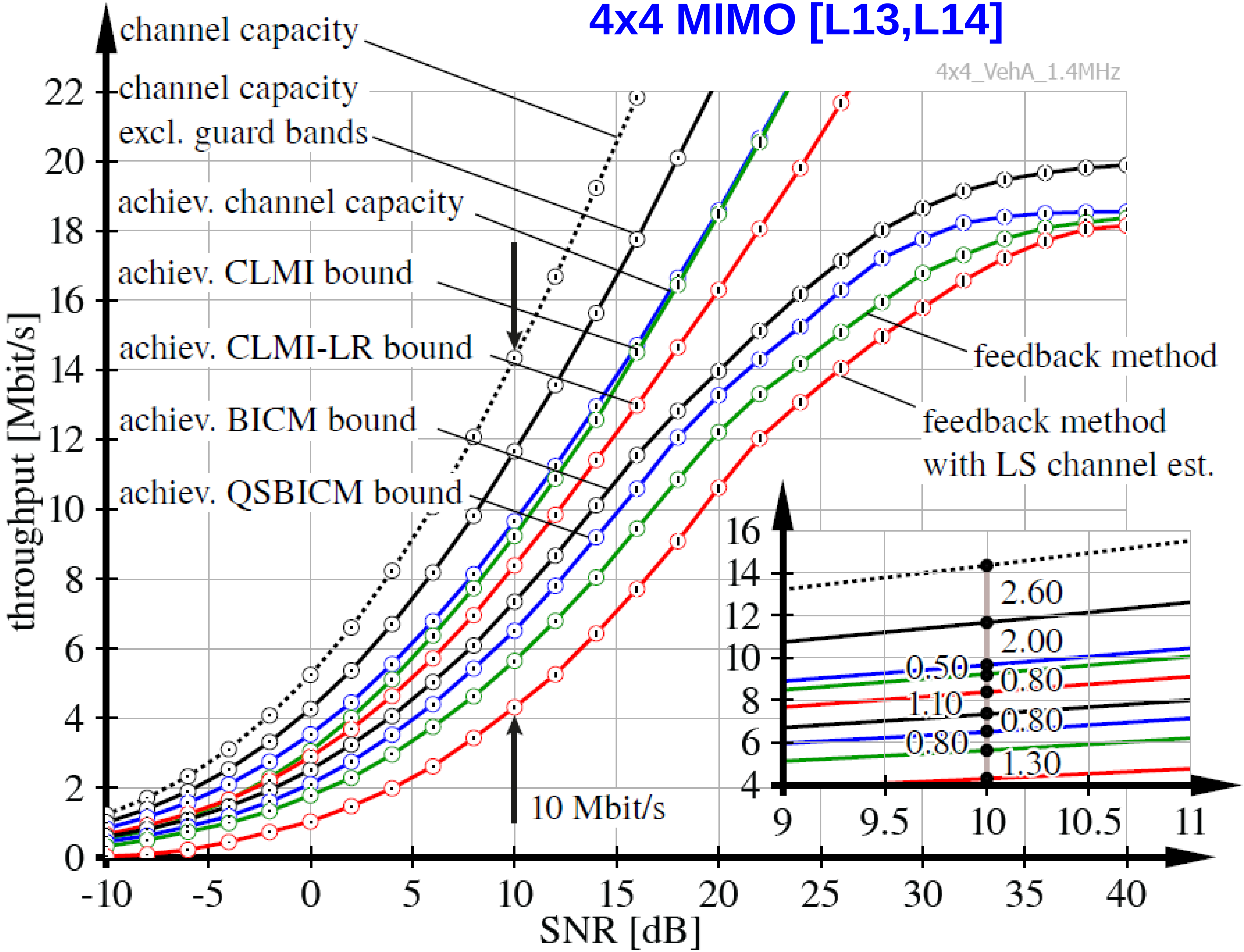
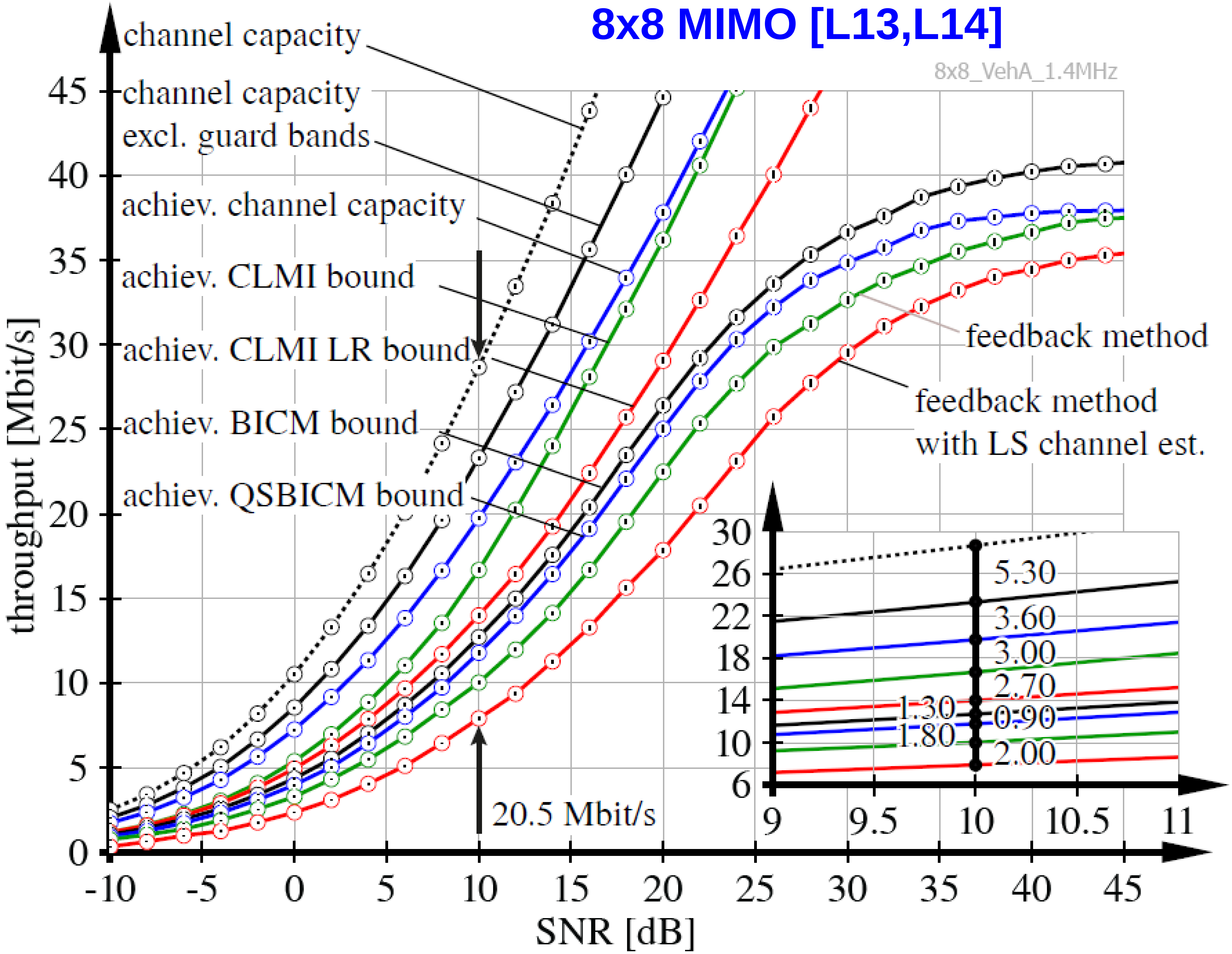
4x4 MIMO [L13,L14]



8x8 MIMO [L13,L14]



Throughput Budget at 10dB SNR & 1.4MHz

	4x4 LTE	8x8 LTE
Channel capacity:	14.5Mbit/s	28 Mbit/s
Channel cap. –guard:	12 Mbit/s	24 Mbit/s
Achiev. capacity:	10 Mbits/s	19 Mbit/s
CLMI bound:	9.5 Mbit/s	16 Mbit/s
CLMI-LR bound:	8.7 Mbit/s	13.4 Mbit/s
WB-CLMI-LR bound:	8.2 Mbit/s	12.9 Mbit/s
BICM bound:	7.6 Mbit/s	12.5 Mbit/s
QSBICM bound:	6.7 Mbit/s	11 Mbit/s
Optimal performance:	6 Mbit/s	9.9 Mbit/s
Feedback method:	5.9 Mbit/s	9.7 Mbit/s
Channel estimation	4.5 Mbit/s	8 Mbit/s
	=31%	**=28%**

Conclusion

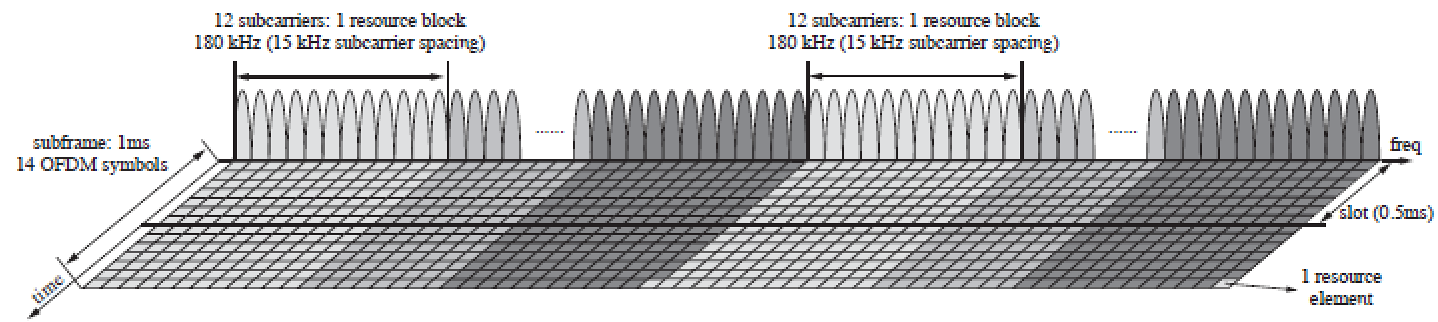
- WiMAX and HSDPA are ~10dB off from the Shannon Bound! Less than half of the potential throughput is achieved.
- Channel estimation loss: an overemphasized loss!
  - Channel knowledge at transmitter is mostly irrelevant
- Design loss: a political loss!
  - Standards have not been treated scientifically yet
- Implementation loss: an unavoidable loss!
  - Need of accurate implementation models as design loss depends on them!

- **LTE is not expected to be much better!**

- **In particular LTE MIMO is not efficient!**

Improvements

- Gain 25% throughput by using the entire spectrum
  Synchronise base stations!

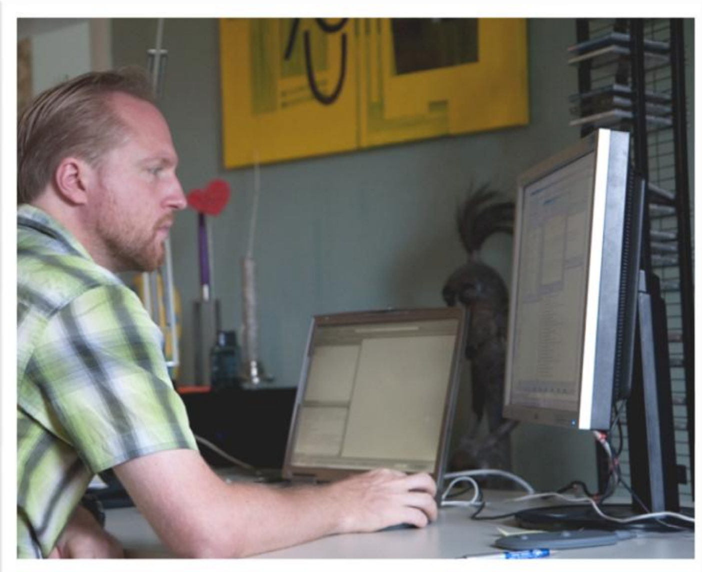
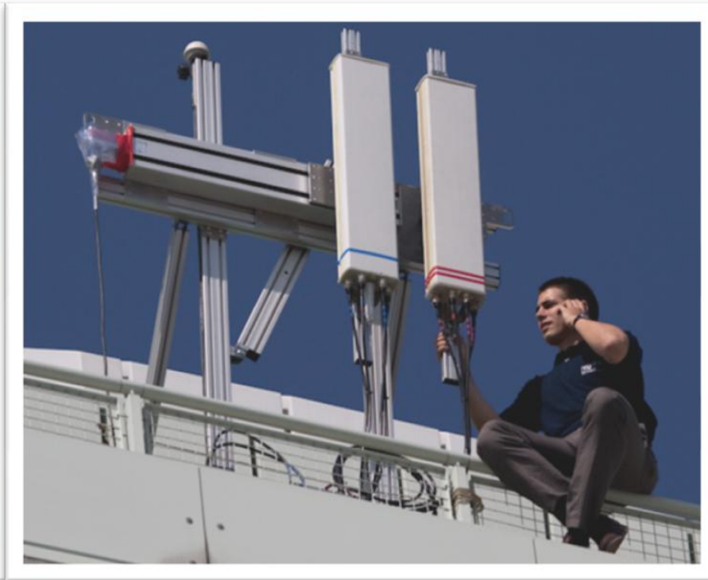


- Gain 13% throughput by switching to differential encoding→no pilots

- Gain a lot % throughput by utilising MIMO the right way:
  Does anybody know how???

Thank you for your attention.

http://www.nt.tuwien.ac.at/


With help from…

The 19th International Conference on Systems, Signals and Image Processing, IWSSIP 2012, will take place in the city of Vienna, Austria from April 11 to April 13, 2012 and will be hosted by the Vienna University of Technology (http://www.tuwien.ac.at/EN/).

IWSSIP (http://www.iwssip.org/) is an international conference on theoretical, experimental, and applied signal processing techniques. IWSSIP brings together researchers and developers from both academia and industry to report on the latest scientific and theoretical advances to discuss and debate major issues and to demonstrate state of-the-art systems. The proceedings of IWSSIP 2012 will be published in IEEE Xplore. More details can be found on http://www.iwssip2012.com/.

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Deadline: November 10

Testbed References

[T1] Sebastian Caban, Christian Mehlführer, Robert Langwieser, Arpad L. Scholtz, Markus Rupp, "**Vienna MIMO Testbed**," in *EURASIP JASP Special Issue on Implementation Aspects and Testbeds for MIMO Systems,* Vol. 2006, Article ID 54868 (2006), http://publik.tuwien.ac.at/files/pub-et_10929.pdf.

[T2] Markus Rupp, Christian Mehlführer, Sebastian Caban, Robert Langwieser, Lukas W. Mayer, Arpad L. Scholtz, "**Testbeds and Rapid Prototyping in Wireless System Design**," in *EURASIP Newsletter,* 17 (2006), pp. 32-50, http://publik.tuwien.ac.at/files/pub-et_11232.pdf.

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[T6] Sebastian Caban, Jose Antonio Garcia Naya, and Markus Rupp, "**Measuring the Physical Layer Performance of Wireless Communication Systems**," IEEE Instrumentation and Measurement Magazine, October 2011.

HSDPA References

[H1] Dagmar Bosanska, Christian Mehlführer, Markus Rupp, "**Performance Evaluation of Intra-cell Interference Cancelation in D-TxAA HSDPA**," in Proc. International ITG Workshop on Smart Antennas (WSA 2008), Germany, Feb. 2008, http://publik.tuwien.ac.at/files/pub-et_13677.pdf.

[H2] Martin Wrulich, Christian Mehlführer, Markus Rupp, "**Interference Aware MMSE Equalization for MIMO TxAA**," in Proc. International Symposium on Communications, Control, and Signal Processing 2008 (ISCCSP 2008) , pp. 1585-1589, St. Julians, Malta, Mar. 2008, http://publik.tuwien.ac.at/files/pub-et_13657.pdf.

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[H4] Christian Mehlführer, Sebastian Caban, Markus Rupp, "**Measurement based evaluation of low complexity receivers for D-TxAA HSDPA**," in Proc. 16th European Signal Processing Conference (EUSIPCO 2008), Lausanne, Aug. 2008. http://publik.tuwien.ac.at/files/PubDat_166132.pdf

[H5] Christian Mehlführer, Sebastian Caban, Martin Wrulich, and Markus Rupp, "**Joint Throughput Optimized CQI and Precoding Weight Calculation for MIMO HSDPA**," 42nd Asilomar Conference on Signals, Systems and Computers, 2008, Pacific Grove, CA, USA, Oct. 2008. http://publik.tuwien.ac.at/files/PubDat_167015.pdf

[H6] Christian Mehlführer, Markus Rupp, "**Novel Tap-wise LMMSE channel estimation for MIMO W-CDMA**," 51st Annual IEEE Globecom Conference 2008, New Orleans, LA, USA, Nov. 2008. http://publik.tuwien.ac.at/files/PubDat_169129.pdf

WiMAX References

[W1] Christian Mehlführer, Sebastian Caban, Markus Rupp, "**An Accurate and Low Complex Channel Estimator for OFDM WiMAX**," in Proc. International Symposium on Communications, Control, and Signal Processing 2008, pp. 922–926, St. Julians, Malta, Mar. 2008, http://publik.tuwien.ac.at/files/pub-et_13650.pdf.

[W2] Christian Mehlführer, Sebastian Caban, Markus Rupp, "**Experimental Evaluation of Adaptive Modulation and Coding in MIMO WiMAX with Limited Feedback**," in *EURASIP JASP Special Issue on MIMO Transmission with Limited Feedback,* Vol. 2008, Article ID 837102 (2008), http://publik.tuwien.ac.at/files/pub-et_13762.pdf

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OFDM Frame Structure

- 3 OFDM symbols preamble
  1. Synchronization
  2. Channel estimation
  3. Control information
- Subcarrier distribution
  - 192 data subcarriers
  - 8 pilot subcarriers
  - 1 zero DC subcarrier
  - 55 guard band subcarriers
  - ! 256 total