

# Modelling the Behaviour of Context-aware Systems: State-of-the-Art Analysis and Introduction of a Customized UML Profile

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**Abstract:** The usage of smart devices in combination with the extensive deployment of sensors enables the development of systems that can recognize their environment and act accordingly. These so-called context-aware systems allow the automation of the user interactions by providing the desired functionality based on an understanding of the users' current context. During the development of such systems, the context-related system properties, the contextual functionalities, as well as the underlying contexts must be defined. UML models are a valuable tool for such tasks. In this publication, the previous research regarding the graphical representation of context-aware systems is analysed. It is shown that the modelling of contextual behaviour is still not addressed sufficiently. To counter this issue, contextual design templates are introduced. These templates allow the visualization of different system properties by combining the UML standard notation with a newly introduced notation for stating contexts. Further, a profile for the modelling of contexts and related system functionalities is presented.


## 1 INTRODUCTION

Graphical models are an important tool of software development projects. They allow to handle the ever-increasing complexity by mapping a system's structure, its behaviour, and other properties at various levels of abstraction (Weilkiens, 2014). In that regard, modelling languages define the rules and guidelines that are needed for their creation (Ohst et al., 2003). Over the previous decades, the Unified Modelling Language (UML) (OMG, 2015) has prevailed as the leading standard for software systems (Grönniger, 2010). One of its strengths lies in the high number of diagrams and elements the language defines. Nevertheless, it is not feasible for any modelling language to provide elements for all system properties in all domains. To keep a manageable size and still meet the requirements of specialized use cases, the UML defines an interface for customized extensions (Rupp and Queins, 2012). By introducing new profiles, users can adapt the language to their needs (OMG, 2015).

One domain that cannot fully be modelled with the UML standard notation are context-aware systems (Perera et al., 2014). To address this issue, different contextual profiles have been developed. Nevertheless, it is still not possible to state all behaviour-related aspects as the previous approaches only focused on structural models, specific issues, or individual diagram types. This publication introduces a general-purpose extension for modelling the behaviour of context-aware systems. Section 2 starts with a state-of-the-art analysis of previously proposed extensions. Afterwards, section 3 presents an industrial use case. In the following chapters, excerpts of this use case are presented to visualize practical examples of the theoretical concepts. Section 4 discusses the structure of contexts and introduces a notation for their representation in UML diagrams. In section 5, different design templates are discussed. These templates combine the UML standard notation with the previously introduced notation to model various aspects of context-aware systems. Nevertheless, not all behavioural properties can be described that way.

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Therefore, section 6 introduces an adapted UML profile that allows to visualize contexts as well as the still missing behavioural characteristics in various diagram types. Based on the use case of section 3, section 7 finishes by presenting a case study. It is shown how the previously introduced design templates and profile can be applied during the development of a context-aware system.

## 2 STATE OF THE ART

In the previous years, different authors have introduced contextual UML extensions. Almutairi et al. (2012) presented a heavy-weight extension for security-related requirements in use case diagrams. Another heavy-weight extension was proposed by Choi (2007). This extension does not only introduce new elements, but also three new diagram types that are only partly compatible with the rest of the standard notation. Choi and Lee (2012) proposed a light weight extension for the visualization of context-aware systems. While focusing on use case diagrams, they describe how the stereotypes can be used to display contextual system requirements. Ayed and Berbers (2006) introduced a modelling approach that separates the contextual functionalities from the base system. Following this separation of concerns simplifies the development process as the interaction only takes place through defined interfaces. Ayed et al. (2007) extended the previously described approach by adding a process model for the development of context-aware systems. Benselim and Seridi-Bouchelaghem (2012) introduced a profile that focuses on the visualization of class diagrams and contains two categories of stereotypes. The first category is focused on describing contexts, the second category is focused on the type of association between different classes. Fuentes et al. (2008) introduced a profile for the aspect-oriented modelling of context-aware systems. The objective of this approach is to enable the extraction of executable code from the graphical models. Grassi and Sindico (2007) followed the principles of the service-oriented architecture and implemented a profile that enables the separation of concerns. Disintegrating the contextual extensions from the original system allows to design the base system using standardized tools and to introduce contextual features through defined interfaces. In contrast to the previous publications, Omasreiter and Metzker (2004) did not introduce a profile, but they demonstrated how the standard use case diagram can be used for the modelling of context-aware use cases. Sheng and Benatallah (2005) introduced the ContextUML profile, so far, the most

referenced extension for the graphical modelling of context-aware systems. The focus lies on the identification and the visualization of the relevant structural properties of context-aware systems, which includes contexts, contextual sources, and contextual functionalities. Simons (2007) introduced a context modelling profile that is focused on class diagrams. The objective is to allow the creation of understandable models with little experience. Van den Bergh and Coninx (2005) presented the context-sensitive user interface profile that focuses on the effects on the user interface.

Despite the variety of proposed approaches, a comprehensive profile for visualizing the behaviour of context-aware systems is still missing. The analysis shows that most research efforts are focused on structural aspects and that behavioural models are still a side issue. Further, many of the proposed behavioural extensions are specialized on one diagram type or use heavy-weight extensions that are only partly compatible with the rest of the UML standard notation.

## 3 USE CASE

In the next chapters, the application of the introduced design templates and stereotypes is demonstrated using an industrial use case. As a supplier for the automotive industry, the analysed injection moulding company produces parts for various customers. Although the production processes are automated to a high degree, the workers are responsible for setting up the machines, providing the raw material, controlling the quality of the products, and packaging them for dispatch. As industrial partner in the EU Horizon 2020 research project FACTS4WORKERS, the company is – among other things – improving its software systems. Thereby, a context-aware information system is introduced that provides the workers with the required information based on an understanding of their context. The development of this system is split into two parts. First, a context-unaware version is deployed and tested. Afterwards, the system is upgraded with a variety of contextual features. Thereby, the design templates and the profile of this publication are applied.

## 4 CONTEXTS AND THEIR NOTATION

Contexts are the key elements of context-aware systems (Rosenberger and Gerhard, 2018b). A con-

text represents the description of a situation in a format a system can understand and process. Thereby, only situations that are relevant to the interaction between the user and the system are considered contexts (Rosenberger and Gerhard, 2018a). Each context consists of one or more contextual attributes that are used for the identification of the context. Among others, possible attributes are a user's name (e.g. John Doe) or her/his current location (e.g. at the warehouse). Further, each contextual attribute can be related to a specific contextual type. These types can be understood as categories that cluster all attributes with the same properties (e.g. all locations). For a detailed discussion of this topic, please refer to Rosenberger and Gerhard (2018a).

To model contexts, three different notations can be used. The type-attribute notation states all attributes of a specific context as well as the related types. While this provides a comprehensive description, it requires a substantial amount of space and can overload diagrams with a low level of detail. The attribute notation only states the attributes and therefore provides a shortened description. Nevertheless, the omission of the types can lead to misunderstanding. For example, the number X1219857 can be used to identify a worker, a machine, or a production order. The ID notation only states a context's ID as a placeholder. While this requires little space, additional tools for stating a context's attributes and types are necessary.

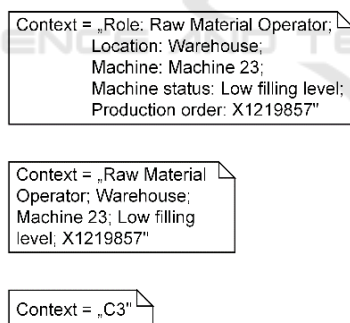


Figure 1: Type-attribute notation (top), attribute notation (middle), ID notation (bottom).

Not in all cases, the attributes are not known beforehand, but rather they are learned by the system during its usage. In these cases, the type-attribute notation and the attribute notation are not suited. Rather, it can either be stated that the context will be learned autonomously by the system. Alternatively, the ID notation can be used to make this statement at a supporting tool like the context-activity matrix (Rosenberger et al., 2018).

## 5 DESIGN TEMPLATES

The design templates combine the UML standard notation with the notation of chapter 4 to model contextual system properties. In the following, only the context-related elements are described and explained, as it is assumed that the reader is aware of the UML standard notation. Due to the restricted extension of this publication, only the ID notation will use in the following models. Nevertheless, also the type-attribute notation and the attribute notation can be applied.

### 5.1 Context-dependent Entry Conditions

Context-dependent entry conditions prevent the execution of a combined fragment, if the stated context is not present. In sequence diagrams, this is modelled by defining the corresponding context as the entry condition for the combined fragment. It is important to note that context-dependent entry conditions can only be used, if the combined fragment allows entry conditions. The following example shows the fragment "option", whereby a worker is requesting his/her tasks for the day. If the context "C34" is present (shift start, authentication OK, training level sufficient, etc.), the fragment is executed, otherwise it is passed.

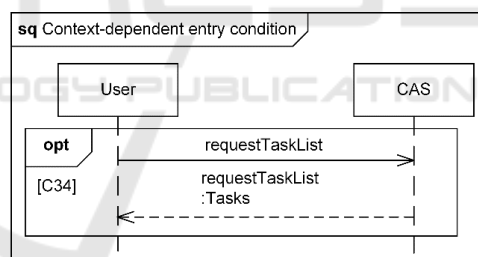


Figure 2: Example of a context-dependent entry condition.

### 5.2 Context-dependent State Invariants

Context-dependent state invariants are runtime constraints that impact the interaction between the participants. In sequence diagrams, this is modelled by defining the corresponding context as the state invariant. The following example shows a maintenance worker that is requesting an overview over all open machine errors. Thereby, the interaction can only start, if the context "C32" (maintenance worker, authentication OK, etc.) is present.

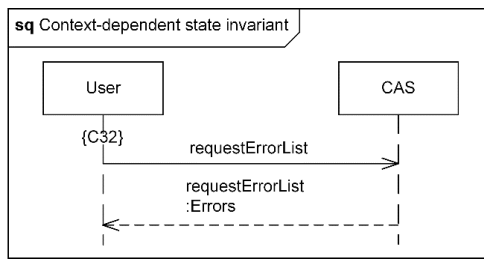


Figure 3: Example of a context-dependent state invariant.

### 5.3 Context-dependent Decisions

Context-dependent decisions describe a choice between several paths that depends on the context. If the process flow reaches such a decision, the current context is evaluated and the process continues at the corresponding edge.

#### 5.3.1 Sequence Diagrams

In sequence diagrams, context-dependent decisions are modelled using the combined fragment "alternative" in combination with context-dependent entry conditions. The following example shows a user requesting a machine status. The system evaluates different characteristics of the machine (heat level, oil level, etc.) and determines its health. Based on the results (the current context), different responses are sent to the user.

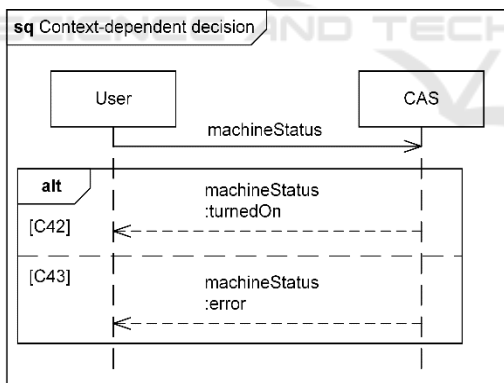


Figure 4: Example of a context-dependent decision in a sequence diagram.

#### 5.3.2 Activity Diagrams

In activity diagrams, context-dependent decisions are visualized using decision nodes. The following example shows a maintenance worker who approaches a machine. Whether the worker has to repair the machine or just needs to maintain it, depends on the machine's current status. Like for the previous example, the context-aware system assesses the status

of the machine and returns the result the result the worker. Finally, the worker either repairs the machine or maintains it.

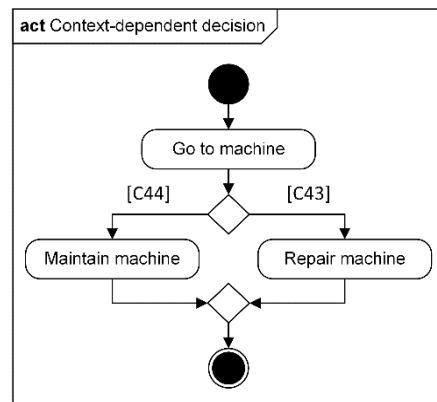


Figure 5: Example of a context-dependent decision in an activity diagram.

#### 5.3.3 State Machine Diagrams

In state machine diagrams, context-dependent decisions are visualized using the UML element decision. The following example shows a machine that is started. Thereby, the machine can either start normally, or an error can occur. During the process, the system evaluates different characteristics of the machine to determine its health. Depending on the results, the corresponding the state is set.

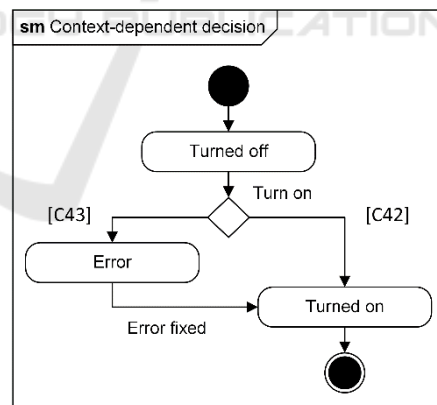


Figure 6: Example of a context-dependent decision in a state machine diagram.

### 5.4 Context-dependent State Changes

Context-dependent state changes are transition between different states that are caused by the appearance of a context. In state machine diagrams, this is modelled by defining the corresponding context as the trigger for the transition. The following example shows a machine that is idle and ready to produce parts.

The transition from "idle" to "producing parts" takes place as soon as context "C26" becomes present (production order assigned, worker at the machine, etc.).

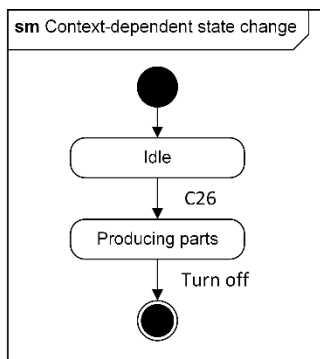


Figure 7: Example of a context-dependent state change.

### 5.5 Context-dependent Guards

Context-dependent guards visualize that the transition between different states can only take place, if the stated context is present. In state machine diagrams, this is modelled by defining the corresponding context as the guard for the transition. The following example shows a state machine diagram of a machine in idle mode. After triggering the production start, the current context is evaluated. Only if the context "C26" is present, the machine starts producing parts.

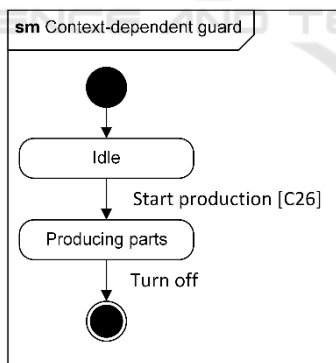


Figure 8: Example of a context-dependent guard.

## 6 CONTEXT-AWARE UML PROFILE

Despite their expressive power, the design templates are not capable of modelling all contextual properties. In particular, they do not allow to state the contextually assisted use cases, the contextual services, or the related contexts. Therefore, a UML profile is introduced that provides the required stereotypes to

model these still missing behavioural characteristics. Using this profile in combination with the design templates allows to fully visualize the behavioural characteristics of a context-aware system.

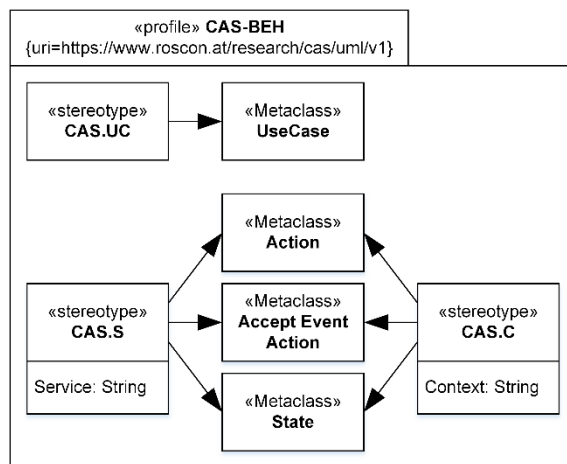


Figure 9: CAS-BEH profile.

### 6.1 «CAS.UC»

The stereotype «CAS.UC» is used to identify the contextually assisted use cases. The following example shows an overview over the operator's activities during the quality control. The activity "check product quality" is assisted contextually. Therefore, the use case is labelled with the stereotype «CAS.UC». In contrast, the second use case "dispose product" does not require any contextual support. It is important to notice, that this does not exclude interactions with the system in general. Instead, it only clarifies that the support is not contextual.

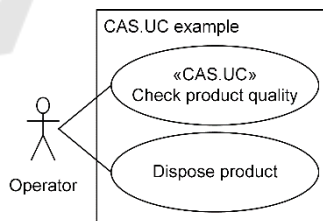


Figure 10: Example «CAS.UC».

For larger or recurring activities, it can be advantageous to outsource the contextual parts into separate use cases using the "include" or "extend" relationships. This allows a clear distinction between the contextually assisted and the not contextually assisted activities. In the following example, the regular use case "refill raw materials" is expanded with the two contextual use cases "determine raw materials" and "purchase raw materials".

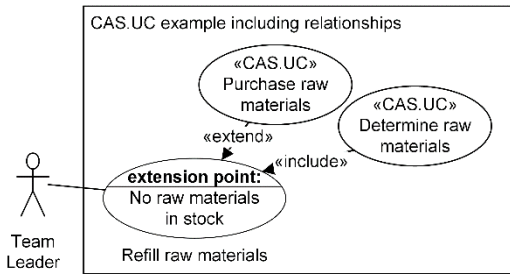


Figure 11: Example «CAS.UC» with «include» and «extend» relationships.

## 6.2 «CAS.S»

The stereotype «CAS.S» is used to highlight activities that are assisted by a context-aware system. Further, a brief description of the provided service can be given using the tagged value "Service". It is recommended to keep the statement short and simple to prevent overloading the model. If necessary, longer descriptions should be outsourced to more appropriate tools, like the context-activity matrix (Rosenberger et al., 2018). Doing so, it might be helpful to assign each service with a unique ID. The following example shows a worker who checks the product quality. As stated, the activity is assisted by the context-dependent display of the checklist CL-23. Further, the contextual service can be identified using the ID "S30".

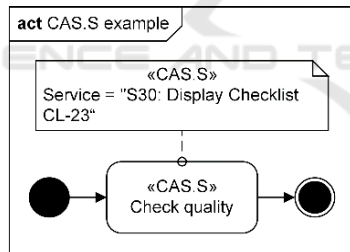


Figure 12: Example «CAS.A».

## 6.3 «CAS.C»

The stereotype «CAS.C» is used to model contexts. It can be applied to actions and accept event actions in activity diagrams as well as to states in state machine diagrams. The following characteristics must be considered:

- A central requirement of contexts is their uniqueness. As described by (Rosenberger et al., 2018), a context can and must only describe one specific situation. If the contexts are not unique, the system will not be able to differentiate between distinct situations.
- The multiplicity of contexts states that different sets of contextual attributes can describe equal

situations. For example, a machine is not functioning properly in case of a technical failure as well as when the product dimensions did not match the requirements. Further, different contexts can require the same contextual service. As an example, different users at different locations can require a navigation to the same destination.

- Borders and regions are an important aspect of continuous attributes (e.g. the position of a user or the time), as they allow to define areas in which all contextual attributes describe the same context. Borders define the boundary values, whereby all values within are describing the same context. An example would be "from 8:00 am to 4:00 pm" with "11:32 am" as acceptable value. In case of regions, the acceptable area is stated. An example would be the region "warehouse". Here, all positions inside the warehouse belong to the same context.

### 6.3.1 Actions

In activity diagrams, the contexts of contextually assisted actions are stated using the stereotype «CAS.C». The following example shows the quality control actions performed by the machine operator. Thereby, he/she is assisted autonomously based on the systems understanding of the user's context (C52: machine operator, production order assigned, worker at the machine, etc.; C53: machine operator, part faulty, etc.) as well as his/her needs.

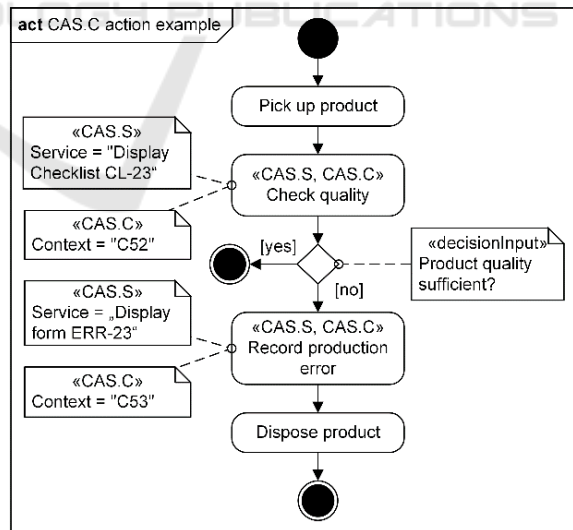


Figure 13: Example «CAS.C» labelling an action.

### 6.3.2 Accept Event Actions

In activity diagrams, contextual accept event actions are used to state that the start of a process depends on

the presence of a context. In the following model, the raw material control process starts after the appearance of the context "C58" (raw material delivery, warehouse operator at the warehouse, etc.). According to the principle of multiplicity, a context can be used to identify different actions, if the provided contextual service is identical for all elements. This is modelled by assigning the same contextual note to all elements.

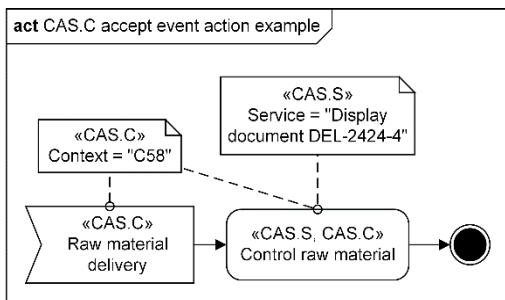


Figure 14: Example «CAS.C» labelling an accept event action.

### 6.3.3 States

Although each context is also a state, not all states are contexts. As stated in chapter 4, only situations with relevance to the interaction between a user and the system are considered as contexts. Therefore, the stereotype «CAS.C» is used in state machine diagrams to identify states that are also contexts. The following example shows the contextual state "producing parts" (C26: production order assigned, worker at the machine, etc.). In contrast, the state "idle" is not linked to any contextual assistance and therefore not labelled. Compared to the design template "context-dependent state change", the stereotype «CAS.C» visualizes that the context not only triggers the state change, but that the context is present as long as the system remains in this state.

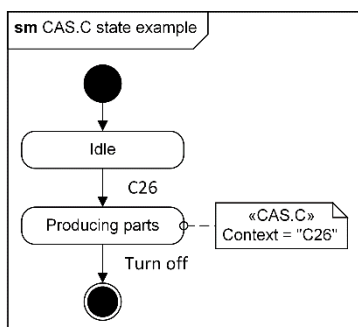


Figure 15: Example «CAS.C» labelling a state.

## 7 CASE STUDY

The following chapter describes the application of the previously introduced design templates and profile during the analysis and design of a context-aware system. The case study is based on the industrial use case of section 3 and follows the process model of Rosenberger et al. (Rosenberger et al., 2018). According to this model, the contextual systems analysis consists of three steps that are performed in addition to the analysis of the base system. Thereby, the results of each stage are visualized using the profile of section 6. Afterwards, a detailed system design is elaborated that states the contextual system properties. This design forms the basis for the following development activities.

The contextual systems analysis starts with the identification and documentation of the contextually assisted user activities. Use case diagrams are created and the stereotype «CAS.C» is used to highlight the identified use cases. Two of these models are shown in section 6.1. Additionally, activity diagrams are created, whereby the contextually assisted actions are highlighted using the stereotype «CAS.S». In the second step, the contextual services are defined. These services are provided by the system after recognizing a context. For each assisted action, the corresponding service is stated using the tagged value "Service". Figure 12 shows an example of the activity diagrams that are created during this step. Finally, the contexts are identified and modelled. Thereby, the stereotype «CAS.C» and the tagged value "Context" are used to visualize the individual contexts. To keep the diagrams clear, only the ID notation is used. Figure 13 and 14 show two examples of the final activity diagrams. After finishing the system analysis, the complete system is designed. This includes the creation of different structural and behavioural diagrams, whereby only the behavioural aspects are addressed by this publication. These diagrams are created with the help of the design templates of section 5 and the stereotypes of section 6. The figures 2 to 8 and figure 15 show examples of the different models.

## 8 CONCLUSION AND FURTHER RESEARCH

In general, graphical models are a crucial tool for the development of modern software systems. Especially for the development of context-aware systems, they are vital to handle the inherent complexity. Currently no modelling language provides the required elements to

visualize the behaviour of such systems. Nevertheless, the UML already covers a wide spectrum of elements and has a defined interface for extending the standard notation. To address the still open research question, this publication introduced different design templates and a UML profile. Using these tools allows expressing the behavioural characteristics of context-aware systems in a clear and understandable way. Therefore, we encourage to use the presented case study as a reference for developing own models.

While the application of the proposed design templates and profile in the stated use case was a success, a large-scale evaluation is still missing. To assess the approach more extensively, different context-aware systems will be designed with help of the proposed extension. Having a brother set of use case applications will also provide a variety of example implementations that other modellers can rely on.

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