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# Growth mechanism and electrochemical properties of hierarchical hollow SnO<sub>2</sub> microspheres with a “chestnut” morphology†

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Hierarchical hollow microspheres (HHMSs) constitute a very popular class of materials for use as drug-delivery carriers, photocatalysts and electrode materials in batteries, owing to their large, porous surface area and mechanical integrity during intercalation reactions. Here, we used a template- and additive-free hydrothermal route to prepare an unusually shaped SnO<sub>2</sub> material that comprises a hollow spherical morphology with uniform diameters and very thin petal-like nano-sheets grown perpendicularly on the sphere's surface, resembling a “chestnut cupule”. We thoroughly investigated the formation mechanism by <sup>119</sup>Sn Mössbauer spectroscopy, powder X-ray diffraction and X-ray photoelectron spectroscopy. Key to this process is the ultrasonic pre-treatment of an aqueous SnCl<sub>2</sub> solution, followed by Ostwald “inside-out” ripening upon hydrothermal processing. This unique morphology has greatly improved the storage capacity and cycling performance of SnO<sub>2</sub> as an anode material for lithium and sodium ion batteries compared with conventional SnO<sub>2</sub> materials.

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## 1. Introduction

As documented recently by two comprehensive review articles,<sup>1,2</sup> hierarchical hollow microspheres (HHMSs) have evolved as an attractive class of materials for many applications, where a large and easily-accessible surface area, high pore volume and good mechanical and thermal stability are required. For example, HHMSs have been frequently investigated as nano-reactors and drug-delivery carriers, in electromagnetic wave absorption,<sup>3,4</sup> as well as in photocatalysis<sup>5</sup> and as active compounds in gas sensors<sup>6</sup> and in photovoltaic devices.<sup>7–9</sup> In recent years, the focus on HHMSs has shifted to their use as anode materials in lithium ion batteries (LIBs) and, recently, sodium-ion batteries (SIBs).<sup>10–15</sup>

Graphite, the conventional anode materials in LIBs, is limited by its rather low theoretical specific capacity (*e.g.* 372 mA h g<sup>-1</sup>) and, in particular, volumetric capacities.<sup>16</sup> Its use in SIBs is even more hampered, as Na ions are too large to be inserted into the graphite interlayers.<sup>12</sup> Therefore, much research has been devoted to anodes of metal oxides, such as TiO<sub>2</sub>, Fe<sub>3</sub>O<sub>4</sub>, and SnO<sub>2</sub>.<sup>10,17–19</sup> SnO<sub>2</sub> has evolved as one of the most promising candidates, due to its intrinsically high theoretical specific capacity (782 mA h g<sup>-1</sup> and 667 mA h g<sup>-1</sup> for LIBs<sup>7</sup> and SIBs<sup>17</sup> respectively), environmental benignity and low cost.<sup>7,12,20,21</sup> However, conventional SnO<sub>2</sub> materials suffer from a severe volume change (*i.e.* more than 200%) when SnO<sub>2</sub> becomes reduced and reacts with Li to form Li<sub>4.4</sub>Sn during the charge and discharge process, typically leading to pulverization of the electrode and thus a rapid capacity decay.<sup>22</sup> This effect is even more pronounced for Na<sup>+</sup> intercalation, due to its larger ion radius than Li<sup>+</sup> (by about 55%).<sup>10</sup> Nanostructured materials, such as nanosheets,<sup>23</sup> nanoboxes,<sup>24</sup> nanotubes,<sup>20</sup> and, particularly, hollow spheres,<sup>25–31</sup> have been demonstrated to cope with volume expansions during Li<sup>+</sup>/Na<sup>+</sup> insertion and extraction significantly better than their respective bulk counterparts.<sup>25–31</sup>

Hollow micro/nanostructures are generally synthesized *via* wet-chemical methods that involve hard or soft templates and often require time-consuming multi-step processes (*i.e.* annealing, template removal, *etc.*).<sup>32–35</sup> For example, Wang *et al.* have synthesized SnO<sub>2</sub> HHMSs through a fluoride-

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mediated process,<sup>36</sup> while Gurunathan *et al.* have used the resorcinol–formaldehyde (RF) gel method.<sup>37</sup> Both studies demonstrate improved electrochemical stability for LIB application. There are also examples for template-free synthesis of HHMSs for metal oxides, such as TiO<sub>2</sub>,<sup>38</sup> Cu<sub>2</sub>O,<sup>39</sup> SnO<sub>2</sub> (ref. 3 and 31) and NiO.<sup>40</sup> They often involve organic solvents, acids and additives, which increase cost, have greater negative environmental impact and are generally not desirable for large-scale fabrication. While there are many recent works on the synthesis and application of such hollow structures, only a few studies have so far reported their formation mechanism, nucleation and growth, in particular regarding template- and additive-free processes.

In this work we synthesized hollow SnO<sub>2</sub> microspheres *via* a template-free route without any additives/solvents, by utilizing an ultrasonication-assisted hydrothermal process. The resulting material consists of hollow spheres, which uniquely exhibits vertically grown nano-sized petal structures that resemble the cupules of chestnuts. We investigated the growth mechanism in detail, using X-ray powder diffraction (XRD), X-ray photoelectron spectroscopy (XPS) and <sup>119</sup>Sn Mössbauer spectroscopy. We developed a growth model that is applicable to a wide range of inorganic compounds and provides new insight regarding the formation of hollow microsphere materials. Finally, we tested the electrochemical performance of SnO<sub>2</sub> microspheres in lithium-ion batteries and, for the first time, in sodium-ion batteries.

## 2. Experimental

### Materials synthesis

In a typical reaction a certain amount (0.1–1.0 g) of tin(II)chloride dihydrate (SnCl<sub>2</sub>·2H<sub>2</sub>O, 98%, Sigma-Aldrich) was dissolved in 35 ml deionized (DI) water and treated in an ultrasonic (US) bath (Bandelin Sonorex Digitec, 35 kHz) for 5–120 min. Subsequently, the solution was transferred into a Teflon-lined autoclave and heated at 120 °C for 6 h. Then the product was immersed in a large amount of DI water and altered for 1–2 hours before being filtered, washed with DI water and dried at 60 °C overnight. For comparison, the reaction solution was hydrothermally processed without ultrasonic pre-treatment and the effects of reaction temperature and sonication time were investigated.

### Characterisation

The materials were characterised by scanning electron microscopy (SEM; Zeiss XB 1540 EsB, 2 kV) and transmission electron microscopy (TEM; Zeiss Libra 200) using a 200 kV acceleration voltage. The samples were further analysed by powder X-ray diffraction (XRD), using a Bruker, D8 Advance with Cu-K<sub>α</sub> radiation ( $\lambda = 1.5406 \text{ \AA}$ ), and by nitrogen physisorption (BET/BJH), using a Micromeritics ASAP 2010. The chemical composition of the samples was obtained by X-ray photoelectron spectroscopy (XPS) using a VG ESCALAB 250 with Al-K<sub>α</sub> radiation. A Ca<sup>119m</sup>SnO<sub>3</sub> source was used for the <sup>119</sup>Sn Mössbauer spectroscopic experiments. The mea-

surements were carried out at ambient temperature in a transmission geometry. The Mössbauer source was kept at room temperature. The samples were enclosed in small PMMA containers. Palladium foil of 0.05 mm thickness was used to reduce tin K-X-rays concurrently emitted by this source. Fitting of the spectra was performed with the Normos-90 program system.<sup>41</sup>

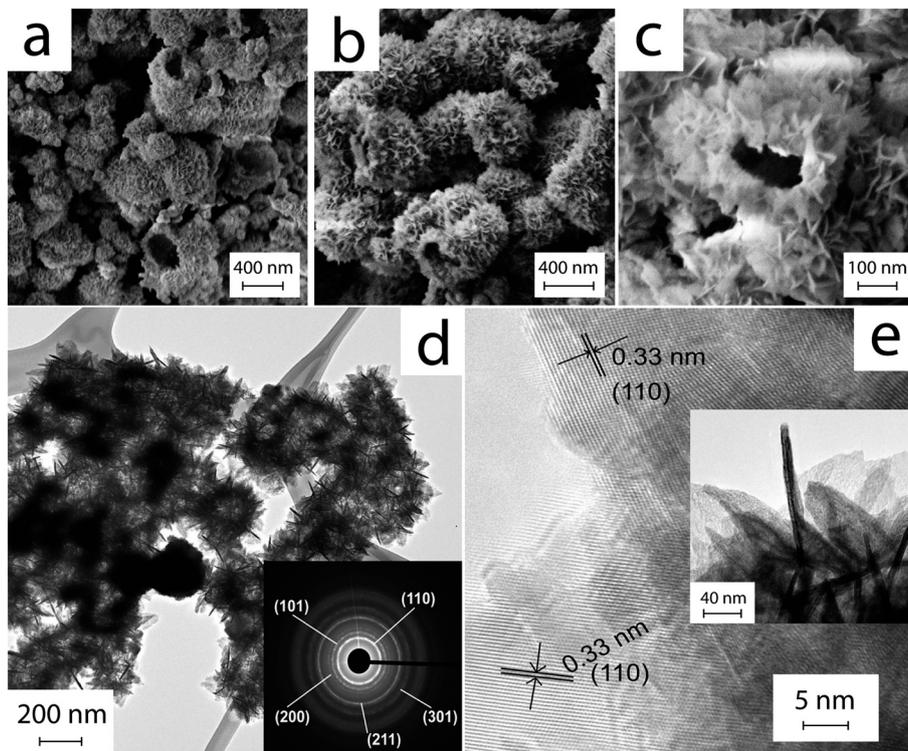
### Electrochemical measurements

Electrodes were prepared by dissolving sodium carboxymethyl cellulose (CMC, WALOCEL™ CRT 2000 PPA 12, Dow Wolff Cellulosics) in DI water to form a 1.25 wt% solution followed by addition of SnO<sub>2</sub> HHMSs and conductive carbon (SuperC65®, TIMCAL), aiming for an overall electrode composition of 70:20:10 (w/w/w, SnO<sub>2</sub>:SuperC65®:CMC). The resulting mixture was dispersed by ball milling for 3 h. The obtained slurry was then casted onto copper foil (Schlenk) using a laboratory doctor blade. After drying at room temperature, disk electrodes ( $\varnothing = 12 \text{ mm}$ ) were punched and dried under vacuum at 120 °C for 12 h. The active material loading on the electrode was  $\sim 1.7 \text{ mg cm}^{-2}$ . Three-electrode Swagelok cells with lithium (Rockwood Lithium, battery grade) and sodium (Alfa Aesar, battery grade) metal foils as counter and reference electrodes were assembled in an Ar-filled glove box (MBraun) with the oxygen and moisture contents kept below 0.5 ppm. The electrolyte was prepared using a solution of 1.0 M LiPF<sub>6</sub> or NaClO<sub>4</sub> in ethylene carbonate (EC) and diethyl carbonate (DEC) (w/w = 1:1). A Whatman™ glass fibre disc was used as a separator in all cells. Cyclic voltammetry (CV) was carried out between 2.0 and 0.02 V at a scan rate of 0.5 mV s<sup>-1</sup> using a VMP3 potentiostat (BIOLOGIC). The charge/discharge measurements at room temperature were performed in a voltage window of 0.02–2.0 V at different current densities using a Maccor Battery Tester 4300. The current density is expressed in C-rate, where 1C corresponds to an applied specific current of 782 mA g<sup>-1</sup> and 667 mA g<sup>-1</sup> for Li<sup>+</sup> and Na<sup>+</sup> cells, respectively. All potential values refer to the Li/Li<sup>+</sup> or Na/Na<sup>+</sup> reference couples.

## 3. Results and discussion

Fig. 1 shows typical SEM and TEM images of a sample after ultrasonication for 5 min and hydrothermal processing at 120 °C for 6 h. The images reveal a hollow spherical morphology with diameters ranging from 300 to 400 nm; the shells are thin and surprisingly uniform in thickness ( $\sim 50 \text{ nm}$ ). In contrast to previously reported HHMSs, these spheres resemble the form of “chestnut cupules”, *i.e.* thin shells that are partly open and decorated with free-standing petals. The petals are 4–5 nm in thickness, approximately 30 nm in width and up to 80 nm in length. The SAED pattern in the inset of Fig. 1d shows fine diffraction spots that can be assigned to (110), (101), (200) and (211) of SnO<sub>2</sub> with a rutile-type structure. The HRTEM image in Fig. 1e further reveals that the petals consist of extended crystalline domains; the petals appear to have grown perpendicularly to the sphere's



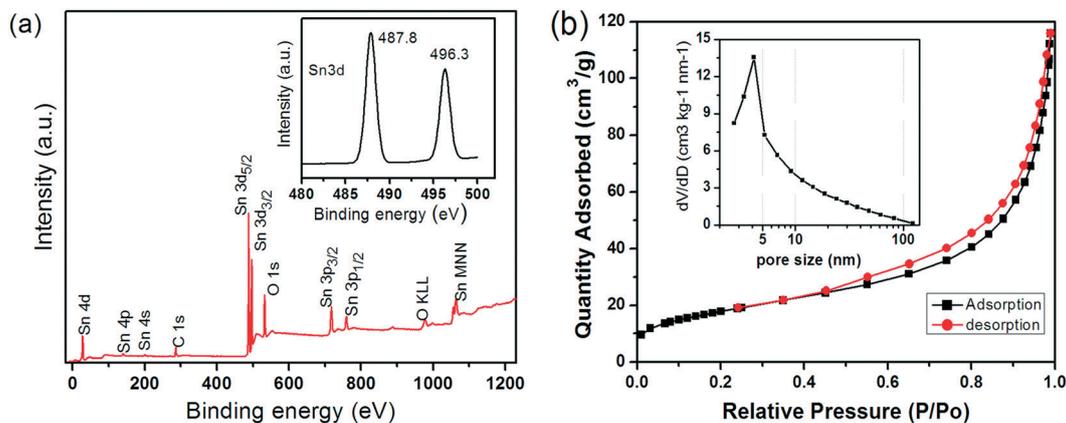


**Fig. 1** (a–c) SEM images of SnO<sub>2</sub> HHMSs showing the uniformity of size and morphology with occasionally open cupule structures; (d) low-magnification TEM image of SnO<sub>2</sub> HHMSs with the corresponding SAED pattern of SnO<sub>2</sub> in the inset; (e) HRTEM image of the nanopetals on the outer shell of SnO<sub>2</sub> HHMSs (the inset displays the corresponding lower-magnification TEM image) with the lattice fringes corresponding to the (110) spacing in SnO<sub>2</sub>.

surface, as indicated by the (110) fringes pointing towards the surface. Importantly, a reference experiment without ultrasonic pre-treatment did not yield this unique morphology, but rather produced large aggregates of particles and nanoplates (Fig. S1†).

Fig. 2a shows the XPS spectra of the as-produced material including all characteristic peaks of Sn (*i.e.* 3p, 3d, 4s, 4p, 4d) and a single peak at 532.8 eV that can be attributed to O1s of SnO<sub>2</sub>. Importantly, the presence of two peaks between 480 and 500 nm, *i.e.* Sn 3d<sub>5/2</sub> (487.8 eV) and Sn 3d<sub>3/2</sub> (496.3 eV),

and their separation in binding energy by 8.5 eV due to spin-orbital coupling of Sn 3d are characteristic of phase-pure SnO<sub>2</sub>.<sup>42</sup> Nitrogen physisorption yielded a surface area of 65 m<sup>2</sup> g<sup>-1</sup> according to the Brunauer–Emmett–Teller (BET) method (Fig. 2b), which is considerably larger than those of particulate SnO<sub>2</sub> (4.2 m<sup>2</sup> g<sup>-1</sup>) and ordered porous SnO<sub>2</sub> (7.8 m<sup>2</sup> g<sup>-1</sup>).<sup>43</sup> Furthermore, it shows a type IV isotherm with a type H3 hysteresis loop at relative pressures  $P/P_0$  of 0.4–1.0, which is indicative of the presence of a spherical mesoporous morphology. Fig. 2b shows the BJH pore size distribution



**Fig. 2** (a) XPS spectrum of the SnO<sub>2</sub> HHMSs and the corresponding Sn 3d doublet (inset); (b) nitrogen adsorption/desorption isotherms and the corresponding BJH distributions (inset) of the SnO<sub>2</sub> HHMSs.



with a peak maximum at about 4 nm, presumably corresponding to the average distance between the individual petals on the surface of the spheres, which is in line with TEM observations (Fig. 1).

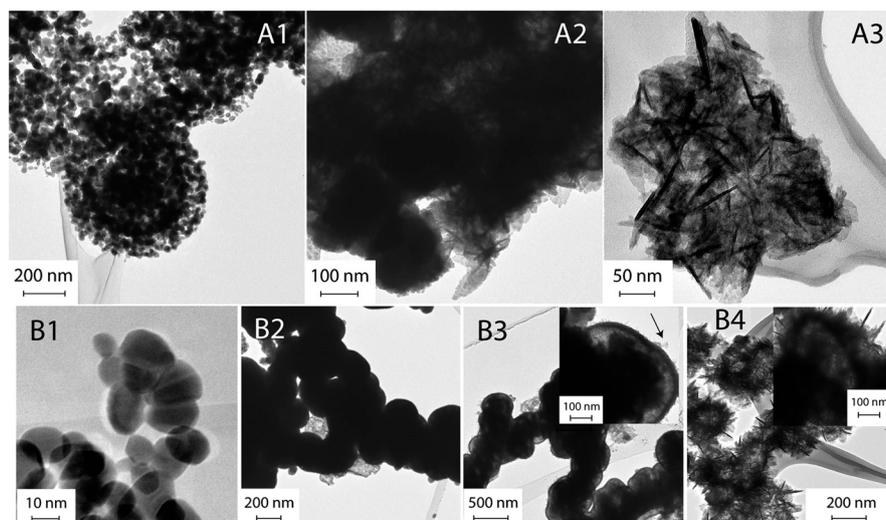
In order to understand the growth mechanism of the SnO<sub>2</sub> HHMSs, we varied the ultrasonication and hydrothermal processing times as well as the hydrothermal reaction temperature and analysed the samples by powder XRD and <sup>119</sup>Sn Mössbauer spectroscopy.

We first investigated the samples that were not ultrasonicated before being hydrothermally processed at 120 °C for various periods (route A). The TEM image of the sample after 30 min of autoclaving (Fig. 3-A1) shows small nanoparticles with diameters of 30–40 nm that are loosely assembled into large, sometimes spherical agglomerates with surprisingly uniform diameters of about 500 nm. XRD indicates that these nanoparticles are amorphous (Fig. 4-A1). With prolonged autoclaving time, the nanoparticles within the agglomerates accumulated into dense, spherical aggregates (2 h, Fig. 3-A2) and finally merged to create nanoplates (6 h, Fig. 3-A3). The XRD pattern in Fig. 4a confirms that both samples are predominantly crystalline with (110), (101), (200), (211), (310), (301), and (321) reflections corresponding to SnO<sub>2</sub> with a rutile-type structure (JCPDS 41-1445).

Secondly, we investigated the sample that was first ultrasonicated for 5 min and subsequently autoclaved at 120 °C for various periods (route B). It is important to note that ultrasonic treatment alone has already yielded white precipitates (Fig. S2a†). These consist of predominantly crystalline nanoparticles with diameters in the range of 15–20 nm (Fig. 3-B1). XRD reveals the presence of Sn<sup>2+</sup> compounds (Fig. 4b-B1), which can be indexed to Sn<sub>6</sub>O<sub>4</sub>(OH)<sub>4</sub> (ref. 44) and Sn<sub>3</sub>O(OH)<sub>2</sub>Cl<sub>2</sub>.<sup>45</sup> After a short period of autoclaving (30 min), the size of these particles increased to about 300 nm (Fig. 3-B2) without significantly altering their phase composition and

color (Fig. 4b-B2 and S2b†). Upon further extending the processing time, the particles were converted into partly hollow spheres with distinctive shells (Fig. 3-B3) and the as-obtained product now appears to be light yellow (Fig. S2c†). This step is accompanied by the evolution of new diffraction peaks assignable to rutile-type SnO<sub>2</sub> (Fig. 4b-B3). However, the rather broad peaks indicate that the shells are either amorphous or consist of very small crystallites. Interestingly, some spheres already contain some platelets on their surface (Fig. 3-B3). The final product appeared after 6 h as deep yellow precipitates (Fig. S2d†) and contains hierarchical SnO<sub>2</sub> hollow microspheres with the aforementioned “chestnut cupule” morphology (Fig. 3-B4). The spheres are crystalline and consist entirely of rutile-type SnO<sub>2</sub> with no visible residues of other phases (Fig. 4b-B4).

The samples were further analysed by <sup>119</sup>Sn Mössbauer spectroscopy; the results are summarized in Fig. 5 along with transmission integral fits and the corresponding fitting parameters are listed in Table 1. All spectra show a superposition of a Sn(IV) and a Sn(II) signal, however with distinctly different ratios. While there is an almost equal partition of both tin oxidation states in the sample after 30 min of autoclaving (a), only a tiny amount of divalent tin is observed in the <sup>119</sup>Sn spectrum of the final product (d). The Sn(IV) signals derive from the distorted SnO<sub>6</sub> octahedra of the hollow SnO<sub>2</sub> microspheres. Slightly asymmetric electron densities around the elongated octahedra result in small quadrupole splitting parameters. The divalent tin species can be assigned to Sn<sub>6</sub>O<sub>4</sub>(OH)<sub>4</sub><sup>44</sup> and Sn<sub>3</sub>O(OH)<sub>2</sub>Cl<sub>2</sub>,<sup>45</sup> in line with the XRD results and supported by the second <sup>119</sup>Sn signals in the range of 3.01–3.22 mm s<sup>-1</sup> observed for (a)–(d). Due to the pronounced lone-pair character of Sn(II), these signals show much higher quadrupole splitting than the Sn(IV) ones. The isomer shift and quadrupole splitting values determined for our samples are in good agreement with those reported for pure Sn<sub>3</sub>O(OH)<sub>2</sub>Cl<sub>2</sub> ( $\delta = 3.35$  mm s<sup>-1</sup> and  $\Delta E_Q = 1.85$  mm



**Fig. 3** Top: TEM images of products without ultrasonication (no US) pre-treatment of the precursor followed by autoclave treatment for (A1) 0.5 h, (A2) 2 h, and (A3) 6 h; bottom: TEM images of products with ultrasonication, *i.e.* SnO<sub>2</sub> HHMSs, collected at different hydrothermal reaction periods: (B1) 0 h; (B2) 0.5 h; (B3) 2 h; (B4) 6 h.



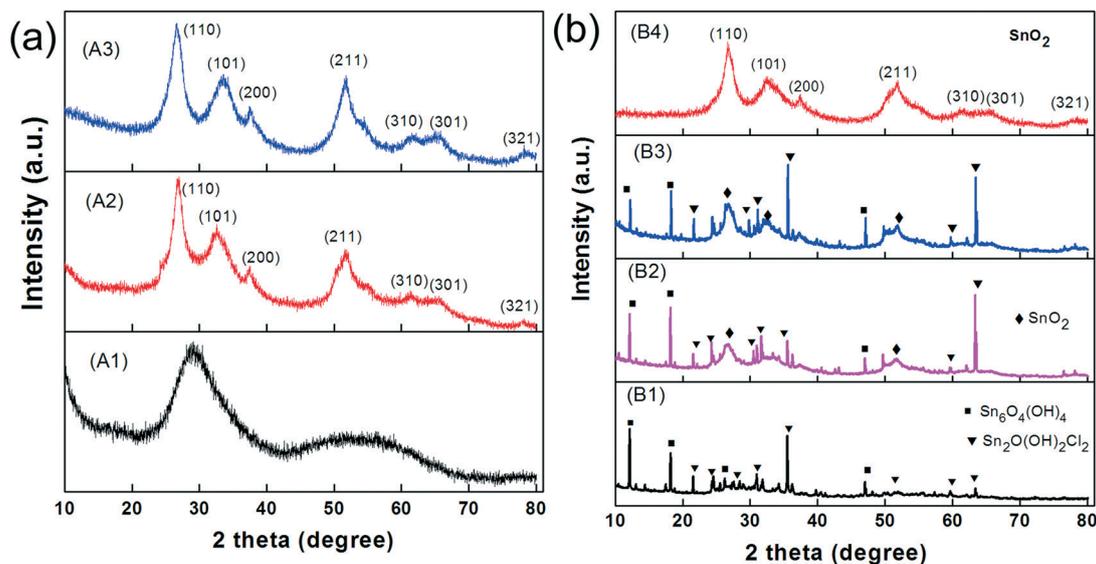


Fig. 4 XRD of the  $\text{SnO}_2$  materials that were synthesized (a) without and (b) with ultrasonication (US) pretreatment and collected at hydrothermal reaction times of 0 h (B1), 0.5 h (A1, B2), 2 h (A2, B3) and 6 h (A3, B4).

$\text{s}^{-1}$ ).<sup>46</sup> The ratio of the  $\text{Sn(IV)}$  to  $\text{Sn(II)}$  signal continuously turns towards almost exclusively  $\text{Sn(IV)}$  from sample (a) to sample

(d). Again, this is in line with the XRD patterns (Fig. 4b-B4), which shows only nanocrystalline  $\text{SnO}_2$  for the final product.

The growth of these HHMSs presumably follows a multi-step mechanism as suggested in Fig. 6. In a first step, aided by the ultrasonic (US) process,  $\text{SnCl}_2$  hydrolyses and partially oxidizes to crystalline  $\text{Sn}^{2+}$ -species that precipitate as nanoparticles. The second step involves autoclaving, upon which these nanoparticles grow in size, either *via* 1) dissolution of smaller nanoparticles and recrystallization on the surface of larger particles (conventional Ostwald ripening) or 2) continuous attachment of residual  $\text{Sn}^{2+}$  ions from the reaction solution onto the surface of existing nanoparticles. In order to distinguish between these two scenarios we performed two experiments: firstly, we replaced the precursor solution (after US treatment) with pure DI water before autoclaving, in which case we did not observe any significant particle growth into hollow spheres during hydrothermal processing, even at elevated reaction temperatures (Fig. S3<sup>†</sup>). Secondly, we increased the initial precursor concentration from  $0.05 \text{ g l}^{-1}$  to  $0.11 \text{ g l}^{-1}$  and now observed an increase in the average diameter (Fig. S4<sup>†</sup>). Hence, the growth requires a continuous

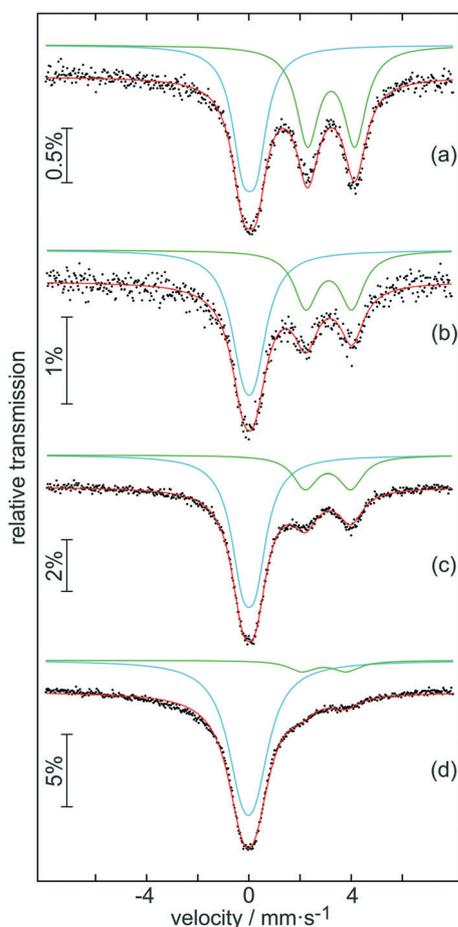


Fig. 5 Experimental (data points) and simulated (continuous lines)  $^{119}\text{Sn}$  Mössbauer spectra of samples (a) B1, (b) B2, (c) B3 and (d) B4 at ambient temperature.

Table 1 Fitting parameters of  $^{119}\text{Sn}$  Mössbauer spectroscopic measurements of samples (a)–(d) at ambient temperature.  $\delta$  = isomer shift,  $\Delta E_Q$  = electric quadrupole splitting and  $\Gamma$  = experimental line width; \* = fixed parameter (to avoid correlation as a consequence of the tiny  $\text{Sn(II)}$  contribution)

Compound	$\delta$ ( $\text{mm s}^{-1}$ )	$\Delta E_Q$ ( $\text{mm s}^{-1}$ )	$\Gamma$ ( $\text{mm s}^{-1}$ )	Ratio (%)
(a)	0.03(1)	0.54(1)	1.01(2)	49(1)
	3.22(2)	1.85(1)	1.05(2)	51(1)
(b)	0.00(1)	0.47(4)	1.19(5)	62(1)
	3.13(1)	1.80(3)	1.12(3)	38(1)
(c)	0.00(1)	0.52(1)	1.10(1)	74(1)
	3.09(1)	1.78(2)	1.14(3)	26(1)
(d)	-0.02(1)	0.54*	1.43(1)	91(1)
	2.93(2)	1.74(2)	1.25(6)	9(1)



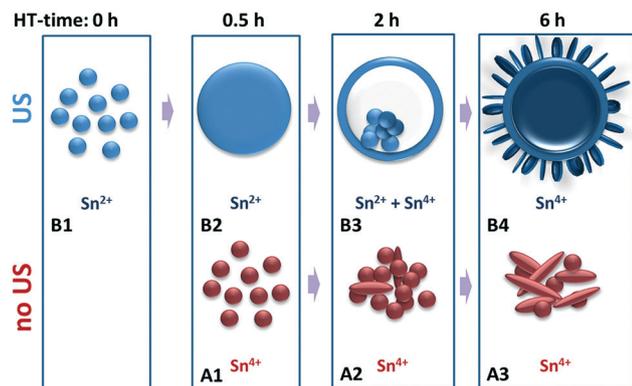


Fig. 6 Schematic of the proposed growth mechanism.

supply of  $\text{Sn}^{2+}$  ions from the reaction solution, whose initial concentration defines the final size of the spheres.

The transformation from dense particles into hollow spheres constitutes the third step. It presumably follows an “inside-out” Ostwald ripening mechanism, upon which the  $\text{Sn}^{2+}$ -species from the interior of the particles oxidize to  $\text{Sn}^{4+}$  species and create a solid shell of  $\text{SnO}_2$ , driven by pressure/concentration gradients with respect to the outer solution.<sup>31</sup> This is associated with a slight growth in size and clearly documented in the TEM images in Fig. 3-B3.

Eventually, residual materials from the interior of the sphere diffuse through the shell, nucleate on the outer surface and then grow perpendicularly to the surface in the form of crystalline nanoplatelets. This is in line with the preferred growth orientation parallel to the (110) planes as seen by HRTEM (Fig. 3-B4). Remember, the conventional hydrothermal method without ultrasonic pretreatment only yielded agglomerates of particles and large platelets. These are formed directly from solution through immediate oxidation of  $\text{SnCl}_2$  without solid precipitation of  $\text{Sn}^{2+}$  species and thus without inducing “inside-out” Ostwald ripening (Fig. 3-A3). Therefore, it appears that the combination of ultrasonic pretreatment and hydrothermal processing facilitates the successful formation of the “chestnut” structures.

We also evaluated the potential of  $\text{SnO}_2$  HHMSs as anode materials for LIBs and SIBs. The electrochemical characteristics were measured by cyclic voltammetry (CV) and galvanostatic charge/discharge tests between 0.02–3.0 V vs.  $\text{Li/Li}^+$  or  $\text{Na/Na}^+$ , using the corresponding metals (*i.e.* Li or Na) as cathodes. Fig. 7a shows the CV profiles of the LIBs for the first, second and fifth cycles at a scanning rate of  $0.5 \text{ mV s}^{-1}$ . The first cycle reveals an irreversible reduction peak centered at 1.0 V. According to previous studies, such a broad peak might originate from the reduction of  $\text{SnO}_2$  to metallic tin (see eqn (1))<sup>47</sup> and the simultaneous decomposition of

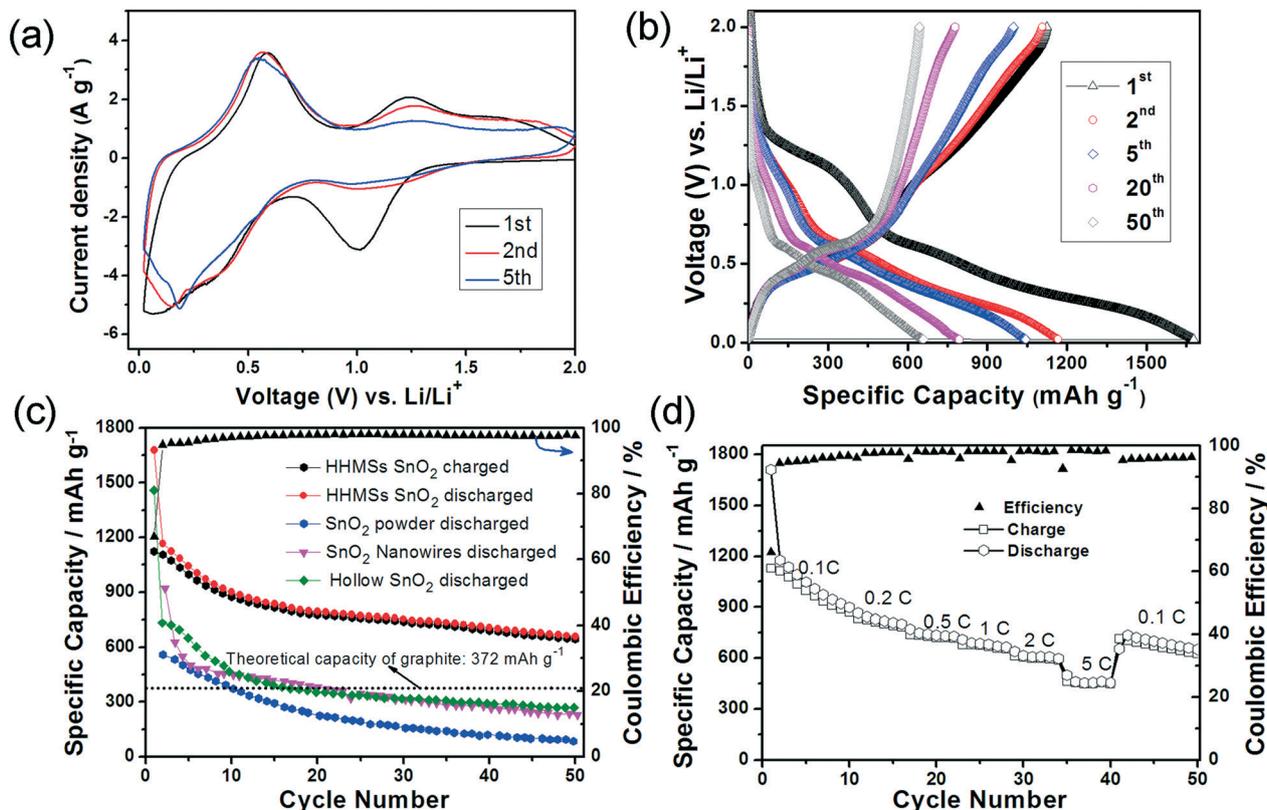


Fig. 7 Lithium insertion in  $\text{SnO}_2$  HHMSs. (a) 1st, 2nd and 5th cyclic voltammograms at a scanning rate of  $0.5 \text{ mV s}^{-1}$ ; (b) galvanostatic charge and discharge profiles (1st, 10th, 20th, 40th and 50th cycles) at  $0.1\text{C}$ ; (c) prolonged cycling test of  $\text{SnO}_2$  HHMSs at  $0.1\text{C}$ . The results are compared with those of the hollow  $\text{SnO}_2$  spheres,<sup>36</sup>  $\text{SnO}_2$  nanowires,<sup>36</sup> and  $\text{SnO}_2$  powder;<sup>15</sup> (d) rate performance at different current rates. All potential values here refer to the  $\text{Li/Li}^+$  reference couple. All measurements were performed at  $20 \pm 2 \text{ }^\circ\text{C}$ .



electrolytes leading to the formation of the solid electrolyte interphase (SEI) (see eqn (2)).<sup>48</sup> The 2nd cycle reveals two rather broad peaks between 0.1–0.6 V, which can be assigned to the formation of  $\text{Li}_x\text{Sn}$  phases, as depicted in eqn (3). The presence of two anodic peaks, corresponding to de-alloying, suggests that this process is highly reversible and mainly responsible for the reversible lithium storage capacity of the Sn based materials. However, it is important to point out that the persistence of the cathodic peak above 1.0 V vs.  $\text{Li}/\text{Li}^+$  may indicate an additional contribution by the partial recovery of tin oxide (*i.e.* reversibility of reaction 1). This phenomenon has been observed in several transition metal oxides, including tin oxides.<sup>12,49–51</sup>

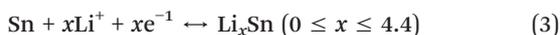
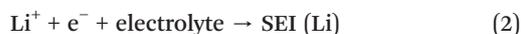
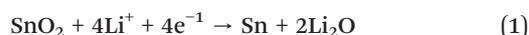


Fig. 7b displays the charge/discharge voltage profiles of  $\text{SnO}_2$  HHMSs at 0.1C for the 1st, 2nd, 5th, 20th and 50th cycles. In the first cycle, two poorly defined plateaus can be identified from the discharge curve. The first plateau at  $\sim 1.0$  V again corresponds to the reduction of  $\text{SnO}_2$  to metallic tin and the simultaneous SEI formation (in line with the CV data), while the voltage region between 0.1–0.6 V is attributed to the multi-step reactions involving Li–Sn phases (eqn (3)). In this latter voltage area,  $\text{Li}^+$  ions insert continuously into the intermetallic phase and many structural phase transitions may occur between Sn,  $\text{Li}_2\text{Sn}_5$ ,  $\text{LiSn}$ ,  $\text{Li}_7\text{Sn}_3$ ,  $\text{Li}_5\text{Sn}_2$ ,  $\text{Li}_3\text{Sn}_5$ ,  $\text{Li}_7\text{Sn}_2$ , and  $\text{Li}_{4.4}\text{Sn}$ ,<sup>52</sup> which is in agreement with Fig. 6. The partly irreversible conversion of  $\text{SnO}_2$  to metallic tin is typically cited as the origin of the commonly low coulombic efficiency ( $Q_{\text{delith}}/Q_{\text{lith}}$  is *ca.* 70%), as further indicated by the rather different delithiation and lithiation capacities of 1123  $\text{mA h g}^{-1}$  and 1667  $\text{mA h g}^{-1}$ , respectively. In the subsequent cycles, however, the coulombic efficiency increases substantially (*i.e.* to about 95%), presumably due to a sharp decrease of the lithiation capacity (1167  $\text{mA h g}^{-1}$ ), while the effective lithium storage remains almost constant (1107  $\text{mA h g}^{-1}$ ). After the 8th cycle the coulombic efficiency is highly stable and remains above 97–98%.

Although the capacity has decreased slightly (mainly occurring during the initial five cycles), which is common for  $\text{SnO}_2$  based electrode materials,<sup>15,36,53</sup> the HHMSs still retain a remarkably high capacity of 659  $\text{mA h g}^{-1}$ , even after 50 cycles (Fig. 7c). This value is in fact 1.8 times higher than the theoretical capacity of graphite.<sup>15,36,53</sup> It is also considerably higher than those of other  $\text{SnO}_2$  nanostructures, such as previous hollow spheres (280  $\text{mA h g}^{-1}$ ) and, most importantly, of a solid  $\text{SnO}_2$  nanoparticle reference (45  $\text{mA h g}^{-1}$ ), as summarized in Fig. 7c.

The  $\text{SnO}_2$  HHMS-based electrodes also exhibit excellent rate performance. As shown in Fig. 7d, they deliver a reversible discharge capacity of 730  $\text{mA h g}^{-1}$  at 1C and retain a

high capacity of 463  $\text{mA h g}^{-1}$  even at a high rate of 5C. This may be explained by the faster charge transport properties within the highly crystalline  $\text{SnO}_2$  nanopetals. After reducing the discharge rate to 0.1C, the electrode again delivers a high capacity of 651  $\text{mA h g}^{-1}$ , which shows that the electrode has not suffered from any losses in performance. Importantly, the stability and overall rate capability at high capacities of our  $\text{SnO}_2$  HHMS are even better than those of the best  $\text{SnO}_2$ @C yolk-shell nanospheres.<sup>53</sup>

Fig. 8 illustrates that the  $\text{SnO}_2$  HHMS materials also show a highly promising sodium storage performance as documented by the initial ten cycles performed at a scanning rate of 0.5  $\text{mV s}^{-1}$  (Fig. 8a). The peaks seen in the 1st cycle correspond to the largely irreversible reduction of the metal oxide to  $\text{Sn}^0$  and the formation of  $\text{Na}_x\text{Sn}$  phases embedded into the  $\text{Na}_2\text{O}$  matrix, yet they appear at much lower potentials compared to those of previous reports.<sup>17</sup> Furthermore, the CV curves show no apparent changes between the 2nd to the 10th cycles, which documents an excellent reversibility during the formation of the intermetallic phase. Again, although the irreversible capacity in the first cycle is somewhat high, as expected, *i.e.* comparing a  $Q_{\text{sodiation}}$  of 700  $\text{mA h g}^{-1}$  versus a  $Q_{\text{desodiation}}$  of 224  $\text{mA h g}^{-1}$ , the sodium storage capacity is retained at high levels and surprisingly even increases slightly with progressing number of cycles before decreasing again to 304  $\text{mA h g}^{-1}$  after the 20th cycle.

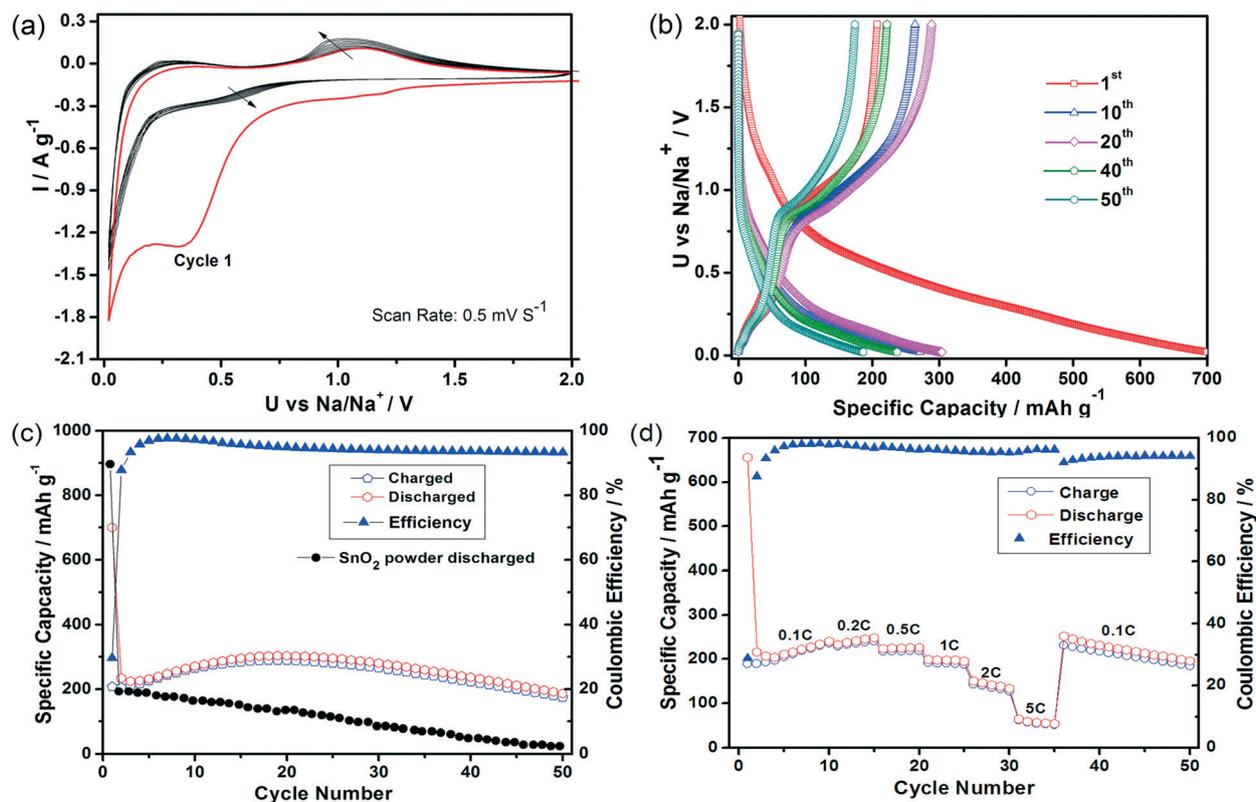
The  $\text{SnO}_2$  HHMS electrodes also show favorable capacity retention ability as documented by the very high levels of 186  $\text{mA h g}^{-1}$  even after 50 cycles, which corresponds to 83% of the initial capacity (*i.e.* 2nd cycle) (Fig. 8c). Besides, from the 7th cycle onwards, the coulombic efficiency remains very high, *i.e.* above 94%. The  $\text{SnO}_2$  HHMSs also show an improved rate performance in SIBs compared to commercial  $\text{SnO}_2$  powder,<sup>12,15</sup> with highly stable average capacities of 236  $\text{mA h g}^{-1}$  and 190  $\text{mA h g}^{-1}$  at rates of 0.2C and 1C, respectively (Fig. 8d), and with retrieval of the initial capacity upon decreasing the rate back to 0.1C.

A closer look at the electrode after 50 cycles (Fig. S5†) revealed that the  $\text{SnO}_2$  HHMSs are still very well dispersed within the electrode matrix and their morphology is seemingly unaffected. Future studies with a high cycle number will provide further information on the long-term stability of these materials; yet, these first results demonstrate that our  $\text{SnO}_2$  HHMSs yield a considerably enhanced and more stable electrochemical performance in both, Li- and Na-ion batteries, owing to their unusual structure that offers a high surface area, fast charge transport within the crystalline petals and an overall improved mechanical stability.

## 4. Conclusions

We have synthesized highly porous  $\text{SnO}_2$  HHMSs with enhanced electrochemical properties using a template- and additive-free route. With the help of <sup>119</sup>Sn Mössbauer spectroscopy, powder XRD and XPS we were able to unravel the underlying formation process. This process involves a crucial





**Fig. 8** Sodium insertion in SnO<sub>2</sub> HHMSs. (a) Cyclic voltammograms (from the 1st to the 10th cycles) at scanning rate of 0.5 mV s<sup>-1</sup>; (b) galvanostatic charge and discharge profiles (1st, 10th, 20th, 40th and 50th cycles) at 0.1C; (c) capacity upon prolonged cycling tests at 0.1C; (d) rate performance at different current rates. All potential values here refer to the Na/Na<sup>+</sup> reference couple. All measurements were performed at 20 ± 2 °C.

ultrasonic pre-treatment of aqueous SnCl<sub>2</sub> solution, followed by Ostwald “inside-out” ripening upon hydrothermal processing. The resulting SnO<sub>2</sub> materials resemble a “chestnut cupule” structure involving hollow spheres of uniform thickness and very thin petal-like nanosheets grown perpendicularly on the sphere’s surface. These structures exhibited a considerably higher storage capacity and cycling performance as anode materials for lithium and sodium ion batteries compared with conventional SnO<sub>2</sub> materials. In particular, we observed reversible capacities of 659 mA h g<sup>-1</sup> and 186 mA h g<sup>-1</sup> with a corresponding coulombic efficiency as high as 98% and 94% for lithium and sodium, respectively, that remained stable up to at least 50 cycles. The capacities are twice as large as those of previous hollow SnO<sub>2</sub> materials and several times larger than those of dense nanoparticles. The improved electrochemical performance is clearly associated with the unique “chestnut” morphology of the SnO<sub>2</sub> HHMSs, which provides fast charge transport within the crystalline petals and an overall improved mechanical stability. The 3D hierarchical interconnected structure and additional presence of crystalline nanosheets provide a large accessible surface area with open channels that facilitates Li<sup>+</sup>/Na<sup>+</sup> ion diffusion from the electrolyte and so enhances the amount of ion intercalation. In addition, the high porosity of these HHMSs allows for better coping with the large volume changes during cycling and thus prevents the pulverization of the SnO<sub>2</sub> electrodes.

## Note added in proof

We apologize for having unintentionally overlooked and not referenced a recent publication that is relevant to this paper and covers a significant part of our research (see ref. 54). Our paper confirms these previous results and extends the studies on the mechanism of HHMS formation with additional XPS and Mössbauer spectroscopy. Our paper further corroborates the electrochemical data regarding lithium-ion batteries, yet it extends the investigations to sodium-ion batteries.

## Conflicts of interest

There are no conflicts to declare.

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