

Eta, Eta' and eLSM

Denis Parganlija*

*Institute for Theoretical Physics, Vienna University of Technology, Wiedner Hauptstr. 8-10,
A-1040 Vienna, Austria
E-mail: denisp@hep.itp.tuwien.ac.at*

Péter Kovács

*Institute for Particle and Nuclear Physics, Wigner Research Center for Physics, Hungarian
Academy of Sciences, H-1525 Budapest, Hungary
E-mail: kovacs.peter@wigner.mta.hu*

György Wolf

*Institute for Particle and Nuclear Physics, Wigner Research Center for Physics, Hungarian
Academy of Sciences, H-1525 Budapest, Hungary
E-mail: wolf.gyorgy@wigner.mta.hu*

Francesco Giacosa

*Institute for Theoretical Physics, Johann Wolfgang Goethe University, Max-von-Laue-Str. 1,
D-60438 Frankfurt am Main, Germany
E-mail: giacosa@th.physik.uni-frankfurt.de*

Dirk H. Rischke

*Institute for Theoretical Physics, Johann Wolfgang Goethe University, Max-von-Laue-Str. 1,
D-60438 Frankfurt am Main, Germany and
Frankfurt Institute for Advanced Studies, Ruth-Moufang-Str. 1 D-60438 Frankfurt am Main,
Germany
E-mail: drischke@th.physik.uni-frankfurt.de*

We discuss the η - η' mixing in the Extended Linear Sigma Model (eLSM).

*Xth Quark Confinement and the Hadron Spectrum,
October 8-12, 2012
TUM Campus Garching, Munich, Germany*

*Speaker.

1. Introduction

Quantum Chromodynamics (QCD) – the fundamental theory of strong interaction – exhibits several symmetry properties. Among others, QCD possesses an exact $SU(3)_c$ local gauge symmetry (the colour symmetry) and an approximate global $U(N_f)_R \times U(N_f)_L$ symmetry for N_f massless quark flavours (the chiral symmetry). For sufficiently low temperature and density quarks and gluons are confined into colourless hadrons [i.e., $SU(3)_c$ invariant configurations]. Thus, in the low-energy region, the chiral symmetry is the one predominantly determining hadron interactions.

Such interactions are governed not only by the chiral symmetry itself but also by the breaking patterns of this symmetry. There are two mechanisms of chiral-symmetry breaking: explicit, via non-vanishing quark masses, and spontaneous, via the so-called quark condensate [1].

The chiral symmetry is isomorphic to the $U(N_f)_V \times U(N_f)_A \equiv U(1)_V \times SU(N_f)_V \times U(1)_A \times SU(N_f)_A$ symmetry. Classically, if quark masses are non-vanishing but degenerate, then the symmetry is broken explicitly to $U(1)_V \times SU(N_f)_V$ and for non-degenerate quark masses it is broken to $U(1)_V$.

As already indicated, chiral symmetry is approximate if quark flavours are massless. In fact, the symmetry is even exact in the chiral limit – but only classically. At the quantum level, the $U(1)_A$ component of the symmetry is broken spontaneously by the so-called chiral anomaly [2].

Another source of the spontaneous chiral-symmetry breaking is the quark condensate

$$\langle \bar{q}q \rangle = \langle 0 | \bar{q}q | 0 \rangle = -i \text{Tr} \lim_{y \rightarrow x^+} S_F(x, y) \quad (1.1)$$

where $S_F(x, y)$ denotes the full quark propagator. The condensate breaks the symmetry $SU(N_f)_V \times SU(N_f)_A$ to $SU(N_f)_V$ with $N_f^2 - 1$ less generators in the residual than in the original symmetry group.

Consequently, the emergence of $N_f^2 - 1$ massless pseudoscalar degrees of freedom (Goldstone bosons) is expected from the Goldstone Theorem [3]. This is indeed observed: e.g., for $N_f = 2$, pions represent long-established lightest degrees of freedom in QCD. Their mass is, however, not zero but rather close to ~ 140 MeV due to the explicit breaking of the chiral symmetry, rendering them pseudo-Goldstone bosons. For $N_f = 3$, experimental observations yield five additional pseudoscalar Goldstone states: four kaons and the η meson. However, experimental data also demonstrate the existence of an additional pseudoscalar degree of freedom: η' .

The existence of η' cannot be explained only by the symmetry-breaking pattern $SU(N_f)_V \times SU(N_f)_A \rightarrow SU(N_f)_V$ but rather requires a broader symmetry-breaking mechanism reading $U(N_f)_V \times U(N_f)_A \rightarrow U(N_f)_V$ where the symmetry corresponding to the one-dimensional $U(1)_A$ group is also broken, both explicitly and spontaneously. $U(1)_A$ properties are then related to those of η' – including the axial anomaly which implies that η' remains massless even in the chiral limit. (For a review of η and η' , see, e.g., Ref. [4] and references therein.)

Note, however, that properties of η' cannot be considered separately from those of the η meson: they possess the same quantum numbers and can therefore mix. It is important to understand the mixing pattern of these two states for at least two reasons: (i) it allows one to study their structures (relative contributions of u , d and s quarks) – see below – and, correspondingly, (ii) it enables us to understand the decay patterns of η and η' , some of which give us insight into the famous CP violation in the pseudoscalar sector [4].

The mixing of η and η' mesons can be studied within the realm of the three-flavour Linear Sigma Model with vector and axial-vector degrees of freedom (extended Linear Sigma Model - eLSM). The model contains only quarkonia, i.e., $\bar{q}q$ states [5, 6, 7], rendering it suitable to study quarkonium mixing in various channels including the η - η' one. The physical states are obtained from mixing of two pure states: $\eta_N \equiv (\bar{u}u + \bar{d}d)/\sqrt{2}$ and $\eta_S \equiv \bar{s}s$. Thus our approach allows us to study quarkonium content, as well as the mixing angle, of η and η' .

We emphasise, however, that the inclusion of vector and axial-vector degrees of freedom into our model is necessary and important since (axial-)vectors influence phenomenology in other channels [6]. Note that glue admixtures to η and η' can also be considered along the lines of Ref. [8] but that will not be of concern in the present article.

This paper is organised as follows. In Sec. 2 we discuss the eLSM Lagrangian; in Sec. 3 we describe the η - η' mixing pattern and in Sec. 4 we present a conclusion and an outlook of our work.

2. The Lagrangian

The globally invariant $U(3)_L \times U(3)_R$ Lagrangian possesses the following structure [5, 6, 7, 9, 10, 11]:

$$\begin{aligned} \mathcal{L} = & \text{Tr}[(D_\mu \Phi)^\dagger (D_\mu \Phi)] - m_0^2 \text{Tr}(\Phi^\dagger \Phi) - \lambda_1 [\text{Tr}(\Phi^\dagger \Phi)]^2 - \lambda_2 \text{Tr}(\Phi^\dagger \Phi)^2 \\ & - \frac{1}{4} \text{Tr}(L_{\mu\nu}^2 + R_{\mu\nu}^2) + \text{Tr} \left[\left(\frac{m_1^2}{2} + \Delta \right) (L_\mu^2 + R_\mu^2) \right] + \text{Tr}[H(\Phi + \Phi^\dagger)] \\ & + c_1 (\det \Phi - \det \Phi^\dagger)^2 + i \frac{g_2}{2} (\text{Tr}\{L_{\mu\nu}[L^\mu, L^\nu]\} + \text{Tr}\{R_{\mu\nu}[R^\mu, R^\nu]\}) \\ & + \frac{h_1}{2} \text{Tr}(\Phi^\dagger \Phi) \text{Tr}(L_\mu^2 + R_\mu^2) + h_2 \text{Tr}[|L_\mu \Phi|^2 + |\Phi R_\mu|^2] + 2h_3 \text{Tr}(L_\mu \Phi R^\mu \Phi^\dagger). \end{aligned} \quad (2.1)$$

The scalar and pseudoscalar states present in Eq. (2.1) are:

$$\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{(\sigma_N + a_0^0) + i(\eta_N + \pi^0)}{\sqrt{2}} & a_0^+ + i\pi^+ & K_0^{*+} + iK^+ \\ a_0^- + i\pi^- & \frac{(\sigma_N - a_0^0) + i(\eta_N - \pi^0)}{\sqrt{2}} & K_0^{*0} + iK^0 \\ K_0^{*-} + iK^- & \bar{K}_0^{*0} + i\bar{K}^0 & \sigma_S + i\eta_S \end{pmatrix} \quad (2.2)$$

The matrices containing vectors and axial-vectors read:

$$V^\mu = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\omega_N^\mu + \rho^{\mu 0}}{\sqrt{2}} & \rho^{\mu+} & K^{*\mu+} \\ \rho^{\mu-} & \frac{\omega_N^\mu - \rho^{\mu 0}}{\sqrt{2}} & K^{*\mu 0} \\ K^{*\mu-} & \bar{K}^{*\mu 0} & \omega_S^\mu \end{pmatrix}, \quad A^\mu = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{f_{1N}^\mu + a_1^{\mu 0}}{\sqrt{2}} & a_1^{\mu+} & K_1^{\mu+} \\ a_1^{\mu-} & \frac{f_{1N}^\mu - a_1^{\mu 0}}{\sqrt{2}} & K_1^{\mu 0} \\ K_1^{\mu-} & \bar{K}_1^{\mu 0} & f_{1S}^\mu \end{pmatrix} \quad (2.3)$$

with the right-handed (axial-)vector matrix $R^\mu = V^\mu - A^\mu$ and the left-handed (axial-)vector matrix $L^\mu = V^\mu + A^\mu$. Additionally, $D^\mu \Phi = \partial^\mu \Phi - ig_1 (L^\mu \Phi - \Phi R^\mu) - ie \tilde{A}^\mu [T_3, \Phi]$ is the covariant derivative; $L^{\mu\nu} = \partial^\mu L^\nu - ie \tilde{A}^\mu [T_3, L^\nu] - \{\partial^\nu L^\mu - ie \tilde{A}^\nu [T_3, L^\mu]\}$ and $R^{\mu\nu} = \partial^\mu R^\nu - ie \tilde{A}^\mu [T_3, R^\nu] - \{\partial^\nu R^\mu - ie \tilde{A}^\nu [T_3, R^\mu]\}$ are, respectively, the left-handed and right-handed field strength tensors, \tilde{A}^μ is the electromagnetic field, T_3 is the third generator of the $SU(3)$ group and the term $c_1 (\det \Phi - \det \Phi^\dagger)^2$ describes the $U(1)_A$ anomaly [12].

Explicit breaking of the global symmetry in the (pseudo)scalar channel is described by the term $\text{Tr}[H(\Phi + \Phi^\dagger)]$ and in the (axial-)vector channel by the term $\text{Tr}[\Delta(L_\mu^2 + R_\mu^2)]$ where $H = \text{diag}(h_{0N}, h_{0N}, h_{0S})$ and $\Delta = \text{diag}(\delta_N, \delta_N, \delta_S)$ with $h_N \sim m_u = m_d$, $h_S \sim m_s$, $\delta_N \sim m_u^2$ and $\delta_S \sim m_s^2$ (isospin symmetry assumed in the non-strange sector).

We assign the field $\vec{\pi}$ to the pion; η_N and η_S are assigned, respectively, to the pure non-strange and the pure strange counterparts of the η and η' mesons. The fields ω_N^μ , $\vec{\rho}^\mu$, f_{1N}^μ and \vec{a}_1^μ are assigned to the $\omega(782)$, $\rho(770)$, $f_1(1285)$ and $a_1(1260)$ mesons, respectively. We also assign the K fields to the kaons; the ω_S^μ , f_{1S}^μ and $K^{*\mu}$ fields correspond to the $\varphi(1020)$, $f_1(1420)$ and $K^*(892)$ mesons, respectively. Assignment of the K_1^μ field is, unfortunately, not as clear since this state can be assigned either to the $K_1(1270)$ or to the $K_1(1400)$ resonances [11] but that is of no importance for the following.

Spontaneous breaking of the chiral symmetry is implemented by considering the respective non-vanishing vacuum expectation values ϕ_N and ϕ_S of the two scalar isosinglet states present in our model, $\sigma_N \equiv (\bar{u}u + \bar{d}d)/\sqrt{2}$ and $\sigma_S \equiv \bar{s}s$. The relations between $\phi_{N,S}$ and the pion decay constant f_π as well as the kaon decay constant f_K read $f_\pi = \phi_N/Z_\pi$ and $f_K = (\sqrt{2}\phi_S + \phi_N)/(2Z_K)$ where $f_\pi = 92.4$ MeV and $f_K = 155.5/\sqrt{2}$ MeV [13]. The chiral condensates ϕ_N and ϕ_S lead to mixing terms in the Lagrangian (2.1) that need to be removed in order for the scattering matrix stemming from the Lagrangian to be diagonal (the procedure is described detailedly in Ref. [5]).

3. Mixing of η and η'

Our Lagrangian (2.1) yields the following mixing term of the pure non-strange and strange fields η_N and η_S :

$$\mathcal{L}_{\eta_N\eta_S} = -c_1 \frac{Z_{\eta_S} Z_\pi}{2} \phi_N^3 \phi_S \eta_N \eta_S. \quad (3.1)$$

The full η_N - η_S interaction Lagrangian obtained from Eq. (2.1) has the form

$$\mathcal{L}_{\eta_N\eta_S, \text{full}} = \frac{1}{2}(\partial_\mu \eta_N)^2 + \frac{1}{2}(\partial_\mu \eta_S)^2 - \frac{1}{2}m_{\eta_N}^2 \eta_N^2 - \frac{1}{2}m_{\eta_S}^2 \eta_S^2 + z_\eta \eta_N \eta_S, \quad (3.2)$$

where z_η is the mixing term of the pure states $\eta_N \equiv (\bar{u}u - \bar{d}d)/\sqrt{2}$ and $\eta_S \equiv \bar{s}s$:

$$z_\eta = -c_1 \frac{Z_{\eta_S} Z_\pi}{2} \phi_N^3 \phi_S. \quad (3.3)$$

However, mixing between η_N and η_S can be equivalently expressed as the mixing between the octet state

$$\eta_8 = \sqrt{\frac{1}{6}}(\bar{u}u + \bar{d}d - 2\bar{s}s) \equiv \sqrt{\frac{1}{3}}\eta_N - \sqrt{\frac{2}{3}}\eta_S \quad (3.4)$$

and the singlet state

$$\eta_0 = \sqrt{\frac{1}{3}}(\bar{u}u + \bar{d}d + \bar{s}s) \equiv \sqrt{\frac{2}{3}}\eta_N + \sqrt{\frac{1}{3}}\eta_S. \quad (3.5)$$

We determine the physical states η and η' as mixture of the octet and singlet states with a mixing angle φ_P (see, e.g., Ref. [14] and refs. therein):

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} \cos \varphi_P & -\sin \varphi_P \\ \sin \varphi_P & \cos \varphi_P \end{pmatrix} \begin{pmatrix} \eta_8 \\ \eta_0 \end{pmatrix} \quad (3.6)$$

or, using Eqs. (3.4) and (3.5),

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} \cos \varphi_P & -\sin \varphi_P \\ \sin \varphi_P & \cos \varphi_P \end{pmatrix} \begin{pmatrix} \sqrt{\frac{1}{3}} & -\sqrt{\frac{2}{3}} \\ \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} \end{pmatrix} \begin{pmatrix} \eta_8 \\ \eta_0 \end{pmatrix}. \quad (3.7)$$

If we introduce $\arcsin(\sqrt{2/3}) = 54.7456^\circ \equiv \varphi_I$, then the trigonometric addition formulas lead to

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} \cos(\varphi_P + \varphi_I) & -\sin(\varphi_P + \varphi_I) \\ \sin(\varphi_P + \varphi_I) & \cos(\varphi_P + \varphi_I) \end{pmatrix} \begin{pmatrix} \eta_N \\ \eta_S \end{pmatrix}. \quad (3.8)$$

Defining the η - η' mixing angle φ_η

$$\varphi_\eta = -(\varphi_P + \varphi_I), \quad (3.9)$$

we obtain

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} \cos \varphi_\eta & \sin \varphi_\eta \\ -\sin \varphi_\eta & \cos \varphi_\eta \end{pmatrix} \begin{pmatrix} \eta_N \\ \eta_S \end{pmatrix} \quad (3.10)$$

or in other words

$$\eta = \cos \varphi_\eta \eta_N + \sin \varphi_\eta \eta_S, \quad (3.11)$$

$$\eta' = -\sin \varphi_\eta \eta_N + \cos \varphi_\eta \eta_S. \quad (3.12)$$

The Lagrangian in Eq. (3.2) contains only pure states η_N and η_S . Inverting Eqs. (3.11) and (3.12)

$$\eta_N = \cos \varphi_\eta \eta - \sin \varphi_\eta \eta', \quad (3.13)$$

$$\eta_S = \sin \varphi_\eta \eta + \cos \varphi_\eta \eta', \quad (3.14)$$

we can isolate the relevant part of the Lagrangian (2.1) and determine the parametric form of the η and η' mass terms that read

$$m_\eta^2 = m_{\eta_N}^2 \cos^2 \varphi_\eta + m_{\eta_S}^2 \sin^2 \varphi_\eta - z_\eta \sin(2\varphi_\eta), \quad (3.15)$$

$$m_{\eta'}^2 = m_{\eta_N}^2 \sin^2 \varphi_\eta + m_{\eta_S}^2 \cos^2 \varphi_\eta + z_\eta \sin(2\varphi_\eta) \quad (3.16)$$

where

$$m_{\eta_N}^2 = Z_\pi^2 \left[m_0^2 + \left(\lambda_1 + \frac{\lambda_2}{2} \right) \phi_N^2 + \lambda_1 \phi_S^2 + c_1 \phi_N^2 \phi_S^2 \right], \quad (3.17)$$

$$m_{\eta_S}^2 = Z_\pi^2 \left[m_0^2 + \lambda_1 \phi_N^2 + (\lambda_1 + \lambda_2) \phi_S^2 + c_1 \frac{\phi_N^4}{4} \right] \quad (3.18)$$

are the parametric forms of the pure states η_N and η_S . [Note that the determination of m_{η_N} and m_{η_S} and, consequently, m_η , $m_{\eta'}$ and φ_η requires a fit of *all* parameters in the Lagrangian (2.1) that, in turn, requires much more observables than the above two isosinglet masses. The fit procedure will be described further below.]

Assigning our fields η and η' to physical (asymptotic) states requires that the Lagrangian $\mathcal{L}_{\eta\eta'}$ does not contain any η - η' mixing terms leading to the condition

$$z_\eta \stackrel{!}{=} (m_{\eta_S}^2 - m_{\eta_N}^2) \tan(2\varphi_\eta)/2. \quad (3.19)$$

3.1 Fit Procedure

In order to make statements regarding η - η' mixing we first need to determine values of our model parameters. Lagrangian (2.1) contains 14 parameters: $\lambda_1, \lambda_2, c_1, h_{0N}, h_{0S}, h_1, h_2, h_3, m_0^2, g_1, g_2, m_1, \delta_N, \delta_S$. Parameters h_{0N} and h_{0S} are determined from the extremum condition for the potential obtained from Eq. (2.1). Parameter δ_N is set to zero throughout this paper because the explicit breaking of the chiral symmetry is small in the non-strange quark sector. The other 11 parameters are calculated from a global fit including 21 observables [7]: $f_\pi, f_K, m_\pi, m_K, m_\eta, m_{\eta'}, m_\rho, m_{K^*}, m_{\omega_S \equiv \phi(1020)}, m_{f_{1S} \equiv f_1(1420)}, m_{a_1}, m_{a_0 \equiv a_0(1450)}, m_{K_0^* \equiv K_0^*(1430)}, \Gamma_{\rho \rightarrow \pi\pi}, \Gamma_{K^* \rightarrow K\pi}, \Gamma_{\phi \rightarrow KK}, \Gamma_{a_1 \rightarrow \rho\pi}, \Gamma_{a_1 \rightarrow \pi\gamma}, \Gamma_{f_1(1420) \rightarrow K^*K}, \Gamma_{a_0(1450)}, \Gamma_{K_0^*(1430) \rightarrow K\pi}$ (data from PDG [13]; since our model currently implements no isospin-symmetry breaking that would influence the physical hadron masses by the order of 5%, we have modified error values presented by PDG such that we use the PDG error value if it is larger than 5% and increase the error to 5% otherwise.) Note that the observables entering the fit allow us to determine only linear combinations $m_0^2 + \lambda_1(\phi_N^2 + \phi_S^2)$ and $m_1^2 + h_1(\phi_N^2 + \phi_S^2)/2$ rather than parameters m_0, m_1, λ_1 and h_1 by themselves. However, it is nonetheless possible to calculate masses of η and η' as evident from Eqs. (3.15) – (3.18).

Observable	Fit [MeV]	Experiment [MeV]
f_π	96.3 ± 0.7	92.2 ± 4.6
f_K	106.9 ± 0.6	110.4 ± 5.5
m_π	141.0 ± 5.8	137.3 ± 6.9
m_K	485.6 ± 3.0	495.6 ± 24.8
m_η	509.4 ± 3.0	547.9 ± 27.4
$m_{\eta'}$	962.5 ± 5.6	957.8 ± 47.9
m_ρ	783.1 ± 7.0	775.5 ± 38.8
m_{K^*}	885.1 ± 6.3	893.8 ± 44.7
m_ϕ	975.1 ± 6.4	1019.5 ± 51.0
m_{a_1}	1186 ± 6	1230 ± 62
$m_{f_1(1420)}$	1372.5 ± 5.3	1426.4 ± 71.3
m_{a_0}	1363 ± 1	1474 ± 74
$m_{K_0^*}$	1450 ± 1	1425 ± 71
$\Gamma_{\rho \rightarrow \pi\pi}$	160.9 ± 4.4	149.1 ± 7.4
$\Gamma_{K^* \rightarrow K\pi}$	44.6 ± 1.9	46.2 ± 2.3
$\Gamma_{\phi \rightarrow \bar{K}K}$	3.34 ± 0.14	3.54 ± 0.18
$\Gamma_{a_1 \rightarrow \rho\pi}$	549 ± 43	425 ± 175
$\Gamma_{a_1 \rightarrow \pi\gamma}$	0.66 ± 0.01	0.64 ± 0.25
$\Gamma_{f_1(1420) \rightarrow K^*K}$	44.6 ± 39.9	43.9 ± 2.2
Γ_{a_0}	266 ± 12	265 ± 13
$\Gamma_{K_0^* \rightarrow K\pi}$	285 ± 12	270 ± 80

Table 1: Best-fit results for masses and decay widths compared with experiment.

In Table 1 we present our best-fit results (that also include values of m_η and $m_{\eta'}$). One of the conditions entering our fit was $m_{\eta_N} < m_{\eta_S}$, i.e., pure non-strange states should be lighter than

pure strange states (for details, see Ref. [7]). As evident from Table 1, under the constraint $m_{\eta_N} < m_{\eta_S}$ our fit optimises at $m_\eta = (509.4 \pm 3.0)$ MeV, below the experimentally determined interval (presented in the third column of Fig. 1). However, the value $m_{\eta'} = (962.5 \pm 5.6)$ MeV is within the experimental boundaries. Note that the stated values of m_η and $m_{\eta'}$ also imply $m_{\eta_N} \simeq 766$ MeV and $m_{\eta_S} \simeq 770$ MeV – the non-strange and strange mass contributions to η and η' thus appear to be virtually equal. Furthermore, the mentioned non-saturation of physical η and η' masses in our quarkonium-based approach may hint to additional contributions to η/η' spectroscopic wave functions that go beyond the antiquark-quark structure.

Our fit determined all parameter values uniquely and therefore the η - η' mixing angle is also uniquely determined as

$$\varphi_\eta = -44.6^\circ. \quad (3.20)$$

The result is close to maximal mixing, i.e., our result suggests a slightly larger mixing than results of Ref. [15].

4. Conclusions

We have presented an extended Linear Sigma Model containing vector and axial-vector degrees of freedom (eLSM). A global fit of masses and decay widths has been performed in order to study, among others, mixing of isosinglet states in the pseudoscalar channel (η - η' mixing). The model presented in this article contains no free parameters – all parameters are fixed from the mentioned global fit. In the η - η' channel, our fit optimises at $m_{\eta'} = (962.5 \pm 5.6)$ MeV and $m_\eta = (509.4 \pm 3.0)$ MeV: the former is exactly within the data interval but the latter is below the experimental result. This may represent a hint of non- $\bar{q}q$ contributions to the pseudoscalar isosinglets. We have also determined the η - η' mixing angle to be $\varphi_\eta = -44.6^\circ$, close to maximal mixing.

We emphasise, however, that the stated results present only a small part of meson phenomenology that can be considered in eLSM: the model can also be utilised to study the structure of scalar and axial-vector mesons [5, 7, 10, 11] but also extended to finite temperatures and densities, similar to Ref. [16].

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