In Situ Transmission Electron Microscopy Analysis of Aluminum–Germanium Nanowire Solid-State Reaction

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Supporting Information

**ABSTRACT:** To fully exploit the potential of semiconducting nanowires for devices, high quality electrical contacts are of paramount importance. This work presents a detailed in situ transmission electron microscopy (TEM) study of a very promising type of NW contact where aluminum metal enters the germanium semiconducting nanowire to form an extremely abrupt and clean axial metal–semiconductor interface. We study this solid-state reaction between the aluminum contact and germanium nanowire in situ in the TEM using two different local heating methods. Following the reaction interface of the intrusion of Al in the Ge nanowire shows that at temperatures between 250 and 330 °C the position of the interface as a function of time is well fitted by a square root function, indicating that the reaction rate is limited by a diffusion process. Combining both chemical analysis and electron diffraction we find that the Ge of the nanowire core is completely exchanged by the entering Al atoms that form a monocrystalline nanowire with the usual face-centered cubic structure of Al, where the nanowire dimensions are inherited from the initial Ge nanowire. Model-based chemical mapping by energy dispersive X-ray spectroscopy (EDX) characterization reveals the three-dimensional chemical cross-section of the transformed nanowire with an Al core, surrounded by a thin pure Ge (∼2 nm), Al2O3 (∼3 nm), and Ge containing Al2O3 (∼1 nm) layer, respectively. The presence of Ge containing shells around the Al core indicates that Ge diffuses back into the metal reservoir by surface diffusion, which was confirmed by the detection of Ge atoms in the Al metal line by EDX analysis. Fitting a diffusion equation to the kinetic data allows the extraction of the diffusion coefficient at two different temperatures, which shows a good agreement with diffusion coefficients from literature for self-diffusion of Al.

**KEYWORDS:** Ge nanowire, solid state reaction, in situ transmission electron microscopy, energy dispersive X-ray spectroscopy, aluminum contact, diffusion

In the last decades, many devices based on semiconducting nanowires (NWs) have been proposed in different research fields ranging from electronics¹ and optoelectronics² to energy conversion³ and spintronics⁴. However, one of the important factors that has been reported to limit the efficiency of these different devices is the contact quality between the metal and the semiconductor NW. To allow successful incorporation of these semiconducting NWs in nanoelectronic applications, low Ohmic resistance contacts need to be achieved, potentially using a silicidation process in Si, or germanide process in Ge, to efficiently inject and extract current from the device. A germanide is an intermetallic compound formed after a solid-state reaction between a metal and germanium, activated by a thermal anneal. The aim of the germanide phase is to lower the electrical contact resistance between the source/drain and channel. Hence, many studies⁵–⁴⁴ have achieved electrical contacts between silicide or germanide phases and semiconductor NW systems either using Si NWs, Ni–Si, Pt–Si, Co–Si, Pd–Si, Ti–Si, and Cu–Si, or with Ge NWs, Ni–Ge, Cu–Ge, Al–Ge, and Mn–Ge, revealing interesting electrical contact properties and a versatile potential for applications in new nanoelectronic devices.

In contrast to these studies on intermetallic phases, we have found previously that in the Al–Ge system no intermetallic phase is formed; the Al replaces the Ge.²⁵ The Al–Ge combination is of high interest both due to the high mobility of Ge and because of the superconductivity of Al at low temperature. In this paper, we present an in situ transmission electron microscopy (TEM) study of the Al–Ge exchange mechanism. Being able to follow the reaction kinetics in real time provide an understanding of the electrical contact properties at the atomic scale.

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time at nanometer length scales is of crucial importance to understand such diffusion phenomena, especially since we have observed that often the propagation does not start at the same time in different NWs on the same sample, the propagation can suddenly stop entirely or proceed with large jumps. All this information is lost if only ex situ analysis is used. Furthermore, we present three-dimensional chemical characterization of the formed Al NW that unveils the formation of a core–shell structure and allows one to understand the diffusion mechanism of Al in a Ge NW. In the following, we will focus on the solid-state reaction between an Al metal and a Ge NW, carried out in situ in a TEM, allowing the formation of a metal–semiconductor–metal heterostructure within a NW, either by using a very localized Joule heating in a metal strip deposited on the NW, or by using a membrane–substrate Joule heating. In this latter case, a current is passed through a buried metal heating spiral, leading to a more conventional Joule heating. On these substrates, we dispersed Ge NWs on 20 nm thick Si3N4 windows that are present at the center of the chip next to the heating spiral. These NWs were contacted on one side with an Al metal line providing the Al reservoir. Most heating experiments were carried out in situ on a TEM Philips CM300 working at 100 kV equipped with a CMOS camera from TVIPS using a DENSsolutions double-tilt 6-contact biasing sample holder. An acceleration voltage below 150 kV was used to avoid knock-on damage, creation of vacancies, and related modifications of electrical and diffusion properties. Structural characterization of different samples was performed posterior to the heating experiments or ex situ using the same microscope at 300 kV. Conventional electron beam diffraction was used with the aim of determining the crystalline structure of the transformed segment.

Experimental Methods. The NWs used in these experiments were both n-doped and undoped Ge NWs, synthesized via the Au-assisted vapor liquid solid (VLS) process along the Ge (111) growth direction with diameters ranging from 10 to 150 nm.26 Ge NWs were dispersed in ethanol using ultrasonic vibrations. The solution was drop casted on the membranes to disperse the Ge NWs. The Ge NWs were dispersed on two different silicon nitride (Si3N4) membranes: a commercial heater chip calibrated in temperature from DENSsolutions and uncalibrated homemade membranes with a 50 nm thick Si3N4 layer on a 300 μm Si frame. The fabrication process is described in den Hertog et al.28 On the latter membranes, after dispersion of Ge NWs, two parallel metal lines are defined using electron beam lithography on a single Ge NW. This process is described in El hajraoui et al.29 and the metal was deposited by sputtering followed by electron beam induced metal evaporation.

The commercial heater chip contains a buried heating spiral in a Si3N4 membrane allowing temperature-calibrated Joule heating. On these substrates, we dispersed Ge NWs on 20 nm thick Si3N4 windows that are present at the center of the chip next to the heating spiral. These NWs were contacted on one side with an Al metal line providing the Al reservoir. Most heating experiments were carried out in situ on a TEM Philips CM300 working at 100 kV equipped with a CMOS camera from TVIPS using a DENSsolutions double-tilt 6-contact biasing sample holder. An acceleration voltage below 150 kV was used to avoid knock-on damage, creation of vacancies, and related modifications of electrical and diffusion properties. Structural characterization of different samples was performed posterior to the heating experiments or ex situ using the same microscope at 300 kV. Conventional electron beam diffraction was used with the aim of determining the crystalline structure of the transformed segment.

Chemical characterization was carried out ex situ in a state of the art FEI Osiris or probe corrected Titan Themis (at 200 kV), equipped with four silicon drift detectors using an ultranarrow gap Fischione tomography sample holder, which allows a large solid angle for signal detection. The Themis was
also used for in situ high-angle annular dark-field (HAADF) STEM.

Results. In Figure 1, several heating experiments are shown: heating using direct Joule heating in a metal line on the Ge NW, that we will call $H_a$ (Figure 1a) and membrane-substrate Joule heating using a DENsSolution heater chip, $H_b$ (Figure 1b,c) at 250 and 330 °C, respectively. TEM bright-field (BF) movies of the Al–Ge exchange using both heating techniques are shown in Supporting Information (SI) videos SI M1-$H_a$, SI M2-$H_b$ (250 °C), and SI M3-$H_b$ (330 °C).

The images extracted from these respective experiments (SI M1, SI M2, SI M3) are shown in Figure 1. As can be noticed from Figure 1a−c, the contrast change in the Ge NW in BF images indicates the metal intrusion in the NWs, where a darker contrast is present in the more heavy Ge NW part, and a brighter contrast in the part where Al has entered. However, due to diffraction contrast, this contrast can be reversed (for example, in the NW marked “Side 3” in SI M3).

In SI M1-$H_a$ (acceleration 2.5 times), we can see a more light gray contrast propagating symmetrically outward from under the Al contact in both sides of the NW. Propagation starts first in the left part of the NW (visible underneath the thinner part of the Al contact) and 27 s later propagation is also visible in the right part of the NW. During the experiment contrast changes can be observed in the Al metal contact, as grains in the metal change shape and size due to the temperature and/or the current. At 1 min 16 s, the right part of the NW is entirely converted to Al (as we will demonstrate below by chemical mapping). In SI M2-$H_b$ (250 °C) (acceleration 3.5 times), we can see the reaction interface propagating at three locations in the very bend NW. In SI M3-$H_b$ (330 °C) (acceleration 3.5 times), the reaction interface first extends symmetrically into both the larger and smaller diameter NW. However, rapidly the interface advances much faster in the large diameter NW. We can observe that the interface is mostly well-defined and straight but can also be curved during a short time interval.

To investigate the kinetics of the metal intrusion, we followed the progress of the reaction interface as a function of time, where $L$ is the distance the reaction interface has traveled. Three different examples of these traces are presented in Figure 1, where both $H_a$ and $H_b$ heating techniques were used. The shown traces were realized by $H_a$ at $V_{heat}$ = 0.575 V and by $H_b$ at 250 and 330 °C, respectively. In Figure 1a,d, we see that the propagation speed is faster in $L_{side2}$ (the right part of the NW) where the NW diameter is smaller with respect to $L_{side1}$. Figure 1b shows TEM images of a heated sample at 250 °C where the propagation was followed only in the curved NW ($L_{side1}$, $L_{side2}$, and $L_{side3}$), since in the straight NW ($L_{side4}$) the interface position was not well visible (presence of diffraction contrast). The plot describing the evolution of the interface as a function of time in the different sides of the curved NW at 250 °C is presented in Figure 1e showing the evolution of the interface in the three NW sides. From the plot in Figure 1e, we see clearly the length $L_{side1} < L_{side3} < L_{side2}$ where the NW diameters are $d_{NW3} < d_{NW2} < d_{NW1}$ so the fastest propagation is...
observed in the intermediate diameter part of the NW, while lower but similar propagation speeds are observed both in the thicker and thinner part of the same NW. In Figure 1c, TEM images of two Ge NWs contacted by the same Al metal line are shown before and after heating at 330 °C. The propagation front was followed as a function of time only in the larger diameter NW, since the reaction interface was not very visible in the small diameter NW during the heating experiment. All samples heated using $H_a$ or $H_b$ at low temperature (250–330 °C) show a parabolic growth behavior and were well fitted using the general equation describing diffusion given by

$$L = \sqrt{2Dt}$$  \hspace{1cm} (1)

where $L$ is the transformed segment length in nanometers, $D$ is the metal diffusion constant (nm$^2$/s), and $t$ is time in seconds. The propagation speed varies from one NW to another even for propagation in different parts of the same NW and, as can be observed in Figure 1d,e, the propagation speed appears to be influenced by the NW diameter but not exclusively. In general, using observations made on many samples (also using ex situ heating), we observe that the propagation is often faster in the smaller diameter NW. Similar results were obtained by Kral et al.\textsuperscript{25} where they attest that the Al–Ge NW exchange is size dependent, however, their graph showing propagation speed as a function of NW diameter is characterized by a large scatter of data points, which is very similar to our results. It should be noted that in Kral et al.\textsuperscript{25} no in situ experiments were performed, therefore, observed propagation speeds can be interpreted correctly only if the reaction initiates in all NWs simultaneously, which we know is unlikely and underlines the importance of in situ experiments. Therefore, it appears that the metal intrusion growth rate is often faster in thinner NWs but can also be influenced by other parameters removing the effect of size, as observed in Figure 1e where the NW part with the intermediate diameter showed the fastest propagation. Since the studied NW in Figure 1e exhibits a strong bending, we speculate that strain is one of the parameters that can influence the reaction speed. It was also observed in movie \textsuperscript{SI M3}$H_b$ (330 °C) that the propagation in the thinner NW advances much slower than in the large NW.

As another example, the HAADF-STEM movie \textsuperscript{SI M4}$H_a$ shows high-angle annular dark-field STEM of two NWs heated using the $H_a$ technique propagating side by side. Their respective diameters are 150 and 127 nm. The experiment was carried out using varying heating current, therefore we cannot interpret the kinetics of the reaction looking at the propagation length $L$ as a function of time, however we can compare the propagated lengths in the two different NWs and compare the propagation into the upper part of the NWs, that we call “top part” and into the bottom part. Figure 2 shows images extracted from movie \textsuperscript{SI M4}$H_a$ and plots the location of the reaction interface in both NWs as a function of time. Figure 2a
shows the sample prior to the in situ Joule heating experiment. The insets show that in the top part of the NWs located just above the heater electrode the NW surface is locally rough (presence of contrast variations on the NW) and the thinner NW2 also has a region where the diameter is locally reduced, while the NW surfaces have no such roughness in the NW regions below the heater electrode (smooth contrast on the NW). Figure 2b shows the sample at the end of the Joule heating experiment, and the insets show the reaction interface both in the top part and bottom part of the NWs at two moments during the experiment where \( t = 0 \) indicates the moment where we start to follow the reaction interface that is followed during 80 s. We see that the reaction interface has first entered in the thinner diameter NW2. Figure 2c,d shows the length the reaction interface has traveled during 80 s in both NWs in the top part and bottom part of the NWs, respectively. We observe that in the top part of the NWs the reaction speed is first similar in both NWs during around 20 s, but then the reaction speeds up at the thinner location in NW2, Figure 2c. The reaction interface speed recovers to approximately the original value in NW2 when the reaction interface has passed the thinner region. In the bottom part of the NWs, we find very similar reaction speeds in both NWs, Figure 2d. In this experiment, we see that the reaction interface advances first out from the contact into the thinner NW but then very similar reaction speeds are observed in both NWs. Yet, at the thinner location in NW2 above the heater electrode, the reaction advances faster. We can conclude that indeed the influence of the NW diameter on the reaction speed is ambiguous and that we truly need in situ measurements to better understand the delicate interplay between NW size and potential other factors such as surface roughness (potentially related with surface defects) and strain.

Interestingly, it can be observed in movie S1 M. C. H. that the reaction starts to occur in multiple nucleation points underneath the Al metal line. During the \( H_b \) heating experiment at 250 °C, the reaction did not start in the thicker NW part of NW2. Therefore, the heating was increased to 400 °C with the aim to activate the metal intrusion in the NW. As shown in Figure S1, we find that the reaction interface proceeds by large steps at this higher temperature.

These different formed segments heated using Joule heating techniques were chemically and structurally studied in order to investigate the formed heterostructure in the reacted segment. A high-resolution TEM (HRTEM) analysis and preliminary EDX results have been presented in a previous work\(^{25}\) and they showed a complete transformation of the Ge segment to a monocrystalline Al segment. Here we further investigate the formed heterostructure using ex situ energy dispersive X-ray spectroscopy (EDX) analyses performed on reacted samples using both \( H_f \) and \( H_b \) heating techniques with much improved X-ray count statistics. This allows using a 3D chemical reconstruction model proposed by Rueda et al.\(^{31}\) to provide a reconstruction of the reacted segment cross-section and to determine the proportions of different elements present in the heterostructure.

These analyses were performed in an ultranarrow gap Fischione tomography sample holder, which allows a large angle for signal detection contrary to the DENSSolution sample holder where the six pins mask an important amount of the emitted X-rays. Figure 3 represents the EDX hypermap of the sample heated using \( H_f \) at \( V_{\text{heat}} = 0.475 \) V with the corresponding 3D cross-section reconstruction using the EDX model\(^{31}\) adapted to objects with a hexagonal cross-section. Figure 3b–d illustrates the distribution of the different layers forming the NW cross-section. The dashed curves in Figure 3c with symbols (red −○−, green −▲−, blue −□−) are the atomic concentrations of Al, Ge, and O, respectively, deduced directly from the net experimental X-ray intensity of each element using the zeta factor method\(^{29}\) and the (red line, green line, blue line) solid curves are the calculated concentration profiles using an hexagonal model of the NW cross-section. We find that the NW cross-section can be modeled by four imbricated hexagons (see Figure 3d): a thick pure Al core with a thickness of 17.5 nm surrounded by a shell of pure Ge of ~2 nm, surrounded by an Al\(_2\)O\(_3\) layer of ~3 nm and covered by a Ge containing Al\(_2\)O\(_3\) shell of 1 nm. This cross-section model reproduces perfectly the experimental concentration profiles extracted directly from the EDX hypermap perfectly. This analysis confirms the hypothesis that Ge is entirely replaced by Al in the reacted part of the NW creating an Al/Ge heterostructure. A Ge containing shell is observed around the core of pure Al, indicating that Ge is diffusing back into the metal contact by a surface diffusion process. Moreover, we verified using electron diffraction that the created Al NW is monocrystalline and has the usual face-centered cubic structure (fcc), see Supporting Information (S.III). Furthermore, the EDX analysis was extended to the Al pad (see Figure 4), revealing the presence of Ge atoms, most likely formerly constituting the NW. This experiment shows the favorable incorporation of Ge atoms in the polycrystalline Al pad (see hypermap with the respective EDX spectrum in Figure 4b).

**Discussion.** In the following, we combine both kinetic and structural analysis results to get a better understanding of the replacement of Ge by Al in a NW.

We first fit the kinetic data from Figure 1d–f with eq 1 in order to evaluate the difference in the reaction speed that is reflected in the fit parameter between the different experimental conditions. These results are summarized in Table 1. We can see from this analysis that very similar propagation speeds can be obtained using both heating techniques, indicating that the heating temperature we reach in \( H_f \) experiments is similar to the temperature we apply in temperature calibrated \( H_b \) experiments (in the 250–330 °C range). Recently several papers\(^{29,31,17,33,34}\) have presented a diffusion model describing such a solid-state reaction in semiconducting NWs. We have adapted this model.\(^{29}\) In this model, four different regimes can govern the incorporation of
metal atoms in a semiconducting NW during a thermal annealing. We have described this model in detail, here we just present briefly the four potential rate limitation steps and how the converted region \( L \) varies as a function of time \( t \) and NW radius.

We can see from Table 2 that if volume diffusion is the rate limiting step, \( L \) will be independent of the NW radius \( R \).

However, if surface diffusion is the rate limiting step, \( L \) will vary as a function of \( R^{-1} \).

As we explained above, the influence of the NW diameter on the propagation speed is not systematic throughout our data and all kinetic plots at these different low temperatures evidence a square root behavior (see Figure 1d–f).

This indicates that the rate limiting step of the reaction propagation is a diffusion process, however it is not clear if the rate limiting step only involves volume diffusion, or could be influenced by surface diffusion as well. Therefore, we use the parabolic growth law presented in eq 1 to fit the kinetic data and extract a diffusion coefficient without taking a size effect into account and summarize the resulting average diffusion coefficients in Table 3.

We examine the Al–Ge phase diagram and consider the substantially different diffusion behaviors of Ge in Al and vice versa. The binary Ge–Al phase diagram is of the simple eutectic type with no intermetallic phase formation. The melting points of Al and Ge are 660 and 938 °C, respectively, and the eutectic point is located at a Ge composition of about 29.5 atom % with solid to liquid transition at 350 °C. Al shows a solubility of 0.5 atom % in Ge, while Al can contain up to 1.5 atom % of Ge.

As listed in Table 4, the diffusion constants for both Ge and Al in Al at 330 °C are comparable, that is, \( 10^{14} \) times larger than in Ge.

Thus, when the heating starts to provide enough energy, Ge atoms diffuse from the interface into the Al pad, diffusing on the Al segment in a small shell of ~2 nm by surface diffusion, as observed by EDX, and we speculate that Al is supplied to the reaction interface by self-diffusion, leading to an exchange of Al and Ge atoms, where Al atoms start to replace Ge atoms in the contacted NW.

The diffusion coefficient found at 330 °C is comparable to the bulk Al self-diffusion at 330 °C presented in Table 4, supporting the hypothesis that the reaction is limited by Al self-diffusion. A schematic description of the observed diffusion behaviors of both Al and Ge is shown in Figure 5. The diffusion coefficient at 250 °C, is much higher compared to the Al self-diffusion from literature. We speculate that this high value is due to the NW curvature.

![Figure 5. HAADF STEM image combined with a schematic showing the proposed mechanism.](image)

Table 4. Activation Energy and Diffusion Coefficients for Ge and Al, and Resulting Diffusion Constant at the Annealing Temperature of 330 °C

<table>
<thead>
<tr>
<th>aluminum in aluminum</th>
<th>germanium in aluminum</th>
<th>aluminum in germanium</th>
<th>germanium in germanium</th>
</tr>
</thead>
<tbody>
<tr>
<td>( E_a ) (kJ/mol)</td>
<td>123.5</td>
<td>121.3</td>
<td>332.8</td>
</tr>
<tr>
<td>( D_a ) (cm²/s)</td>
<td>0.137</td>
<td>0.48</td>
<td>1.000</td>
</tr>
<tr>
<td>( D ) 330 °C (cm²/s)</td>
<td>( 2.71 \times 10^{-12} )</td>
<td>( 1.47 \times 10^{-11} )</td>
<td>( 1.43 \times 10^{-28} )</td>
</tr>
</tbody>
</table>

We speculate that the rate limiting step at high temperature, where stepwise growth was observed, is related to a trapping/detrapping mechanism of the reaction interface at the NW surface, potentially related to a very local surface defect that is difficult to observe in TEM. It should be noted that the exchange reaction also took place when the whole structure was covered by Al₂O₃, indicating that even with a shell on the
Ge NW, Ge can still diffuse at the interface of Ge and Al₂O₃. Remarkably, in the remaining Ge NW segment no Al contamination can be detected, which is attributed to the extremely low diffusion coefficient of Al in Ge. Gold was used as catalyst for NW growth. In Si NWs, both gold clusters on the NW surface [37] as well as gold atoms in the NW volume [38] have been observed. For Ge NWs, it is currently not known if gold can incorporate in a similar way. The NWs in the present study did not have gold clusters on their surface; otherwise such clusters would have been clearly visible in the TEM and especially HAADF STEM images (as shown, for example, in Figure 2). Therefore, we do not think there was interference due to the presence of gold with the exchange reaction. Indeed, for integration into devices the gold catalyst potentially has to be removed.

In summary, this work presents a detailed in situ TEM study of the solid-state reaction via direct Joule heating \( H_\text{H} \) and controlled temperature \( H_\text{B} \), heating experiments. A strong asymmetry in the diffusion behavior of Al and Ge enables the formation of metal/semiconductor NW heterostructures via thermal annealing. Aluminum–germanium heterostructures are formed at low temperatures starting from 250 °C. The kinetic results at low temperature indicate that the Al/Ge NW propagation is governed by the Al self-diffusion through the created Al segment. Ge diffuses through a surface channel to the Al reservoir, as observed by EDX, and does not noticeably influence the propagation rate. The formation of single crystalline Al NWs via these both thermal annealing techniques was confirmed by quantitative EDX analysis, revealing a double core–shell structure, with an Al core covered by a thin layer of Ge with an estimated thickness of 2 ± 0.2 nm, covered by an Al₂O₃ shell with another region of low Ge concentration at its surface with a thickness around 1 nm. Additional characterization by electron diffraction demonstrated the monocrystallinity of the Al segment. Comparing the reaction kinetics of both heating techniques indicates that similar low temperatures as used in \( H_\text{B} \) experiments can be obtained by \( H_\text{H} \), which gives the possibility of using only electrical control to both fabricate and electrically characterize short channel metal oxide semiconductor field effect transistors down to sub-10 nm length scales, independent of the spatial resolution of an lithography process. We demonstrated that in situ TEM is a powerful and indispensable tool to better understand and control the promising material combination of Al and Ge.

**ASSOCIATED CONTENT**

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.nanolett.8b05171.

TEM bright field movie M₁₋H₁ (AVI)

TEM bright field movie M₂₋H₂ (250 °C) (AVI)

TEM bright field movie M₃₋H₃ (300 °C) (AVI)

HAADF-STEM movie M₄₋H₄ (AVI)

Figure S1: Heating experiment at 400 °C and position of the interface (L) as a function of time (t). Figure S2: Diffraction analysis of exchanged Al NW(PDF)

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**REFERENCES**


