

Materials Screening for the Discovery of New Half-Heuslers: Machine Learning versus ab Initio Methods

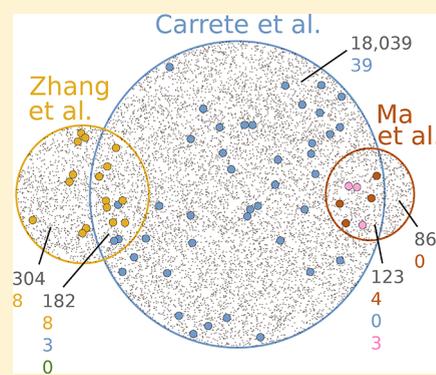
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S Supporting Information

ABSTRACT: Machine learning (ML) is increasingly becoming a helpful tool in the search for novel functional compounds. Here we use classification via random forests to predict the stability of half-Heusler (HH) compounds, using only experimentally reported compounds as a training set. Cross-validation yields an excellent agreement between the fraction of compounds classified as stable and the actual fraction of truly stable compounds in the ICSD. The ML model is then employed to screen 71 178 different 1:1:1 compositions, yielding 481 likely stable candidates. The predicted stability of HH compounds from three previous high-throughput ab initio studies is critically analyzed from the perspective of the alternative ML approach. The incomplete consistency among the three separate ab initio studies and between them and the ML predictions suggest that additional factors beyond those considered by ab initio phase stability calculations might be determinant to the stability of the compounds. Such factors can include configurational entropies and quasiharmonic contributions.



INTRODUCTION

Due to their flexible composition and resulting tunable functionalities, half-Heusler (HH) compounds are at the focus of considerable attention.¹ Substantial efforts are currently underway to discover new stable HH compounds, with successful examples in a wide range of fields including topological insulators,^{2–5} magnets,^{6–8} thermoelectrics,^{9–12} or photovoltaics.¹³ However, the large pool of possible stable HHs, in the order of thousands of compounds, is expensive to screen, both experimentally and computationally.

Several computational studies have sought to identify new stable HHs using high-throughput (HT) ab initio calculations.^{8,9,14} To determine the stability of a hypothetical compound from first-principles one must test the stability of the material against all other possible phases including those that could result from the decomposition of the hypothetical compound. In addition, the enthalpies of formation should include the vibrational contributions since in many cases the stable phases at finite temperature do not correspond to the ones at 0 K.¹⁵ This increases the cost of the already expensive calculations by orders of magnitude. Therefore, HT calculations of phase stability often neglect entropy contributions, and/or limit the number of competing phases studied.^{8,9,14} The larger the number of compounds investigated, the more pressing the need for these approximations. In addition, kinetic effects are known to play an important role in the ability to synthesize a compound, and may hinder the appearance of the thermodynamically most favorable phase.^{16,17} Finally, semilocal density

functional theory (DFT) can have limited precision in predicting the stability of the HH compounds.¹⁸

A very different approach to predicting compound stability has been recently proposed in ref 19. This method uses a ML algorithm to determine the probability that a given phase is stable at a particular chemical composition. The algorithm is trained only on experimentally reported compounds, not relying on any computed formation enthalpies or ab initio data of any sort. Ref 19 applied this method to the full-Heusler compounds, demonstrating an excellent performance in cross-validation, and succeeding in predicting several stable Heusler compositions that had not been previously reported. This ML approach also has its own drawbacks, notably its reliance on the quality of the training set, and the difficulty of extracting a deeper understanding of the physics and chemistry underpinning its predictions. Nevertheless, it may prove an invaluable complement to the ab initio predictions in the search for novel compounds.

It is therefore very important to know to which extent the ML and ab initio approaches differ in their predictions, and to try to understand the possible reasons for these differences. We carry out such a comparison here. We have chosen the family of the half-Heusler compounds, because of its large number of

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73 potential compounds, and the existence of at least three
74 published ab initio HT studies dealing with them.^{8,9,14} In what
75 follows we first describe the pre-existing ab initio HT results,
76 and assess their mutual consistency. Afterward we describe the
77 ML method and our modification from its original form in
78 order to deal with half-Heusler compounds. We then use the
79 ML approach to screen and evaluate the stability likelihood of
80 71 178 half-Heuslers. Finally we compare the ML and ab initio
81 results, and discuss the possible reasons for the differences
82 between their predictions.

83 ■ PREVIOUS AB INITIO HT STUDIES OF 84 HALF-HEUSLER COMPOUNDS

85 HHs crystallize in the space group $F\bar{4}3M$ (Pearson symbol
86 cF12) with the 1:1:1 composition (XYZ). The HH structure is
87 shown on Figure 1. X, Y, and Z form three interpenetrating

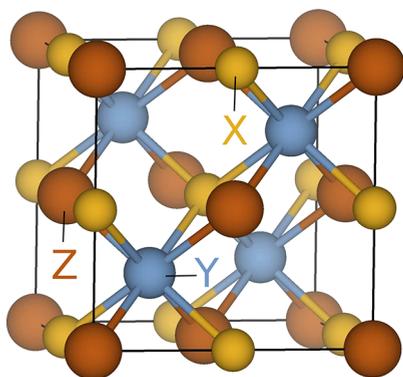


Figure 1. HH structure. X and Z sites are geometrically equivalent whereas Y site is different. A chemical composition ABC can form three different HH compounds: A, B, or C occupying the Y site.

88 face-centered cubic sublattices, occupying 4a, 4c, and 4b
89 Wyckoff positions, respectively. The X and Z atoms are
90 tetrahedrally coordinated (to Y atoms) and are geometrically
91 equivalent; interchanging them does not change the HH
92 structure. On the other hand, the Y atom is octahedrally
93 coordinated and it is not interchangeable with the X or Z atom
94 without changing the structure of the material. The HH
95 structure can be seen as XY (or YZ) forming a zincblende
96 structure with the Z (or X) atoms filling half of the tetrahedral
97 sites of the zincblende structure.

98 First let us examine the consistency of the previous HT ab
99 initio studies that explore the stability of hypothetical HH
100 compounds, namely the works of Carrete et al.,⁹ of Zhang et
101 al.,¹⁴ and of Ma et al.⁸ The three HT ab initio studies do not
102 employ the exact same method and do not have the exact same
103 rules to determine the stability of the hypothetical compounds.
104 The results of the ab initio studies are best aligned by setting
105 the same cutoffs of formation enthalpy (0 eV) and of convex
106 hull energy (0 eV). In this way the discrepancies pointed out in
107 the following stem from the different methods and calculations
108 and not from the interpretation of the results. Because the
109 convex hull energy cutoff is set to 0 eV, only one of the three
110 HH structures that exist per composition (see Figure 1) can be
111 stable. Therefore, for simplicity, the comparison is performed
112 using the composition and not each of the three structures per
113 composition. The composition is said to be stable if the most
114 stable HH compound out of the three possible HH structures is
115 stable, and unstable otherwise. However, for each discrepancy,

i.e., a composition stable by one study but not by the other
116 study, it is checked that the reported stable HH structure is well
117 explored by the other study (because of resources, the ab initio
118 studies did not systematically consider the three possible
119 structures).
120

An overview of the interrelation between the three ab initio
121 studies is given in Figure 2. Carrete et al.,⁹ Zhang et al.,¹⁴ and
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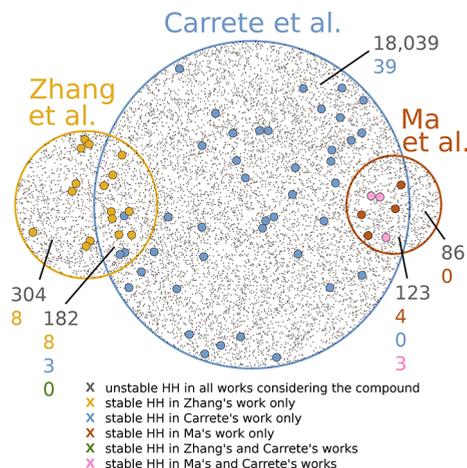


Figure 2. Points surrounded by the blue, red, and green circumferences represent the hypothetical HHs considered in Carrete's, Zhang's, and Ma's works, respectively. The intersections between the circles show the overlaps between the sets of compositions explored in the different works. The figures associated with each domain provide the number of compositions of different kinds (unstable/stable, and by which studies). The color scheme is given in the legend.

Ma et al.⁸ investigated the possible stability in the HH structure
123 of respectively 18501, 505, and 216 different compositions not
124 already reported in the ICSD-aflow.org, either as HH or as
125 some other prototype. As illustrated in Figure 2, 130
126 compositions are simultaneously contained in the studies by
127 Carrete and by Ma, and 193 compositions are common to
128 those by Carrete and by Zhang. There is no overlap between
129 the sets considered by Zhang and Ma. Out of the 130
130 compositions common to Carrete and Ma, 7 HHs (VCoAs,
131 MnCoAs, VRuAs, TiRhSb, TiCoAs, VCoGe, TiNiGe) are
132 found stable by at least one of the two studies, out of which 3
133 (TiCoAs, VCoGe, TiNiGe) are found stable by both studies
134 and 4 HHs (VCoAs, MnCoAs, VRuAs, TiRhSb) are found
135 stable in Ma's work but not in Carrete's work. For the 193
136 compositions common to Carrete and Zhang, 3 compounds
137 (NaSbSr, NaPCd, BaBiK) are found stable by Carrete, and 8
138 other (CuLiTe, AgLiTe, CuInGe, LiInSi, BeZnSi, BeZnGe,
139 BeZnSn, ZnHgSn) are found stable by Zhang. This means that
140 for the prediction of stable compounds there is no agreement
141 between both works. To summarize, out of the hypothetical
142 HHs investigated by more than one study (either by Carrete
143 and Zhang or by Carrete and Ma), 18 are found stable by at
144 least one study, out of which only 3 are simultaneously found
145 stable by two studies. The remaining 15 compounds are sources
146 of disagreement between the studies.
147

The origin of the discrepancies has several sources. The 3
148 hypothetical HHs that are found stable in Carrete's work but
149 not in Zhang's work are due to Zhang and co-workers finding a
150 different, more stable phase: according to Zhang's work BaBiK
151 is more stable in the P63/mmc space group (hP6 Pearson
152 symbol), NaPCd in the *Pnma* space group (oP12 Pearson
153

Table 1. List of the Full Set of Descriptors with Their Relative Importance in the ML Model^a

ranking	feature	importance	ranking	feature	importance
1	cov _{col,r}	0.0549	33	sum _{col} ¹⁰	0.0171
2	cov _{col,z}	0.0346	34	r _X /r _Y	0.0170
3	cov _{r,z}	0.0337	35	cov _{row,m}	0.0168
4	χ _X /χ _Z	0.0283	36	r _X - r _Y	0.0165
5	cov _{col,m}	0.0273	37	d	0.0163
6	sum _{col}	0.0272	38	m _X	0.0160
7	r _Z /r _Y	0.0265	39	cov _{Z,row}	0.0159
8	χ _X - χ _Z	0.0262	40	χ _Z	0.0155
9	cov _{Z,col}	0.0259	41	p	0.0152
10	r _Z - r _Y	0.0247	42	r _Y	0.0149
11	r _X /r _Z	0.0236	43	χ _Y	0.0144
12	m _Z	0.0235	44	r _X	0.0140
13	χ _X	0.0230	45	Z _Y	0.0133
14	cov _{col,row}	0.0227	46	m _Y	0.0133
15	Z _Z	0.0224	47	col _X	0.0122
16	r _X - r _Z	0.0219	48	row _Z	0.0048
17	cov _{m,z}	0.0217	49	row _Y	0.0043
18	χ _Z /χ _Y	0.0214	50	s	0.0042
19	cov _{Z,m}	0.0206	51	row _X	0.0037
20	cov _{Z,z}	0.0203			
21	cov _{row,z}	0.0197			
22	χ _X /χ _Y	0.0197			
23	cov _{r,m}	0.0195			
24	χ _Z - χ _Y	0.0193			
25	cov _{Z,r}	0.0188			
26	r _{avg} (χ _Z) - r _Y	0.0187			
27	χ _X - χ _Y	0.0186			
28	col _Y	0.0186			
29	col _Z	0.0180			
30	cov _{row,r}	0.0180			
31	Z _X	0.0177			
32	r _Z	0.0175			

^aX (Z) is set as the most (least) electropositive atom. We use elemental properties of the atoms X, Y, Z (atomic number, Z; column number, col; row number, row; radius, r; mass, m; electronegativity, χ) and some of their combinations (specifically, differences and ratios of the radii and electronegativities of the different atoms). The column number of the lanthanides is set to 3. The descriptors also include the covariances between all elemental properties.²³ The covariance between two elemental properties *i* and *j* is indicated as cov_{ij}. Additional descriptors are the numbers of s, p, d valence electrons (*s*, *p*, *d*). We also consider the sum of the column numbers of the three atoms (sum_{col}), in order to account for the sum of valence electrons, as well as the modulo 10 of this sum (sum_{col}¹⁰).

154 symbol), and NaSbSr in the *Ima2* space group (oI36 Pearson's
155 symbol). The inconsistencies here can be due to Zhang and co-
156 workers correcting the DFT energies following ref 20, using
157 DFT + U, and investigating additional competing phases (the
158 phases were not available in the database used by ref 9 at the
159 time, and were not explicitly included because of the
160 computational cost.) The 8 hypothetical HHs that are found
161 stable in Zhang's work but not in Carrete's work are so because
162 of different reasons: Carrete's calculations gave positive
163 formation energies for 5 HHs (CuInGe, BeZnSi, BeZnGe,
164 BeZnSn, ZnHgSn), mechanical instability for 1 HH (AgLiTe),
165 and thermodynamic instability vs other competitive phases for
166 the 2 remaining HHs (CuLiTe, LiInSi). The different signs of
167 formation energies could be explained by the almost zero
168 formation energies (at most at 0.11 eV from zero in the work of
169 Zhang et al.).²¹ The discrepancy in mechanical stability
170 (AgLiTe) could also be explained by a borderline mechanical
171 stability (a slightly distorted HH structure exists with an energy
172 that is less than 0.15 meV/atom apart from that of the ideal HH
173 structure).²¹ The studies could give results that are on a
174 different side of the boundary because of the different methods
175 employed (Zhang et al. used DFT + U and added the FERE
176 correction while Carrete et al. did not). For CuLiTe and LiInSi,
177 Zhang et al. found them stable because they missed some
178 intermetallic competing phases (LiCu₃, LiIn, LiIn₃) that were
179 not available in experimental databases at the time of their
180 calculations.²¹ For the 4 hypothetical HHs that are found stable

181 in the work of Ma but not in the work of Carrete, the reason is
182 a mechanical instability for 2 HHs (VCoAs, MnCoAs) and a
183 thermodynamical instability vs other competitive phases for
184 the other 2 HHs (VRuAs, TiRhSb). For those last 8 + 4 HHs,
185 the inconsistencies can be due to uncertainties in calculations
186 (never out of the question in high-throughput studies), to
187 approximations (in particular of not checking the mechanical
188 stability or of not considering some competitive phases for the
189 thermodynamical stability), or to energy discrepancies (which
190 can sometimes be of just a few meV).

In Supporting Information an additional work from Zhang et
al.²² using ab initio high throughput to predict new stable HH
compounds is compared to that of Carrete et al. and Ma et al.

MACHINE LEARNING APPROACH

We use the Scikit-learn package and employ a random forests
algorithm. A random forests algorithm uses a number of trees
(set to 1000 here) to make the stable/unstable classification,
every tree classifying each of the inputs. Every tree is built using
6 features and no restriction is imposed on the depth of the
tree. In what follows, the trees classifying the input as stable are
referred as positive trees and those classifying the input as
unstable as negative trees. The fractions of positive and negative
trees are computed by the ML model. The classification
(stable/unstable) of the input is based on the majority trees.
The descriptors employed are based on the elemental
properties of the three atoms of the compounds. In order to

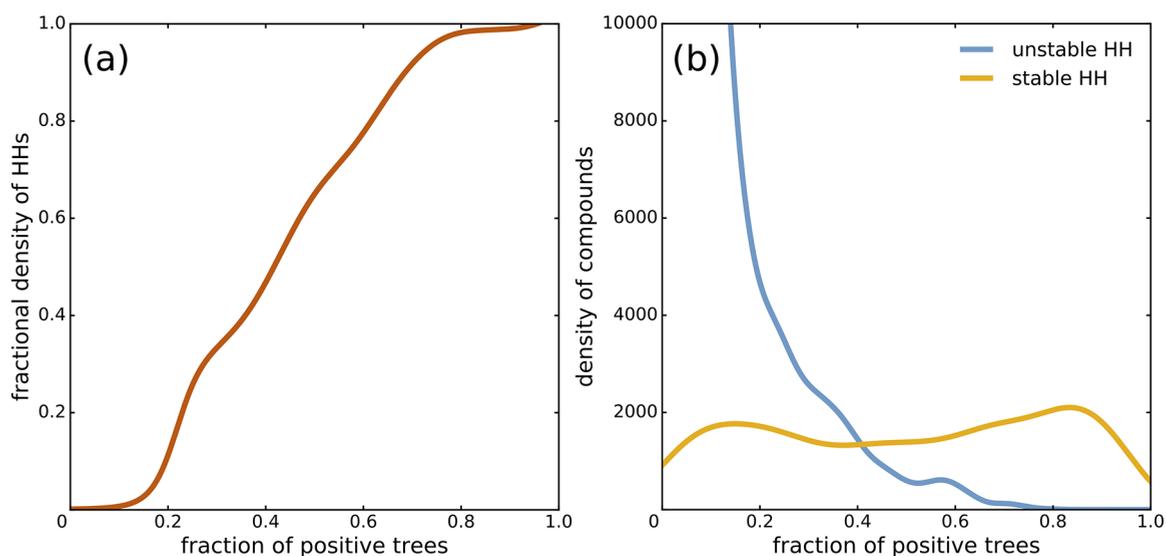


Figure 3. Plot of the outcome of the 10-fold cross-validations, i.e., for the predictions of compounds not included in the training set. The y axis shows the targets (the classification as listed in the ICSD-aflow.org) and the x axis shows the predictions (the fraction of positive trees provided by the ML model). (a) The ratio of the density of HHs over the density of all compounds ; (b) the densities of stable and unstable HHs. To plot (a) and (b), a Gaussian kernel density estimation (KDE) is used.

207 achieve better performance, the geometrically equivalent X and
 208 Z atoms are distinguished. Since the electronegativity of the X
 209 and Z atoms play an important role in the stability of HH,¹⁰ X
 210 and Z are discriminated based on their electronegativities. The
 211 full list of descriptors employed is given in Table 1.

212 The data set employed for the training and validation
 213 consists of the compounds with the 1:1:1 (ABC) composition
 214 that are reported in the Inorganic Crystal Structure Database
 215 (ICSD) available in the aflow.org^{24–26} repositories. For each
 216 1:1:1 composition present in the ICSD-aflow.org, if one of the
 217 reported materials is a HH compound, the corresponding stable
 218 entry is added to the data set (with the correct correspondence
 219 between ABC and XYZ). If not, six unstable entries (for all
 220 possible bijections between ABC and XYZ) are added to the
 221 data set. In addition, duplicates are removed. This results in a
 222 data set of 164 stable entries and of 11 022 unstable entries.

223 The performance of the ML model is assessed by carrying
 224 out and averaging 10 different 10-fold cross-validations. This
 225 method evaluates the performance of the ML model on a data
 226 set not included in the training set. For the prediction of stable
 227 HHs, we obtain a precision of 0.91 (out of the 92 HHs
 228 predicted to be stable, 84 are truly stable) and a recall of 0.51
 229 (out of the 164 truly stable HHs, 84 are predicted to be stable).
 230 The confusion matrix is provided in Supporting Information.

231 The resulting Matthews correlation coefficient is 0.68. The
 232 performance of the ML model is evaluated differently in Figure
 233 3a where we plot the fraction of truly stable HHs against the
 234 fraction of positive trees. The plot follows the $y = x$ trend,
 235 showing that the fraction of positive trees really reflects the
 236 actual probability of the HHs to be stable. The ML model is
 237 therefore very helpful to guide further detailed work in the
 238 search for new stable HH compounds. Figure 3b shows the
 239 relative densities of the targets of the data set (stable/unstable)
 240 as a function of the fraction of positive trees. The plot shows
 241 that the overwhelming majority of unstable HHs are well
 242 classified (the area under the blue curve to the left of fraction of
 243 positive trees = 0.5 are the well-classified true negative).
 244 However, it also shows that part of the stable HHs are not
 245 captured by the ML model (the area under the yellow curve to

the left of fraction of positive trees = 0.5 are the misclassified
 false negative).

246
 247
 248 Table 1 gives the relative importance of each feature. It is
 249 found that the best ranked features combine elemental
 250 properties of the different element types: the most three
 251 important features are covariances, of which the covariance
 252 between the radii and the column numbers $\text{cov}_{r,\text{col}}$ comes first.
 253 Figure 4 shows the performance of models using smaller sets of
 254 features. The features of the subsets are selected by using the
 255 recursive feature elimination method with cross-validation. The
 256 results show that the subset of 6 features allows for almost the
 257 same performance as the full set of descriptors. It contains $r_Z/$
 258 r_Y , χ_X/χ_Z , $\text{cov}_{\text{col},r}$, $\text{cov}_{\text{col},m}$, $\text{cov}_{\text{col},\chi}$ and $\text{cov}_{r,\chi}$. The 6 features do
 259 not exactly correspond to the best 6 ranked features, due to

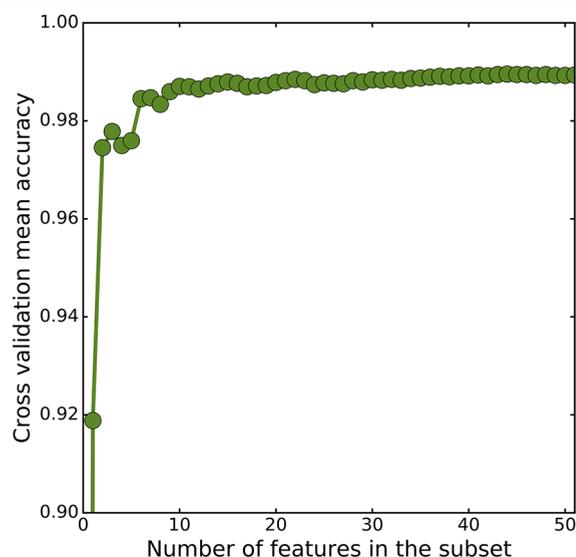


Figure 4. Plot shows the score (i.e., the mean accuracy) of subsets that results from 3-fold cross-validation against the number of selected features of the subsets. The features are selected using the recursive feature elimination method.

260 their intercorrelation. In what follows, the full set of descriptors
261 is used.

262 **Screening for the Stability of 71 178 1:1:1 Composi-**
263 **tions in the HH Structure.** The ML model is used to predict
264 the stability of 1:1:1 (ABC) compositions in the HH structure.
265 The set of study includes all possible 1:1:1 compositions from
266 the elements Li–Bi excluding the noble gases. The
267 compositions already listed in the ICSD (be it in the HH
268 structure or not) are discarded. As a result, 71 178
269 compositions are explored. For each composition, the 6
270 different bijections (between A, B, C and X, Y, Z) are
271 considered. The results associated with the combination
272 displaying the highest fraction of positive trees are presented.
273 The HHs with a fraction of positive trees superior to 0.8 are
274 given in Table 2, and all compounds with a fraction of positive

of the hypothetical HHs predicted as most likely stable have 283
actually already been synthesized. Furthermore, two chemical 284
compositions, VCoGe and TiCoAs, that ab initio calculations 285
identify to form in the HH structure but that are experimentally 286
known to exist in another phase¹⁸ are correctly classified by the 287
ML model, which provide fractions of positive trees of 0.07 and 288
0.03 for VCoGe and TiCoAs, respectively. This lends a strong 289
support to the predictive capabilities of the ML algorithm. 290

Comparison between ab Initio Studies and ML Model

291 **Predictions.** Figure 5 compares the ML predictions with those 292
from the ab initio studies. The histograms on the bottom row 293
of Figure 5 show the fraction of half-Heusler compounds 294
predicted by the ab initio studies, as a function of the ML 295
“probability”, expressed as the fraction of positive trees in the 296
random forests algorithm. The fraction of stable HHs 297
(according to the ab initio studies) increases with the fraction 298
of positive trees, showing some agreement between the two 299
methods, but the agreement is not as clear as the one observed 300
between ML and experiments (see Figure 2). 301

Another way of comparing the two approaches is also 302
provided in Figure 5 (graphs at the top row). The plots show 303
two separate curves, corresponding to the HHs and non-HHs 304
ab initio results. The compounds within each of these two sets 305
are ranked in order of increasing probability, and their 306
probability (fraction of positive trees) is plotted versus this 307
rank number. This yields two monotonically increasing curves. 308
In the ideal case the line of the HH compounds (thick dots) 309
should be concave, meaning that many more compounds would 310
correspond to high ML probabilities. Similarly, the non-HH 311
compound curve should be convex, meaning that the majority 312
of non-HH compounds correspond to low ML probabilities. 313
This depiction then allows for an easy visual evaluation of the 314
agreement between ab initio and ML results. 315

The actual curve for the ensemble of the three studies indeed 316
shows concave and convex shapes for the HH and non-HH 317
sets, respectively. Looking at the separate results of each of the 318
three ab initio studies unveils pattern differences, however. 319
Results of Zhang et al. follow the expected concave-convex 320
pattern quite well, meaning an agreement between the 321
expectations from the ML classification and the actual ab initio 322
results. Carrete’s results display the expected convex pattern for 323
the non-HH compounds, but the curve for the HHs is concave 324
only for the higher ranked part of the data, and it has a tail of 325
low-ranked compounds that had been nonetheless classified as 326
HHs by the ab initio calculations. Three of the compounds in 327
this HH tail were also classified as HHs by Ma, and two of them 328
were given as non-HHs by Zhang. For the set of Ma et al., the 329
HH curve is heavily weighed toward the low-probability ML 330
values, opposite to expectation. This unexpected trend is 331
explained by a known DFT failure. DFT calculations identify 332
the XYAs or XYGe compounds to form in the HH structure 333
while experimentally they exist in another structure.¹⁸ The six 334
HH compounds that are reported stable in Ma’s work but 335
classified as unstable by the ML model (probability inferior to 336
0.1) are all XYAs or XYGe compounds: VCoAs, MnCoAs, 337
VRuAs, TiCoAs, VCoGe, and TiNiGe. Unlike DFT, ML 338
predicts correctly the stability of those HH compounds. This 339
reconfirms that ML methods are a great help for predicting the 340
stability of HH compounds. The non-HH curve associated with 341
Ma’s work roughly follows the expected pattern, but there is an 342
unexpected concave part in the 0.7–0.8 fractional rank region. 343
These qualitative differences in shape between the three ab 344
initio data sets may be due to the different recipes used to 345

Table 2. List of the 28 Chemical Compositions with a Probability of Being HH Superior to 0.8^a

XYZ	probability	space group
ErNiBi	0.954	216 ^b
TmPtBi	0.947	216 ^b
ErPdBi	0.931	216 ^c
MnRuSb	0.931	
TbPtBi	0.913	216 ^b
TbPdBi	0.906	216 ^b
TmPdBi	0.899	216 ^b
EuPdBi	0.890	
MnFeSb	0.885	227 ^d
LuPtBi	0.882	216 ^b
YPtBi	0.864	216 ^b
EuPtBi	0.861	
TiRhSb	0.861	216 ^c
ScPdBi	0.854	216 ^b
MnTeRh	0.846	
HfCoBi	0.844	
LuPdBi	0.831	216 ^b
MnAgSb	0.830	
TiPtSb	0.830	
TmAuPb	0.829	
VRhSb	0.827	
MnRuTe	0.822	
ZrIrBi	0.819	
MnTePt	0.819	
ScPtBi	0.813	
PmPdBi	0.812	
ZrPdBi	0.803	
MnRhSn	0.803	

^aThe “probability” is expressed as the fraction of positive trees obtained with the ML model. None of these compounds are contained in the ICSD data set we used for the training. However, many of them have been reported in the literature. In those cases the compound’s space group number is provided in the third column. Space group 216 is that of the HHs. ^bRef 28. ^cRef 29. ^dRef 30. ^eRef 31.

275 trees superior to 0.5 are available in the Supporting
276 Information. In Table 2 and in the Supporting Information,
277 the stable HHs are given as XYZ, Y being the inequivalent
278 atom. Although the chemical compositions listed in Table 2
279 and in the Supporting Information are not present in the ICSD-
280 aflow.org repositories, and thus were absent from the training
281 set, some of them are reported in other databases. In particular
282 a few of them can be found in the Pauling file database.²⁷ Many

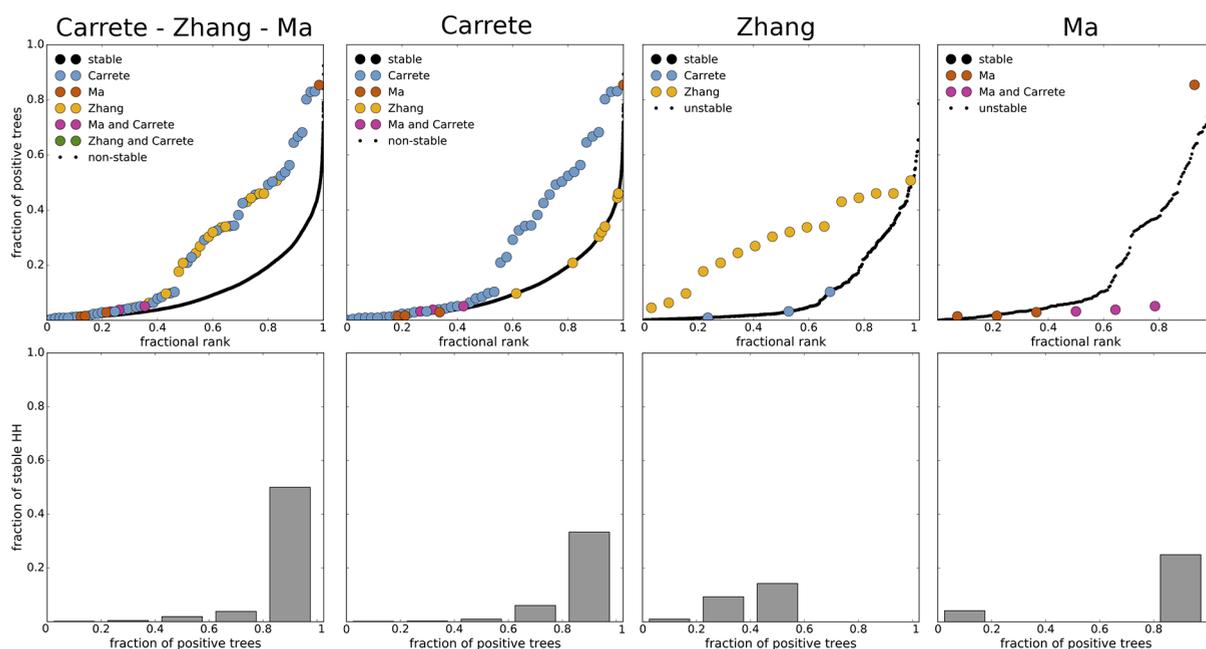


Figure 5. Comparison of the predictions from the ML model with those from the ab initio studies. The three ab initio studies (Carrete–Zhang–Ma, on the left) as well as each independent ab initio study (on the middle left, middle right, and right) are used to classify the stable/unstable HHs. For Carrete–Zhang–Ma, a HH is considered as stable if it is found stable by at least one of the three ab initio studies. Top: the y axis is the fraction of positive trees of the hypothetical HHs, the x axis represents the fractional rank of the compound in the stable and unstable lists (when the HHs are ordered in ascending order of their fraction of positive trees). Bottom: the y axis shows the predictions from the ab initio studies (a HH is considered as stable if it is found stable by at least one ab initio study) and the x axis, divided into 5 bins, shows the predictions from the ML model (more specifically the fraction of positive trees).

346 choose the chemical compositions of the set. Zhang’s set
 347 contained compositions with 8 or 18 electron count, whereas
 348 those of Carrete and Ma were more diverse. It has also been
 349 shown that the zero-kelvin energies computed using DFT were
 350 sometimes not sufficient to correctly describe the most stable
 351 phase at standard conditions.¹⁸ Factors such as configurational
 352 entropy and quasiharmonic contributions may also change the
 353 ordering of the free energies of competing phases.³² When
 354 several possible configurations are competing near the ground
 355 state, entropy may stabilize the metastable solution, possibly
 356 having higher symmetry. Also, many metallic Heuslers are
 357 made through arc-melting leading to intrinsic disorder. Similar
 358 arguments have been used to discuss stabilization of other
 359 classes of materials.¹⁶ In addition, kinetic effects may prevent
 360 formation of the thermodynamically most stable phase, in favor
 361 of a less stable one that starts nucleating earlier.¹⁷ An alternative
 362 definition of stability based on synthesizability, taking into
 363 account the history of the material and including entropy
 364 descriptors, could be the subject of future work. Ultimately, the
 365 only way to verify the correctness of the ML and ab initio
 366 predictions is the experimental verification of the stability as
 367 given by the different methods.

368 ■ CONCLUSIONS

369 Three different ab initio studies from the literature have
 370 provided predictions of potentially new stable HHs. Our
 371 analysis of these studies shows that, out of the 323
 372 compositions for which the ab initio data sets overlap, 15
 373 hypothetical HHs are found to be stable by one study but not
 374 by the other, and 3 hypothetical HHs are found to be stable by
 375 the two studies. This suggests that the methodology used today
 376 with HT ab initio methods to predict materials stability is not
 377 fully consistent among practitioners. Machine-learning algo-

378 rithms are a powerful complement to ab initio methods, for
 379 they are able to guess the stable phase corresponding to a
 380 chemical composition by training the model only with
 381 experimentally reported compounds. Our ML classification of
 382 ternary compositions into half-Heusler versus nonhalf-Heusler
 383 yields an excellent performance on cross-validation, with 91%
 384 of the compounds in the HH group and over 99% of those in
 385 the non-HH group being correctly classified. It is also found
 386 that to predict the stability of a hypothetical HH, it is best to
 387 use descriptors that combine elemental properties of the
 388 different atoms. In particular the covariances between elemental
 389 properties are found to be important. We further use the
 390 algorithm to sort 71 178 previously unreported ternary
 391 compositions in order of increasing likelihood of being a stable
 392 half-Heusler, yielding a list of about 30 most likely ones worthy
 393 of further study. Some of these compositions were not listed in
 394 the ICSD used for the training, but appeared to be stable half-
 395 Heuslers in another database, confirming the reliability of the
 396 method. We have also shown that there is a certain degree of
 397 correlation between the predictions of ML and the ab initio
 398 results, with some variability among the studies. The ab initio
 399 study containing 8 and 18 electron compounds, and the lesser
 400 number of compounds, seems to be the one whose results
 401 correlate best with the ML prediction. Our results suggest that
 402 ML prediction of stable phases can represent a powerful ally of
 403 ab initio approaches for the discovery of new materials. Further
 404 work, especially in combination with experimental validation, is
 405 expected to clarify the extent and limitations of ML in this area.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the
 ACS Publications website at DOI: 10.1021/acs.jpcc.7b05296.

410 Chemical compositions for which the machine-learning
411 model gives a probability to be stable in the HH structure
412 higher than 0.5 (ZIP)
413 List of the HH compounds for which the ML model
414 gives a probability of being stable that is superior to 0.5.
415 (PDF)

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427 Notes

428 The authors declare no competing financial interest.

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