# Uniform interpolation via nested sequents and hypersequents 

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#### Abstract

A modular proof-theoretic framework was recently developed to prove Craig interpolation for normal modal logics based on generalizations of sequent calculi (e.g., nested sequents, hypersequents, and labelled sequents). In this paper, we turn to uniform interpolation, which is stronger than Craig interpolation. We develop a constructive method for proving uniform interpolation via nested sequents and apply it to reprove the uniform interpolation property for normal modal $\operatorname{logics} \mathrm{K}$, D, and T. We then use the know-how developed for nested sequents to apply the same method to hypersequents and obtain the first direct proof of uniform interpolation for S 5 via a cut-free sequent-like calculus. While our method is proof-theoretic, the definition of uniform interpolation for nested sequents and hypersequents also uses semantic notions, including bisimulation modulo an atomic proposition.


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## 1 Introduction

Uniform interpolation is stronger than Craig interpolation and provides a simulation of quantifiers in a logic. Similar to Craig interpolation, uniform interpolation is useful in computer science, for example, in quantifier elimination procedures [11 or in knowledge representation to perform tasks such as forgetting irrelevant information in descriptive logics [16]. This shows the practical value of uniform interpolation. The goal of this paper is to expand the reach of proof-theoretic method of proving uniform interpolation.

A propositional (modal) logic L admits the Craig interpolation property (CIP) if for any formulas $\varphi$ and $\psi$ such that $\vdash_{\mathrm{L}} \varphi \rightarrow \psi$, there is an interpolant $\theta$ containing only atomic propositions that occur in both $\varphi$ and $\psi$ such that $\vdash_{\mathrm{L}} \varphi \rightarrow \theta$ and $\vdash_{\mathrm{L}} \theta \rightarrow \psi$. One could say that the purpose of the interpolant is to state the reason $\psi$ follows from $\varphi$ by using the common language of the two. Logic $L$ has the uniform interpolation property (UIP) if for each formula $\varphi$ and each atomic proposition $p$ there are uniform interpolants $\exists p \varphi$ and $\forall p \varphi$ containing only atomic propositions occurring in $\varphi$ except for $p$ such that for all formulas $\psi$ not containing $p$ :

$$
\vdash_{\mathrm{L}} \varphi \rightarrow \psi \Leftrightarrow \vdash_{\mathrm{L}} \exists p \varphi \rightarrow \psi \quad \text { and } \quad \vdash_{\mathrm{L}} \psi \rightarrow \varphi \Leftrightarrow \vdash_{\mathrm{L}} \psi \rightarrow \forall p \varphi
$$

It is well known that this property is stronger than Craig interpolation. Indeed, by computing uniform interpolants consecutively, it is possible to remove a given set of atomic propositions and construct a formula that would uniformly serve as a Craig interpolant for a fixed $\varphi$ and all $\psi$ with a given common language.

Analytic sequent calculi can be used to prove the CIP constructively. For the UIP, terminating cut-free sequent calculi play a similar role. Whereas for the CIP the syntactic proofs are often straightforward, the case of the UIP is much more complicated. Pitts provided a first syntactic proof of this kind, establishing the UIP for IPC [24]. Bílková successfully adjusted the method to (re)prove the UIP for several modal logics including K, T, and GL [2]. Iemhoff provided a modular method for (intuitionistic) modal logics and intermediate logics with sequent calculi consisting of so-called focused rules, among others establishing the UIP for D [14, 15.

There are also algebraic and model-theoretic methods. The UIP for GL and K is due to Shavrukov [28] and Ghilardi [12] respectively. Interestingly, modal logics S4 and K4 do not enjoy the UIP [2, 13] despite enjoying the CIP. Visser provided purely semantic proofs for K, GL, and IPC based on bounded bisimulation up to atomic propositions [31. This method was later applied to prove the stronger Lyndon UIP for a wide range of modal logics [17]. The semantic interpretation of uniform interpolation is called bisimulation quantifiers, see [7] for an extended explanation. Bisimulations will also play a role in the current paper.

The proof-theoretic approach has two advantages. First, it enables one to find interpolants constructively rather than merely prove their existence 1 Second, it can turn uniform interpolation into a powerful tool in the study of existence of proof systems. Negative results are obtained in [14, 15] stating that logics without the UIP cannot have certain natural sequent calculi. As a consequence, K4 and S4 do not possess such proof systems. Similar negative results are obtained for modal and substructural logics in [29] and [30] using the CIP and UIP. These methods are based on calculi with regular sequents.

The goal of this paper is to extend the same line of research to multisequent formalisms such as hypersequents and nested sequents $\int^{2}$. Such forms of sequent calculi have recently been adapted to prove the CIP of modal logics via nested sequents [10] and hypersequents [18]. A modular proof-theoretic framework encompassing these and also labelled sequents was provided in [19]. The same ideas were extended to multisequent calculi for intermediate logics [21]. The method combines syntactic and semantic reasoning. Generalized Craig interpolants are constructed using the calculus in a purely syntactic manner, but the method's correctness uses semantic notions from Kripke models of the underlying logic.

This paper extends this method providing proof-theoretic proofs for the UIP based on nested sequents for K, D, and T and on hypersequents for S5. The UIP for these logics has been known, but we provide a new method that can hopefully be extended to other logics. Similar to [19, we combine syntactic and semantic reasoning. We use the terminating calculi to define the uniform interpolants and then provide model modifications and use bisimulations to prove the correctness of these interpolants.

Bílková [3] also provided a syntactic method for uniform interpolation for K based on nested sequents. She presented proofs based on two nested calculi for K: one with a standard modality and another that is based on a different modal language with a cover modality $\nabla$. Bílková's method for nested sequents is closely related to her work based on regular sequents in [2]. The main difference with our method is that we exploit the treelike structure of nested sequents reflecting the treelike models for K by incorporating semantic arguments while the algorithm for the interpolant computation remains fully syntactic. We intend our method to form a good basis for generalizing to other logics with multisequent calculi.

[^0]The paper is organized as follows. In Sect. 2 we introduce the nested sequent calculi for $\mathrm{K}, \mathrm{T}$, and D , as well as model modifications invariant under bisimulation. In Sect. 3 , we present our method to prove uniform interpolation for K, T, and D. In Sect. 4 we show how the method can be adjusted to hypersequents for S5. Section 5 concludes the paper and maps the immediate next steps.

## 2 Preliminaries

- Definition 1. Modal formulas in negation normal form are defined by the following grammar $\varphi::=\perp|\top| p|\bar{p}|(\varphi \wedge \varphi)|(\varphi \vee \varphi)| \square \varphi \mid \diamond \varphi$ where $\perp$ and $\top$ are Boolean constants, $p$ is an atomic proposition from a countable set Prop, and $\bar{p}$ is the negation of $p$ for each $p \in$ Prop. The set Lit of literals consists of all atomic propositions and their negations, with $\ell$ used to denote its elements. Literals and Boolean constants are atomic formulas.

We define $\bar{\varphi}$ ( or $\neg \varphi$ ) recursively as usual using De Morgan's laws to push the negation inwards. $\varphi \rightarrow \psi:=\bar{\varphi} \vee \psi$.

- Definition 2. Nested sequents $\Gamma$ are recursively defined in the following form:

$$
\varphi_{1}, \ldots, \varphi_{n},\left[\Gamma_{1}\right], \ldots,\left[\Gamma_{m}\right]
$$

is a nested sequent where $\varphi_{1}, \ldots, \varphi_{n}$ are modal formulas for $n \geq 0$ and $\Gamma_{1}, \ldots, \Gamma_{m}$ are nested sequents for $m \geq 0$. We call brackets [ ] a structural box. The formula interpretation $\iota$ of a nested sequent is defined recursively by

$$
\iota\left(\varphi_{1}, \ldots, \varphi_{n},\left[\Gamma_{1}\right], \ldots,\left[\Gamma_{m}\right]\right):=\varphi_{1} \vee \cdots \vee \varphi_{n} \vee \square \iota\left(\Gamma_{1}\right) \vee \cdots \vee \square \iota\left(\Gamma_{m}\right)
$$

One way of looking at a nested sequent is to consider a tree of ordinary (one-sided) sequents, i.e., of multisets of formulas. Each structural box in the nested sequent creates a child in the tree. In order to be able to reason about formulas in a particular tree node, we introduce labels. A label is a finite sequence of natural numbers. We denote labels by $\sigma, \tau, \ldots$; a label $\sigma * n$ (or simply $\sigma n$ ) denotes the label $\sigma$ extended by the natural number $n$.

- Definition 3 (Labeling). For a nested sequent $\Gamma$ and label $\sigma$ we define a labeling function $l_{\sigma}$ to recursively label structural boxes in nested sequents as follows:

$$
l_{\sigma}\left(\varphi_{1}, \ldots, \varphi_{n},\left[\Gamma_{1}\right], \ldots,\left[\Gamma_{m}\right]\right):=\varphi_{1}, \ldots, \varphi_{n},\left[l_{\sigma * 1}\left(\Gamma_{1}\right)\right]_{\sigma * 1}, \ldots,\left[l_{\sigma * m}\left(\Gamma_{m}\right)\right]_{\sigma * m} .
$$

Let $\mathcal{L}_{\sigma}(\Gamma)$ be the set of labels occurring in $l_{\sigma}(\Gamma)$ plus label $\sigma$ (for formulas outside all structural boxes). Define the labeled nested sequent $l(\Gamma):=l_{1}(\Gamma)$, and let $\mathcal{L}(\Gamma):=\mathcal{L}_{1}(\Gamma)!^{3}$

Formulas in a nested sequent $\Gamma$ are labeled according to the labeling of the structural boxes containing them. We write $1: \varphi \in \Gamma$ iff the formula $\varphi$ occurs in $\Gamma$ outside all structural boxes. Otherwise, $\sigma: \varphi \in \Gamma$ whenever $\varphi$ occurs in $l(\Gamma)$ within a structural box labeled $\sigma$.

The set $\mathcal{L}(\Gamma)$ can be considered as the set of nodes of the corresponding tree of $\Gamma$, with 1 being the root of this tree. Often, we do not distinguish between a nested sequent $\Gamma$ and its labeled sequent $l(\Gamma)$. For example, we say that $\sigma \in \Gamma$ if $\sigma \in \mathcal{L}(\Gamma)$.

- Example 4. Consider a nested sequent $\Gamma=\varphi,[p, \psi],[\bar{p}, \varphi,[\chi]]$. The corresponding labeled nested sequent is $l(\Gamma)=\varphi,[p, \psi]_{11},\left[\bar{p}, \varphi,[\chi]_{121}\right]_{12}$ with $\mathcal{L}(\Gamma)=\{1,11,12,121\}$. The corresponding tree is pictured as follows, where each node is labeled on the left and marked by its formulas on the right (in particular, here $1: \varphi \in \Gamma$ and $121: \chi \in \Gamma$, but $12: \chi \notin \Gamma$ ):

[^1]

Following [5, we will work with contexts in rules to signify that the rules can be applied in an arbitrary node of the nested sequent. We will work with unary contexts which are nested sequents with exactly one hole, denoted by the symbol $\}$. Such contexts are denoted by $\Gamma\}$. The insertion $\Gamma\{\Delta\}$ of a nested sequent $\Delta$ into a context $\Gamma\}$ is obtained by replacing the occurrence $\}$ with $\Delta$. The hole $\}$ can be labeled the same way as formulas. We write $\Gamma\left\}_{\sigma}\right.$ to denote the label of the hole.

- Example 5. $\Gamma^{\prime}\{ \}=\varphi,[p, \psi],[\bar{p},\{ \}]$ is a context. Its labeled context is $\Gamma^{\prime}\{ \}_{12}=$ $\varphi,[p, \psi]_{11},[\bar{p},\{ \}]_{12}$. Let $\Delta=\varphi,[\chi]$. Then $\Gamma^{\prime}\{\Delta\}$ equals $\Gamma$ from Example 4
- Definition 6 (Variables). Whether $X$ is a formula, or a sequence/set/multiset of formulas, or a nested sequent/context, or some other formula-based object, we denote by $\operatorname{Var}(X) \subseteq \operatorname{Prop}$ the set of atomic propositions occurring in $X$ (note that $p$ may also occur in the form of $\bar{p}$ ).

In this paper we use nested sequent calculi for classical modal $\operatorname{logics} \mathrm{K}$, D , and T from 5 . Recall that K consists of all classical tautologies, the k-axiom $\square(\varphi \rightarrow \psi) \rightarrow(\square \varphi \rightarrow \square \psi)$ and is closed under modus ponens (from $\varphi \rightarrow \psi$ and $\varphi$, infer $\psi$ ) and necessitation (from $\varphi$, infer $\square \varphi$ ). Further, $\mathrm{D}:=\mathrm{K}+\square \varphi \rightarrow \diamond \varphi$ and $\mathrm{T}:=\mathrm{K}+\square \varphi \rightarrow \varphi$. We now introduce nested sequent calculi and then Kripke semantics for these logics.

The terminating nested sequent calculus NK for the modal logic K consists of all rules in the first two rows in Fig. 1 plus the rule k. This calculus is an extension of the multiset-based version from [5] to the language with Boolean constants $\perp$ and $T$, necessitating an addition of the rule id ${ }_{\top}$ for handling these (cf. also the treatment of Boolean constants in [10]). The nested calculus ND (NT) for the modal logic $D(T)$ is obtained by adding to NK the rule $d(t)$. As shown in [5], the nested sequent calculi NK, ND, and NT are sound and complete for modal logics K, D, and T respectively, i.e., a nested sequent $\Gamma$ is derivable in NK (ND, NT) if and only if its formula interpretation $\iota(\Gamma)$ is a theorem of $K(D, T)$.

$$
\begin{aligned}
& \mathrm{idp}_{\mathrm{p}}^{\Gamma\{p, \bar{p}\}} \quad \text { id } \overline{ } \overline{\Gamma\{\top\}} \quad \vee \frac{\Gamma\{\varphi \vee \psi, \varphi, \psi\}}{\Gamma\{\varphi \vee \psi\}} \\
& \wedge \frac{\Gamma\{\varphi \wedge \psi, \varphi\} \quad \Gamma\{\varphi \wedge \psi, \psi\}}{\Gamma\{\varphi \wedge \psi\}} \quad \square \frac{\Gamma\{\square \varphi,[\varphi]\}}{\Gamma\{\square \varphi\}} \\
& \mathrm{k} \frac{\Gamma\{\Delta \varphi,[\Delta, \varphi]\}}{\Gamma\{\Delta \varphi,[\Delta]\}} \quad \mathrm{d} \frac{\Gamma\{\Delta \varphi,[\varphi]\}}{\Gamma\{\Delta \varphi\}} \quad \mathrm{t} \frac{\Gamma\{\Delta \varphi, \varphi\}}{\Gamma\{\Delta \varphi\}}
\end{aligned}
$$

Figure 1 Terminating nested rules: the principal formula is not saturated.

Definition 7 (Saturation). Consider a sequent $\Gamma=\Gamma^{\prime}\{\theta\}_{\sigma}$, i.e., $\sigma: \theta \in \Gamma$. The formula $\theta$ is K -saturated in $\Gamma$ if the following conditions hold depending on the form of $\theta$ :

- $\theta$ is an atomic formula;
- if $\theta=\varphi \vee \psi$, then both $\sigma: \varphi \in \Gamma$ and $\sigma: \psi \in \Gamma$;
- if $\theta=\varphi \wedge \psi$, then either $\sigma: \varphi \in \Gamma$ or $\sigma: \psi \in \Gamma$;
- if $\theta=\square \varphi$, then there is a label $\sigma * n \in \mathcal{L}(\Gamma)$ such that $\sigma * n: \varphi \in \Gamma$.

The formula $\theta$ of the form $\Delta \varphi$ is

- K-saturated in $\Gamma$ w.r.t. $\sigma * n \in \mathcal{L}(\Gamma)$ if $\sigma * n: \varphi \in \Gamma$;
- D-saturated in $\Gamma$ if there is a label $\sigma * n \in \mathcal{L}(\Gamma)$;
- T-saturated in $\Gamma$ if $\sigma: \varphi \in \Gamma$.

A nested sequent $\Gamma$ is K -saturated if (1) it is neither of the form $\Gamma^{\prime}\{p, \bar{p}\}$ for some atomic proposition $p \in \operatorname{Prop}$ nor of the form $\Gamma^{\prime}\{\top\}$; (2) all its formulas $\sigma: \Delta \varphi$ are K -saturated w.r.t. every child of $\sigma$; and (3) all its other formulas are K-saturated in $\Gamma$. A nested sequent is D -saturated ( T -saturated) if it is K -saturated and all its formulas $\sigma: \Delta \varphi$ are D-saturated ( T -saturated) in $\Gamma$.

- Example 8. The sequent $\Gamma=[\Delta \varphi]$ is K-saturated but neither D-saturated nor T-saturated. Indeed, for the logic D we would need $1 * 1 * n: \varphi$ to be present for some $n$ and for the logic T we would need to have $1 * 1: \varphi$ in order to saturate $1 * 1: \Delta \varphi \in \Gamma$.

The rules from Fig. 1 with embedded contraction are sometimes called Kleene'd rules. Following [5], in order to ensure finite proof search, we only apply a rule when the principal formula in the conclusion is not saturated w.r.t. this rule, i.e., $\varphi \vee \psi, \varphi \wedge \psi$, and $\square \varphi$ are not K -saturated, $\diamond \varphi$ in the rule k is not K -saturated w.r.t. the label of the bracket containing $\Delta$, $\diamond \varphi$ in the rule d is not D -saturated, and $\diamond \varphi$ in the rule t is not T -saturated. Since for Kleene'd rules principal formulas are preserved in the premises, the number of applications of each of the rules $k, d$, and $t$ is bounded. The way to think of a saturated sequent is that in a bottom-up proof search when we reach a saturated sequent, it does not make sense to apply more rules as these would only lead to duplications.

- Theorem 9 (Brünnler [5). The calculi for K, D, and T in Fig. 1 are terminating.

Intuitively, nested sequents capture the tree structure of Kripke models for modal logics. We define truth for nested sequents in Kripke models and then recall relevant facts about bisimulations and introduce model modifications that we use in the proof of uniform interpolation.

- Definition 10. A Kripke model is a triple $\mathcal{M}=(W, R, V)$, where $W \neq \varnothing$ is a set of worlds or nodes, $R \subseteq W \times W$, and $V$ : Prop $\rightarrow 2^{W}$ is a valuation function mapping each atomic proposition $p \in$ Prop to a set $V(p)$ of worlds from $W$. If $v R w$, we say that $w$ is accessible from $v$, or that $v$ is a parent of $w$, or that $w$ is a child of $v$. We define $\mathcal{M}, w \models \varphi$ by induction on the construction of $\varphi$ as usual: $\mathcal{M}, w \models \top$ and $\mathcal{M}, w \not \vDash \perp$; for $p \in \operatorname{Prop}$, we have $\mathcal{M}, w \models p$ iff $w \in V(p)$ and $\mathcal{M}, w \models \bar{p}$ iff $w \notin V(p)$; we have $\mathcal{M}, w \models \varphi \wedge \psi$ $(\mathcal{M}, w \models \varphi \vee \psi)$ iff $\mathcal{M}, w \models \varphi$ and (or) $\mathcal{M}, w \models \psi$; finally, $\mathcal{M}, w \models \square \varphi$ iff $\mathcal{M}, v \models \varphi$ whenever $w R v$ and $\mathcal{M}, w \models \diamond \varphi$ iff $\mathcal{M}, v \models \varphi$ for some $w R v$. A formula $\varphi$ is valid in $\mathcal{M}$, denoted $\mathcal{M} \models \varphi$, when $\mathcal{M}, w \models \varphi$ for all $w \in W$.

A model $\mathcal{M}^{\prime}=\left(W^{\prime}, R^{\prime}, V^{\prime}\right)$ is a submodel of $\mathcal{M}=(W, R, V)$ when $W^{\prime} \subseteq W, R^{\prime}=$ $R \cap\left(W^{\prime} \times W^{\prime}\right)$, and $V^{\prime}(p)=V(p) \cap W^{\prime}$ for each $p \in$ Prop. A submodel generated by $w \in W$, denoted $\mathcal{M}_{w}=\left(W_{w}, R_{w}, V_{w}\right)$, is the smallest submodel $\mathcal{M}^{\prime}=\left(W^{\prime}, R^{\prime}, V^{\prime}\right)$ of $\mathcal{M}$ such that (1) $w \in W^{\prime}$ and (2) $v \in W^{\prime}$ whenever $x R v$ and $x \in W^{\prime}$.

We will use models based on finite intransitive directed trees, usually denoting the root $\rho$. For T , the accessibility relation $R$ is required to be reflexive, i.e., $\forall w \in W w R w$. For D , the accessibility relation $R$ must be serial, i.e., $\forall w \in W \exists v \in W w R v$. Note that such seriality
implies reflexivity of the leaves of the tree. Finally, we assume $R$ to be irreflexive for K. From now on we call these models T-models, D-models, and K-models respectively.

- Theorem 11. If $\mathrm{L} \in\{\mathrm{K}, \mathrm{D}, \mathrm{T}\}$, then $\vdash_{\mathrm{L}} \varphi$ iff $\mathcal{M} \models \varphi$ for each L -model $\mathcal{M}$.

Following [19], we now extend the definitions of truth and validity to nested sequents.

- Definition 12. $A$ (treelike) multiworld interpretation of a nested sequent $\Gamma$ into a model $\mathcal{M}=(W, R, V)$ is a function $\mathcal{I}: \mathcal{L}(\Gamma) \rightarrow W$ from labels in $\Gamma$ to worlds of $\mathcal{M}$ such that $\mathcal{I}(\sigma) R \mathcal{I}(\sigma * n)$ whenever $\{\sigma, \sigma * n\} \subseteq \mathcal{L}(\Gamma)$. Then

$$
\mathcal{M}, \mathcal{I} \models \Gamma \quad \Longleftrightarrow \quad \mathcal{M}, \mathcal{I}(\sigma) \models \varphi \text { for some } \sigma: \varphi \in \Gamma \text {. }
$$

$\Gamma$ is valid in $\mathcal{M}$, denoted $\mathcal{M} \models \Gamma$, means that $\mathcal{M}, \mathcal{I} \models \Gamma$ for all multiworld interpretations $\mathcal{I}$ of $\Gamma$ into $\mathcal{M}$.

The following lemma, which can be easily proved by induction on the structure of $\Gamma$, implies completeness for validity of nested sequents.

- Lemma 13. For a nested sequent $\Gamma$ and a model $\mathcal{M}$, we have $\mathcal{M} \models \Gamma$ iff $\mathcal{M} \models \iota(\Gamma)$.

Proof. By induction on the structure of $\Gamma$, we prove that $\mathcal{M}, \mathcal{I} \notin \Gamma$ implies $\mathcal{M}, \mathcal{I}(1) \not \vDash \iota(\Gamma)$ for one direction and that $\mathcal{M}, w \not \vDash \iota(\Gamma)$ implies $\mathcal{M}, \mathcal{I} \not \vDash \Gamma$ for some $\mathcal{I}$ such that $\mathcal{I}(1)=w$ for the other direction. Let $\Gamma$ be of the form $\varphi_{1}, \ldots, \varphi_{n},\left[\Gamma_{1}\right], \ldots,\left[\Gamma_{m}\right]$.

First suppose $\mathcal{M}, \mathcal{I} \not \vDash \Gamma$. Then for all $\sigma: \psi \in \Gamma$ we have $\mathcal{M}, \mathcal{I}(\sigma) \not \vDash \psi$, in particular, $\mathcal{M}, \mathcal{I}(1) \nLeftarrow \varphi_{i}$ for all $i$. In addition, we show that $\mathcal{M}, \mathcal{I}(1) \not \models \square \iota\left(\Gamma_{j}\right)$ for all $j$. To prove this, we define $\mathcal{I}_{j}$ as follows: $\mathcal{I}_{j}\left(1 * \sigma^{\prime}\right):=\mathcal{I}\left(1 * j * \sigma^{\prime}\right)$ for each $1 * \sigma^{\prime} \in \mathcal{L}\left(\Gamma_{j}\right)$; in particular, $\mathcal{I}_{j}(1):=\mathcal{I}(1 * j)$. It is easy to see that $\mathcal{I}_{j}$ is a multiworld interpretation of $\Gamma_{j}$ into $\mathcal{M}$ and that $\mathcal{M}, \mathcal{I}_{j} \not \models \Gamma_{j}$. Thus, by induction hypothesis, $\mathcal{M}, \mathcal{I}_{j}(1) \not \vDash \iota\left(\Gamma_{j}\right)$, i.e., $\mathcal{M}, \mathcal{I}(1 * j) \not \vDash \iota\left(\Gamma_{j}\right)$. Since $\mathcal{I}(1) R \mathcal{I}(1 * j)$, it follows that $\mathcal{M}, \mathcal{I}(1) \not \vDash \square \iota\left(\Gamma_{j}\right)$. We conclude that $\mathcal{M}, \mathcal{I}(1) \not \vDash \iota(\Gamma)$.

Now suppose $\mathcal{M}, w \not \vDash \iota(\Gamma)$. For each $j$, there is a world $v_{j}$ such that $w R v_{j}$ and $\mathcal{M}, v_{j} \not \vDash \iota\left(\Gamma_{j}\right)$. By induction hypothesis, there exists a multiworld interpretation $\mathcal{I}_{j}$ of $\Gamma_{j}$ into $\mathcal{M}$ such that $\mathcal{I}_{j}(1)=v_{j}$ and $\mathcal{M}, \mathcal{I}_{j} \not \vDash \Gamma_{j}$. Define $\mathcal{I}$ as follows: $\mathcal{I}(1):=w$ and $\mathcal{I}(1 * j * \sigma):=\mathcal{I}_{j}(1 * \sigma)$. We immediately have $\mathcal{M}, \mathcal{I} \not \vDash \Gamma$.

We now define bisimulations modulo an atomic proposition $p$, similar to the ones from [7] 31, where uniform interpolation is studied on the basis of bisimulation quantifiers. While those papers focus on purely semantic methods, we embed the semantic tool of bisimulation into our constructive proof-theoretic approach in Sect. 3 Our bisimulations behave largely like standard bisimulations except they do not have to preserve the truth of formulas with occurrences of $p$.

- Definition 14 (Bisimilarity). A bisimulation up to an atomic proposition $p$ between models $\mathcal{M}=(W, R, V)$ and $\mathcal{M}^{\prime}=\left(W^{\prime}, R^{\prime}, V^{\prime}\right)$ is a non-empty binary relation $Z \subseteq W \times W^{\prime}$ such that the following conditions hold for all $w \in W$ and $w^{\prime} \in W^{\prime}$ with $w Z w^{\prime}$ :
atoms $_{p} . w \in V(q)$ iff $w^{\prime} \in V^{\prime}(q)$ for all $q \in \operatorname{Prop} \backslash\{p\}$;
forth. if $w R v$, then there exists $v^{\prime} \in W^{\prime}$ such that $v Z v^{\prime}$ and $w^{\prime} R^{\prime} v^{\prime}$; and
back. if $w^{\prime} R^{\prime} v^{\prime}$, then there exists $v \in W$ such that $v Z v^{\prime}$ and $w R v$.
When $w Z w^{\prime}$, we write $(\mathcal{M}, w) \sim_{p}\left(\mathcal{M}^{\prime}, w^{\prime}\right)$. Further, we write $(\mathcal{M}, \mathcal{I}) \sim_{p}\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right)$ for functions $\mathcal{I}: X \rightarrow W$ and $\mathcal{I}^{\prime}: X \rightarrow W^{\prime}$ with a common domain $X$ if there is a bisimulation $Z$ up to $p$ between $\mathcal{M}$ and $\mathcal{M}^{\prime}$ such that $\mathcal{I}(\sigma) Z \mathcal{I}^{\prime}(\sigma)$ for each $\sigma \in X$.

The main property of bisimulations is truth preservation for modal formulas. The following theorem is proved the same way as [4, Theorem 2.20].

- Theorem 15. If $(\mathcal{M}, w) \sim_{p}\left(\mathcal{M}^{\prime}, w^{\prime}\right)$, then for all formulas $\varphi$ with $p \notin \operatorname{Var}(\varphi)$, we have $\mathcal{M}, w \models \varphi$ iff $\mathcal{M}^{\prime}, w^{\prime} \models \varphi$.

We are interested in manipulations of treelike models that preserve bisimulations up to $p$, in particular, in duplicating a part of a model or replacing it with a bisimilar model.

- Definition 16 (Model transformations). Let $\mathcal{M}=(W, R, V)$ be an intransitive tree (possibly with some reflexive worlds), $\mathcal{M}_{w}=\left(W_{w}, R_{w}, V_{w}\right)$ be its subtree with root $w \in W$, and $\mathcal{N}=\left(W_{N}, R_{N}, V_{N}\right)$ be another tree with root $\rho_{N} \in W_{N}$. A model $\mathcal{M}^{\prime}:=\left(W^{\prime}, R^{\prime}, V^{\prime}\right)$ is the result of replacing the subtree $\mathcal{M}_{w}$ with $\mathcal{N}$ in $\mathcal{M}$ if

$$
\begin{aligned}
W^{\prime} & :=\left(W \backslash W_{w}\right) \sqcup W_{N}, \\
R^{\prime} & :=\left(R \cap\left(W \backslash W_{w}\right)^{2}\right) \sqcup R_{N} \sqcup\left\{\left(v, \rho_{N}\right) \mid v R w\right\}, \\
V^{\prime}(q) & :=\left(V(q) \backslash W_{w}\right) \sqcup V_{N}(q) \text { for all } q \in \text { Prop. }
\end{aligned}
$$

A model $\mathcal{M}^{\prime \prime}:=\left(W^{\prime \prime}, R^{\prime \prime}, V^{\prime \prime}\right)$ is the result of duplicating (cloning) $\mathcal{M}_{w}$ in $\mathcal{M}$ if another copy $4^{4} \mathcal{M}_{w}^{c}:=\left(W_{w}^{c}, R_{w}^{c}, V_{w}^{c}\right)$ of $\mathcal{M}_{w}$ is inserted alongside (as a subtree of) $\mathcal{M}_{w}$, i.e., if

$$
W^{\prime \prime}:=W \sqcup W_{w}^{c}
$$

$R^{\prime \prime}:=R \sqcup R_{w}^{c} \sqcup\left\{\left(v, w^{c}\right) \mid v R w\right\}($ duplicating $) \quad$ or $\quad R^{\prime \prime}:=R \sqcup R_{w}^{c} \sqcup\left\{\left(w, w^{c}\right)\right\}($ cloning $)$, $V^{\prime \prime}(q):=V(q) \sqcup V_{w}^{c}(q)$ for all $q \in$ Prop.

- Lemma 17. In the setup from Def. 16, let $Z \subseteq W_{N} \times W_{w}$ be a bisimulation demonstrating that $\left(\mathcal{N}, \rho_{N}\right) \sim_{p}\left(\mathcal{M}_{w}, w\right)$. Then, for $\mathcal{M}^{\prime}$ obtained by replacing $\mathcal{M}_{w}$ with $\mathcal{N}$ in $\mathcal{M}$ we have that $\left(\mathcal{M}^{\prime}, v\right) \sim_{p}(\mathcal{M}, v)$ for all $v \in W \backslash W_{w}$ and that $\left(\mathcal{M}^{\prime}, u_{N}\right) \sim_{p}(\mathcal{M}, u)$ whenever $u_{N} Z u$. Moreover, if both $\mathcal{M}$ and $\mathcal{N}$ are K-models (D-models, T-models), then so is $\mathcal{M}^{\prime}$.

For $\mathcal{M}^{\prime \prime}$ obtained by duplicating $\mathcal{M}_{w}$ in $\mathcal{M}$, we have $\left(\mathcal{M}^{\prime \prime}, v\right) \sim_{p}(\mathcal{M}, v)$ for all $v \in W$ and, in addition, $\left(\mathcal{M}^{\prime \prime}, u^{c}\right) \sim_{p}(\mathcal{M}, u)$ for all $u \in W_{w}$. If $\mathcal{M}$ is a K -model (D-model, T-model) not rooted at $w$, so is $\mathcal{M}^{\prime \prime}$.

The same holds for cloning if $w R w$, except that cloning does not preserve D-models.
Proof. It is easy to see that one bisimulation witnesses all the stated bisimilarities in each case: $Z^{\prime}:=\left\{(v, v) \mid v \in W \backslash W_{w}\right\} \sqcup Z$ for replacing or $Z^{\prime \prime}:=\{(v, v) \mid v \in W\} \sqcup\left\{\left(u^{c}, u\right) \mid u \in W_{w}\right\}$ for duplicating and cloning. Both the tree structure and reflexivity of worlds are preserved by all operations. Leaves are preserved by replacement and duplication, whereas cloning turns a leaf $w$ into a non-leaf without removing its reflexivity as required in D-models.

## 3 Uniform interpolation for nested sequents

In this section we prove the uniform interpolation theorem for $\mathrm{K}, \mathrm{T}$, and D via their nested sequent calculi NK, NT, and ND respectively. We define a new notion of uniform interpolation for nested sequents in Def. 30 that involves Kripke semantics. We then prove in Lemma 29 that this implies the standard definition of uniform interpolation.

- Definition 18 (Uniform interpolation property). A logic L in a language containing an implication $\rightarrow$ and Boolean constants $\perp$ and $\top$ (primary or defined) has the uniform interpolation property, or UIP, if for every formula $\varphi$ in the logic and atomic proposition $p$, there exist formulas $\forall p \varphi$ and $\exists p \varphi$ such that

[^2](i) $\operatorname{Var}(\exists p \varphi) \subseteq \operatorname{Var}(\varphi) \backslash\{p\}$ and $\operatorname{Var}(\forall p \varphi) \subseteq \operatorname{Var}(\varphi) \backslash\{p\}$,
(ii) $\vdash_{\mathrm{L}} \varphi \rightarrow \exists p \varphi$ and $\vdash_{\mathrm{L}} \forall p \varphi \rightarrow \varphi$, and
(iii) for each formula $\psi$ with $p \notin \operatorname{Var}(\psi)$ :
$$
\vdash_{\mathrm{L}} \varphi \rightarrow \psi \Rightarrow \vdash_{\mathrm{L}} \exists p \varphi \rightarrow \psi \quad \vdash_{\mathrm{L}} \psi \rightarrow \varphi \Rightarrow \vdash_{\mathrm{L}} \psi \rightarrow \forall p \varphi
$$

For classical-based logics, the existence of left-interpolants ensures the existence of rightinterpolants, and vice versa. Assuming $\forall p \varphi$ is defined for each formula $\varphi$, we can define $\exists p \varphi:=\neg \forall p \bar{\varphi}$. Thus, from now on, we focus on $\forall p \varphi$.

We import some of the notation from [19] in order to formulate the uniform interpolation property for nested sequents.

- Definition 19. Multiformulas are defined by the grammar
$\mho::=\sigma: \varphi|(\mho \otimes \mho)|(\mho \otimes \mho)$,
where $\sigma$ is a label and $\varphi$ is a formula. We write $\mathcal{L}(\mho)$ for the set of labels occurring in $\mho$.
Remark 20. The symbol $\mho$ is pronounced 'mho', which is the reverse of 'ohm' the same way as $\mho$ is the reverse of $\Omega$, the symbol for ohm in physics.
- Definition 21 (Suitability). A multiworld interpretation $\mathcal{I}$ of a sequent $\Gamma$ is suitable for a multiformula $\mho$ if $\mathcal{L}(\mho) \subseteq \mathcal{L}(\Gamma)$, in which case we call it a multiworld interpretation of $\mho$ into $\mathcal{M}$.
- Definition 22 (Truth for multiformulas). Let $\mathcal{I}$ be a multiworld interpretation of a multiformula $\mho$ into a model $\mathcal{M}$. We define $\mathcal{M}, \mathcal{I} \models \mho$ recursively as follows:

$$
\begin{array}{lll}
\mathcal{M}, \mathcal{I} \models \sigma: \varphi & \text { iff } & \mathcal{M}, \mathcal{I}(\sigma) \models \varphi, \\
\mathcal{M}, \mathcal{I} \models \mho_{1} \otimes \mho_{2} & \text { iff } & \mathcal{M}, \mathcal{I} \models \mho_{i} \text { for both } i=1,2, \\
\mathcal{M}, \mathcal{I} \models \mho_{1} \otimes \mho_{2} & \text { iff } & \mathcal{M}, \mathcal{I} \models \mho_{i} \text { for at least one } i=1,2 .
\end{array}
$$

Note that $\mathcal{L}\left(\mho_{i}\right) \subseteq \mathcal{L}(\mho)$, meaning that $\mathcal{I}$ is also a multiworld interpretation of each $\mho_{i}$ into $\mathcal{M}$.
We define the label-erasing function form from multiformulas to formulas, as well as multiformula equivalence, and list some of the latter's easily provable properties.

- Definition 23. The function form from multiformulas to formulas is defined as follows:

$$
\begin{aligned}
\text { form }(\sigma: \varphi) & :=\varphi, \\
\text { form }\left(\mho_{1} \otimes \mho_{2}\right) & :=\text { form }\left(\mho_{1}\right) \wedge \text { form }\left(\mho_{2}\right), \\
\text { form }\left(\mho_{1} \otimes \mho_{2}\right) & :=\text { form }\left(\mho_{1}\right) \vee \text { form }\left(\mho_{2}\right) .
\end{aligned}
$$

- Definition 24 (Multiformula equivalence). Multiformulas $\mho_{1}$ and $\mho_{2}$ are equivalent, denoted $\mho_{1} \equiv \mho_{2}$, iff $\mathcal{L}\left(\mho_{1}\right)=\mathcal{L}\left(\mho_{2}\right)$ and $\mathcal{M}, \mathcal{I} \vDash \mho_{1} \Leftrightarrow \mathcal{M}, \mathcal{I} \vDash \mho_{2}$ for any multiworld interpretation $\mathcal{I}$ of $\mho_{1}$ into a model $\mathcal{M}$.
- Lemma 25 (Equivalence property). For any multiformula $\mho$, label $\sigma$, and formulas $\varphi$ and $\psi$, - $\mho \otimes \mho \equiv \mho \otimes \mho \equiv \mho$,
- $\sigma: \varphi \otimes \sigma: \psi \equiv \sigma:(\varphi \wedge \psi)$, and
- $\sigma: \varphi \oslash \sigma: \psi \equiv \sigma:(\varphi \vee \psi)$.
- Lemma 26 (Normal forms). For each multiformula $\mho$, there exists an equivalent multiformula $\mho^{d}\left(\mho^{c}\right)$ in $S D N F(S C N F)$ such that $\mho^{d}\left(\mho^{c}\right)$ is a $\mathbb{Q}$-disjunction ( $\mathbb{Q}$-conjunction) of $\otimes$-conjunctions ( $\otimes$-disjunctions) of labeled formulas $\sigma: \varphi$ such that each disjunct (conjunct) contains exactly one occurrence of each label $\sigma \in \mathcal{L}(\mho)$.

Proof. Since $\otimes$ and $\otimes$ behave classically, one can employ the standard transformation into the DNF/CNF. In order to ensure one label per disjunct/conjunct rule, multiple labels can be combined using Lemma 25, whereas missing labels can be added in the form of $\sigma: \perp(\sigma: \top)$.

We now introduce the uniform interpolation property for nested sequents. Here, the uniform interpolants are multiformulas instead of formulas.

- Definition 27 (NUIP). Let a nested sequent calculus NL be sound and complete w.r.t. a logic L. We say that NL has the nested-sequent uniform interpolation property, or NUIP, if for each nested sequent $\Gamma$ and atomic proposition $p$ there exists a multiformula $A_{p}(\Gamma)$, called a nested uniform interpolant, such that
(i) $\operatorname{Var}\left(A_{p}(\Gamma)\right) \subseteq \operatorname{Var}(\Gamma) \backslash\{p\}$ and $\mathcal{L}\left(A_{p}(\Gamma)\right) \subseteq \mathcal{L}(\Gamma)$;
(ii) for each multiworld interpretation $\mathcal{I}$ of $\Gamma$ into an L -model $\mathcal{M}$

$$
\mathcal{M}, \mathcal{I} \models A_{p}(\Gamma) \quad \text { implies } \quad \mathcal{M}, \mathcal{I} \models \Gamma ;
$$

(iii) for each nested sequent $\Sigma$ with $p \notin \operatorname{Var}(\Sigma)$ and $\mathcal{L}(\Sigma)=\mathcal{L}(\Gamma)$ and for each multiworld interpretation $\mathcal{I}$ of $\Gamma$ into an L -model $\mathcal{M}$,

$$
\mathcal{M}, \mathcal{I} \not \vDash A_{p}(\Gamma) \text { and } \mathcal{M}, \mathcal{I} \not \vDash \Sigma \quad \text { imply } \quad \mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \models \Gamma \text { and } \mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \models \Sigma
$$

for some multiworld interpretation $\mathcal{I}^{\prime}$ of $\Gamma$ into some L-model $\mathcal{M}^{\prime}$.
The condition on labels in (i) ensures that interpretations of $\Gamma$ are suitable for $A_{p}(\Gamma)$.

- Remark 28. Bílková's definition in [3] differs in several ways. Apart from a minor difference in condition (iii), our definition involves semantic notions and uses multiformula interpolants instead of formulas.
- Lemma 29. If a nested calculus NL has the NUIP, then its logic L has the UIP.

Proof. To show the existence of $\forall p \varphi$, consider a nested uniform interpolant $A_{p}(\varphi)$ of the nested sequent $\varphi$, with $\mathcal{L}(\varphi)=\{1\}$. By Lemma 26. w.l.o.g. we can assume that $A_{p}(\varphi)=1: \xi$. Let $\forall p \varphi:=\xi$. We establish the UIP properties based on the corresponding NUIP properties.

By NUIP(i), $\operatorname{Var}(\forall p \varphi)=\operatorname{Var}(1: \xi) \subseteq \operatorname{Var}(\varphi) \backslash\{p\}$ which establishes UIP(i) (cf. Def. 18).
For UIP(ii) we use a semantic argument. Assume towards a contradiction that $\nvdash \mathrm{L} \xi \rightarrow \varphi$, in which case by completeness $\mathcal{M}, w \neq \xi \rightarrow \varphi$ for some L-model $\mathcal{M}=(W, R, V)$ and $w \in W$. Consider a multiworld interpretation $\mathcal{I}$ of sequent $\varphi$ into $\mathcal{M}$ such that $\mathcal{I}(1):=w$. Then $\mathcal{M}, \mathcal{I} \models 1: \xi$ but $\mathcal{M}, \mathcal{I} \not \vDash \varphi$, in contradiction to NUIP(ii). Hence, $\vdash_{\mathrm{L}} \forall p \varphi \rightarrow \varphi$ as required.

Finally, for UIP(iii), let $p \notin \operatorname{Var}(\psi)$ and suppose $\nvdash \mathrm{L} \psi \rightarrow \xi$. Once again, by completeness, $\mathcal{M}, w \not \vDash \psi \rightarrow \xi$ for some L-model $\mathcal{M}=(W, R, V)$ and $w \in W$. Consider the nested sequent $\bar{\psi}$, with $\mathcal{L}(\bar{\psi})=\mathcal{L}(\varphi)=\{1\}$, and a multiworld interpretation $\mathcal{I}$ of sequent $\varphi$ into $\mathcal{M}$ with $\mathcal{I}(1):=w$. Then $\mathcal{M}, \mathcal{I} \not \vDash 1: \xi$ and $\mathcal{M}, \mathcal{I} \not \vDash \bar{\psi}$. By NUIP (iii), there must exist an L-model $\mathcal{M}^{\prime}$ and a multiworld interpretation $\mathcal{I}^{\prime}$ of sequent $\varphi$ into $\mathcal{M}^{\prime}$ such that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \equiv \varphi$ and $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \models \bar{\psi}$. In other words, $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(1) \not \models \varphi$ and $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(1) \models \psi$. Thus, by soundness of L , we have $\not_{\mathrm{L}} \psi \rightarrow \varphi$, thus completing the proof of UIP(iii).

Since we use bisimulations up to $p$ to find a model $\mathcal{M}^{\prime}$ in the NUIP(iiii) condition, we replace it with a (possibly) stronger condition (iii)':

Definition 30 (BNUIP). A nested sequent calculus NL has the bisimulation nested-sequent uniform interpolation property, or BNUIP, if, in addition to conditions NUIP(i) -(iii) from Def. 27,

| $\Gamma$ matches | $A_{p}(\Gamma)$ equals |
| :--- | :--- |
| $\Gamma^{\prime}\{T\}_{\sigma}$ | $\sigma: T$ |
| $\Gamma^{\prime}\{p, \bar{p}\}_{\sigma}$ | $\sigma: T$ |
| $\Gamma^{\prime}\{\varphi \vee \psi\}$ | $A_{p}\left(\Gamma^{\prime}\{\varphi \vee \psi, \varphi, \psi\}\right)$ |
| $\Gamma^{\prime}\{\varphi \wedge \psi\}$ | $A_{p}\left(\Gamma^{\prime}\{\varphi \wedge \psi, \varphi\}\right) \otimes A_{p}\left(\Gamma^{\prime}\{\varphi \wedge \psi, \psi\}\right)$ |
| $\Gamma^{\prime}\{\square \varphi\}_{\sigma}$ | $\bigotimes_{i=1}^{m}\left(\sigma: \square \delta_{i} \otimes \underset{\tau \neq \sigma * n}{\oslash} \tau: \gamma_{i, \tau}\right)$ |
|  | where $n$ is the smallest integer such that $\sigma * n \notin \mathcal{L}(\Gamma)$ and the SCNF |
|  | of $A_{p}\left(\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}\right)$ is $\bigotimes_{i=1}^{m}\left(\sigma * n: \delta_{i} \otimes \underset{\tau \neq \sigma * n}{\bigotimes} \tau: \gamma_{i, \tau}\right)$, |
|  |  |
| $\Gamma^{\prime}\left\{\diamond \varphi,[\Delta]_{\sigma * n}\right\}$ | $A_{p}\left(\Gamma^{\prime}\{\diamond \varphi,[\Delta, \varphi]\}\right) \quad$ |

Table 1 Recursive construction of $A_{p}(\Gamma)$ for NK for $\Gamma$ that are not K-saturated.
(iii)' for each L-model $\mathcal{M}$ and multiworld interpretation $\mathcal{I}$ of $\Gamma$ into $\mathcal{M}$, if $\mathcal{M}, \mathcal{I} \not \vDash A_{p}(\Gamma)$, then there are an L-model $\mathcal{M}^{\prime}$ and multiworld interpretation $\mathcal{I}^{\prime}$ of $\Gamma$ into $\mathcal{M}^{\prime}$ such that $\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right) \sim_{p}(\mathcal{M}, \mathcal{I})$ and $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \vDash \Gamma$.

It easily follows from Theorem 15 that, like formulas, both nested sequents and multiformulas are invariant under bisimulations:

- Lemma 31. Let $\Gamma(\mho)$ be a sequent (multiformula) not containing $p$ and let $\mathcal{I}$ and $\mathcal{I}^{\prime}$ be multiworld interpretations of $\Gamma(\mho)$ into $\mathcal{M}$ and $\mathcal{M}^{\prime}$ respectively such that $(\mathcal{M}, \mathcal{I}) \sim_{p}\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right)$. Then $\mathcal{M}, \mathcal{I} \models \Gamma$ iff $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \models \Gamma\left(\mathcal{M}, \mathcal{I} \models \mho\right.$ iff $\left.\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \models \mho\right)$.

Proof. If $(\mathcal{M}, \mathcal{I}) \sim_{p}\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right)$, then $(\mathcal{M}, \mathcal{I}(\sigma)) \sim_{p}\left(\mathcal{M}, \mathcal{I}^{\prime}(\sigma)\right)$ for all labels $\sigma$ in $\Gamma(\mho)$. By Theorem 15 we have $\mathcal{M}, \mathcal{I}(\sigma) \models \varphi$ iff $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \models \varphi$ for all $\sigma: \varphi$ in $\Gamma(\mho)$. The statements easily follow from Defs. 12 and 22 .

- Lemma 32. If $\Gamma$ and $A_{p}(\Gamma)$ satisfy (iii)' of Def. 30, then they satisfy (iii) of Def. 27.

Proof. Let $\Sigma$ be a nested sequent with $p \notin \operatorname{Var}(\Sigma)$ and $\mathcal{L}(\Sigma)=\mathcal{L}(\Gamma)$. Let $\mathcal{M}, \mathcal{I} \not \vDash A_{p}(\Gamma)$ and $\mathcal{M}, \mathcal{I} \not \vDash \Sigma$. By BNUIP(iii) ${ }^{\prime}$ we find an L-model $\mathcal{M}^{\prime}$ and $\mathcal{I}^{\prime}$ from $\Gamma$ into $\mathcal{M}^{\prime}$ such that $\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right) \sim_{p}(\mathcal{M}, \mathcal{I})$ and $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \notin \Gamma$. By Lemma 31 we also conclude $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \vDash \Sigma$.

- Corollary 33. If a nested calculus NL has the BNUIP, then its logic L has the UIP.


### 3.1 Uniform interpolation for K

In this section, we present our method of constructing nested uniform interpolants satisfying BNUIP for the calculus NK. It is based on Pitts's method [24]. Interpolants $A_{p}(\Gamma)$ are defined recursively on the basis of the terminating calculus from Fig. 1 . If $\Gamma$ is not K-saturated, $A_{p}(\Gamma)$ is defined recursively in Table 1 based on the form of $\Gamma$. For rows 2-5, we assume that the formula displayed in the left column is not K-saturated in $\Gamma$, whereas for $\diamond \varphi$ in the last row we assume it not to be K -saturated w.r.t. $\sigma * n$ in $\Gamma$ Each row in the table

[^3]corresponds to a rule in the proof search, where the left column in the table corresponds to the conclusion of a rule and the right column uses the premise(s) of the rule.

For K-saturated $\Gamma$, we define $A_{p}(\Gamma)$ recursively as follows:

$$
\begin{equation*}
A_{p}(\Gamma):=\bigotimes_{\substack{\sigma: \ell \in \Gamma \\ \ell \in \operatorname{Lit} \backslash\{p, \bar{p}\}}} \sigma: \ell \quad \oslash \quad \bigotimes_{\substack{\tau \in \mathcal{L}(\Gamma) \\(\exists \psi) \tau: \diamond \psi \in \Gamma}} \tau: \diamond A_{p}^{\text {form }}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right) \tag{1}
\end{equation*}
$$

where $A_{p}^{\text {form }}(\Gamma):=$ form $\left(A_{p}(\Gamma)\right)$. Since here we apply form to the multiformula $A_{p}(\Gamma)$ with 1 being its only label, we have $\mathcal{M}, \mathcal{I} \models \mho$ iff $\mathcal{M}, \mathcal{I}(1) \models$ form $(\mho)$ for such multiformulas $\mho$. (As usual, we define the empty disjunction to be false, which in this format means $\emptyset \varnothing:=1: \perp$.)

The construction of $A_{p}(\Gamma)$ is well-defined (modulo a chosen order) because it terminates w.r.t. the following ordering on nested sequents. For a nested sequent $\Gamma$, let $d(\Gamma)$ be the number of its distinct diamond subformulas. Let $\ll$ be the ordering in which the rules of NK terminate (see Lemma 9). Consider the lexicographical ordering based on the pair ( $d, \ll$ ). For each row in Table $1, d$ stays the same but the recursive calls are for premise(s) lower w.r.t. ordering $\ll$. The recursive call in (11) for K-saturated sequents, on the other hand, decreases $d$ because the set of diamond subformulas of $\bigvee_{\tau: \diamond \psi \in \Gamma} \psi$ is strictly smaller than that of $\Gamma$. When $d(\Gamma)=0$ for a K-saturated $\Gamma$, the second disjunct of 11 is empty and, thus, no new recursive calls are generated.

- Example 34. We use Lemmas 25 and 26 as necessary.

1. The algorithm for $A_{p}(\square p, \square \bar{p})$ calls the calculation of $A_{p}\left(\square p, \square \bar{p},[p]_{11}\right)$, which in turn calls $A_{p}\left(\square p, \square \bar{p},[p]_{11},[\bar{p}]_{12}\right)$. The latter sequent is K-saturated, and the algorithm returns $1: \perp \otimes 1: \perp$, the first disjunct corresponding to the empty disjunction of literals other than $p$ and $\bar{p}$ and the second one representing the absent diamond formulas. Computing its SCNF we get $A_{p}\left(\square p, \square \bar{p},[p]_{11},[\bar{p}]_{12}\right) \equiv 1: \perp \otimes 11: \perp \otimes 12: \perp$. Applying the transformation from the penultimate row of Table 1 we first get

$$
A_{p}\left(\square p, \square \bar{p},[p]_{11}\right)=1: \perp \otimes 11: \perp \otimes 1: \square \perp \equiv 1: \square \perp \otimes 11: \perp,
$$

and finally $A_{p}(\square p, \square \bar{p})=1: \square \perp \otimes 1: \square \perp \equiv 1: \square \perp$. It is easy to check that $1: \square \perp$ is indeed a bisimulation nested uniform interpolant of the nested sequent $\square p, \square \bar{p}$ w.r.t. $p$, and, accordingly, $\square \perp$ is a uniform interpolant of the formula $\square p \vee \square \bar{p}$.
2. Consider the nested sequent $\Gamma=\bar{p}, \diamond q \wedge \diamond p$, [q]. In the absence of boxes, the algorithm amounts to processing the K -saturated sequents in the leaves of the proof-search tree

$$
\frac{\bar{p}, \diamond q \wedge \diamond p, \diamond q,[q]_{11} \quad \frac{\bar{p}, \diamond q \wedge \diamond p, \diamond p,[q, p]_{11}}{\bar{p}, \diamond q \wedge \diamond p, \diamond p,[q]_{11}}}{\bar{p}, \diamond q \wedge \diamond p,[q]_{11}}
$$

We have

$$
\begin{aligned}
A_{p}\left(\bar{p}, \diamond q \wedge \diamond p, \diamond q,[q]_{11}\right) & =11: q \boxtimes 1: \diamond A_{p}^{\text {form }}(q) \\
A_{p}\left(\bar{p}, \diamond q \wedge \diamond p, \diamond p,[q, p]_{11}\right) & =11: q \otimes 1: \diamond A_{p}^{\text {form }}(p) .
\end{aligned}
$$

Since formulas $A_{p}^{\text {form }}(q)$ and $A_{p}^{\text {form }}(p)$ can be simplified to $q$ and $\perp$ respectively, putting everything together yields $A_{p}(\Gamma) \equiv(11: q \otimes 1: \diamond q) \otimes(11: q \otimes 1: \diamond \perp)$, which is equivalent to $11: q$ since $\diamond \perp$ can never be true. Again, it is easy to verify that $11: q$ is a bisimulation nested uniform interpolant of $\bar{p}, \diamond q \wedge \diamond p,[q]_{11}$ w.r.t. $p$. For instance, if $q$ is false at $\mathcal{I}(11)$, then one can falsify the sequent by making $p$ true at $\mathcal{I}(1)$ and false everywhere else in the irreflexive intransitive finite treelike model.

- Theorem 35. The nested calculus NK has the BNUIP.

Proof. It is easy to see that BNUIP(i) is satisfied. To prove BNUIP(iii), let $\Gamma$ be a nested sequent and $\mathcal{I}$ be a multiworld interpretation of $\Gamma$ into a K-model $\mathcal{M}=(W, R, V)$ such that $\mathcal{M}, \mathcal{I} \models A_{p}(\Gamma)\left(\right.$ by $\operatorname{BNUIP}(\mathrm{i}), \mathcal{I}$ is suitable for $A_{p}(\Gamma)$ ). We show $\mathcal{M}, \mathcal{I} \models \Gamma$ by induction on the nested sequent ordering $(d, \ll)$. Considering the construction of $A_{p}(\Gamma)$, we treat the cases of Table 1 first and deal with the case of K-saturated $\Gamma$ last.

- For rows 1-2 of Table 1 both $\Gamma=\Gamma^{\prime}\{p, \bar{p}\}_{\sigma}$ and $\Gamma=\Gamma^{\prime}\{T\}_{\sigma}$ hold in all models, under all interpretations.
- For row 3, if $\Gamma=\Gamma^{\prime}\{\varphi \vee \psi\}_{\sigma}$ and $\mathcal{M}, \mathcal{I} \models A_{p}\left(\Gamma^{\prime}\{\varphi \vee \psi, \varphi, \psi\}_{\sigma}\right)$, by induction hypothesis, we have $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\varphi \vee \psi, \varphi, \psi\}_{\sigma}$. Then $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\varphi \vee \psi\}$ since either of $\mathcal{M}, \mathcal{I}(\sigma) \models \varphi$ or $\mathcal{M}, \mathcal{I}(\sigma) \models \psi$ implies $\mathcal{M}, \mathcal{I}(\sigma) \models \varphi \vee \psi$.
- For row 4, if $\Gamma=\Gamma^{\prime}\{\varphi \wedge \psi\}$ and $\mathcal{M}, \mathcal{I} \models A_{p}\left(\Gamma^{\prime}\{\varphi \wedge \psi, \varphi\}\right) \otimes A_{p}\left(\Gamma^{\prime}\{\varphi \wedge \psi, \psi\}\right)$, by induction hypothesis, $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\varphi \wedge \psi, \varphi\}$ and $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\varphi \wedge \psi, \psi\}$. Hence, $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\varphi \wedge \psi\}$.
- For row 6 , if $\Gamma=\Gamma^{\prime}\left\{\Delta \varphi,[\Delta]_{\sigma * n}\right\}$ and $\mathcal{M}, \mathcal{I} \models A_{p}\left(\Gamma^{\prime}\left\{\Delta \varphi,[\Delta, \varphi]_{\sigma * n}\right\}\right)$, by induction hypothesis, $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\left\{\Delta \varphi,[\Delta, \varphi]_{\sigma * n}\right\}$. Since $\mathcal{M}, \mathcal{I}(\sigma * n) \models \varphi$ implies $\mathcal{M}, \mathcal{I}(\sigma) \models \diamond \varphi$, it follows that $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\left\{\Delta \varphi,[\Delta]_{\sigma * n}\right\}$.
- For row 5, let $\Gamma=\Gamma^{\prime}\{\square \varphi\}_{\sigma}$, and $A_{p}\left(\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}\right) \equiv \bigotimes_{i=1}^{m}\left(\sigma * n: \delta_{i} \oslash \bigotimes_{\tau \neq \sigma * n} \tau: \gamma_{i, \tau}\right)$ for some $\sigma * n \notin \mathcal{L}(\Gamma)$, and

$$
\begin{equation*}
\mathcal{M}, \mathcal{I} \models \bigoplus_{i=1}^{m}\left(\sigma: \square \delta_{i} \otimes \bigoplus_{\tau \neq \sigma * n} \tau: \gamma_{i, \tau}\right) . \tag{2}
\end{equation*}
$$

For any $v$ such that $\mathcal{I}(\sigma) R v$, define a multiworld interpetation $\mathcal{I}_{v}:=\mathcal{I} \sqcup\{(\sigma * n, v)\}$ of $\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}$ into $\mathcal{M}$. It follows from (2) that, for each $i$, either $\mathcal{M}, \mathcal{I}_{v}(\tau) \models \gamma_{i, \tau}$ for some $\tau \in \mathcal{L}(\Gamma)$ or $\mathcal{M}, \mathcal{I}_{v}(\sigma * n) \models \delta_{i}$, meaning that $\mathcal{M}, \mathcal{I}_{v} \models A_{p}\left(\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}\right)$. By induction hypothesis, $\mathcal{M}, \mathcal{I}_{v} \models \Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}$ whenever $\mathcal{I}(\sigma) R v$. Clearly, $\mathcal{M}, \mathcal{I} \models \Gamma$ if $\mathcal{M}, \mathcal{I}(\sigma) \models \square \varphi$. Otherwise, there exists a $v$ such that $\mathcal{I}(\sigma) R v$ and $\mathcal{M}, v \not \vDash \varphi$. For this world $\mathcal{M}, \mathcal{I}_{v} \models \Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}$ implies $\mathcal{M}, \mathcal{I}_{v} \models \Gamma^{\prime}\{\square \varphi\}_{\sigma}$, which yields $\mathcal{M}, \mathcal{I} \models \Gamma$ because $\mathcal{I}_{v}$ agrees with $\mathcal{I}$ on all labels from $\Gamma$.

- Finally, let $\Gamma$ be K-saturated and $\mathcal{M}, \mathcal{I} \models A_{p}(\Gamma)$ from 11. Clearly, $\mathcal{M}, \mathcal{I} \models \Gamma$ if we have $\mathcal{M}, \mathcal{I}(\sigma) \models \ell$ for some $\sigma: \ell \in \Gamma$. Thus, it only remains to consider the case when $\mathcal{M}, \mathcal{I}(\tau) \models \diamond A_{p}^{\text {form }}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right)$ for some $\tau \in \mathcal{L}(\Gamma)$. Then $\mathcal{M}, v \models A_{p}^{\text {form }}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right)$ for some $v$ such that $\mathcal{I}(\tau) R v$ and, accordingly, $\mathcal{M}, \mathcal{J} \models A_{p}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right)$ for $\mathcal{J}:=\{(1, v)\}$. By induction hypothesis (for smaller $d$ ), $\mathcal{M}, \mathcal{J} \models \bigvee_{\tau: \diamond \psi \in \Gamma} \psi$, and, hence, $\mathcal{M}, v \models \psi$ for some $\tau: \diamond \psi \in \Gamma$. Now $\mathcal{M}, \mathcal{I} \models \Gamma$ follows from $\mathcal{I}(\tau) R v$. This case concludes the proof for (iii).

It only remains to prove BNUIP(iii)'. Let $\mathcal{I}$ be a multiworld interpretation of $\Gamma$ into a K-model $\mathcal{M}$ such that $\mathcal{M}, \mathcal{I} \notin A_{p}(\Gamma)$. We must find another multiworld interpretation $\mathcal{I}^{\prime}$ into some K-model $\mathcal{M}^{\prime}$ such that $\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right) \sim_{p}(\mathcal{M}, \mathcal{I})$ and $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \notin \Gamma$. We construct these $\mathcal{M}^{\prime}$ and $\mathcal{I}^{\prime}$ while simultaneously proving BNUIP(iii)' by induction on the lexicographic order $(d, \ll)$. Recall that K-models (and their submodels) are irreflexive intransitive trees.

- Let $\Gamma$ be K-saturated and $\mathcal{M}, \mathcal{I} \not \vDash A_{p}(\Gamma)$ for $A_{p}(\Gamma)$ from (1). We first briefly sketch the construction and the proof. The labeled literals $\sigma: \ell$ from (1) are used to determine the requisite truth values of atomic propositions other than $p$ in the worlds from Range $(\mathcal{I})$. With that in place, saturation conditions typically take care of the appropriate truth values for compound formulas, with the exception of diamond formulas. By contrast, truth values of $p$ are not (and cannot be) specified in $A_{p}(\Gamma)$. To refute $\Gamma$, they must generally be adjusted on a world-by-world basis, which prompts the additional requirement that


Figure 2 Main transformations for constructing model $\mathcal{M}^{\prime}$ : circles represent worlds in Range $(\mathcal{I})$.
$\mathcal{I}^{\prime}$ be injectiv $]^{6}$ in order to avoid incompatible requirements on the truth value of $p$ in a world $\mathcal{I}(\sigma)=\mathcal{I}(\tau)$ that originates from distinct nodes $\sigma$ and $\tau$. Finally, for $\Delta \varphi$ to be false at a world $w \in \operatorname{Range}(\mathcal{I})$, one must falsify $\varphi$ in all children of $w$, including those outside Range $(\mathcal{I})$. This is achieved by replacing subtrees rooted in these "out-of-range" children with bisimilar models obtained by the induction hypothesis from the right disjunct of (1), as schematically depicted in Fig. 2, We now describe it in detail and prove that it falsifies $\Gamma$.
(1) First, we make the interpretation injective. It is easy to see (though tedious to describe in detail) that by a breadth-first recursion on nodes $\sigma$ in $\Gamma$, one can duplicate $\mathcal{M}_{\mathcal{I}(\sigma * n)}$ according to Def. 16 whenever $\mathcal{I}(\sigma * n)=\mathcal{I}(\sigma * m)$ for some $m<n$ to obtain a model $\mathcal{N}$ and an injective multiworld interpretation $\mathcal{J}$ of $\Gamma$ into it such that $(\mathcal{N}, \mathcal{J}) \sim_{p}(\mathcal{M}, \mathcal{I})$. Thus, $\mathcal{J}(\sigma) \neq \mathcal{J}(\tau)$ whenever $\sigma \neq \tau$ and $\mathcal{N}, \mathcal{J} \not \vDash A_{p}(\Gamma)$ by Lemma 31 .
(2) Then we deal with out-of-range children. A model $\mathcal{N}^{\prime}$ is constructed from $\mathcal{N}$ by applying the following $\diamond$-processing step for each node $\tau \in \mathcal{L}(\Gamma)$ that contains at least one formula of the form $\forall \varphi$ (nodes can be chosen in any order). Start by setting $\mathcal{N}^{0}:=\mathcal{N}$ and $j:=0$ :
$=\diamond$-processing step for $\tau$ : Since $\mathcal{N}^{j}, \mathcal{J} \not \vDash A_{p}(\Gamma)$, it follows from the second disjunct in (1) that $\mathcal{N}^{j}, \mathcal{J}(\tau) \not \models \diamond A_{p}^{\text {form }}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right)$. Thus, $\mathcal{N}^{j}, v \neq A_{p}^{\text {form }}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right)$ for any child $v$ of $\mathcal{J}(\tau)$ in $\mathcal{N}^{j}$, and, accordingly, $\mathcal{N}_{v}^{j}, \mathcal{I}_{v} \not \models A_{p}\left(\bigvee_{\tau: \diamond \psi \in \Gamma} \psi\right)$ for the multiworld interpretation $\mathcal{I}_{v}:=\{(1, v)\}$ of sequent $\bigvee_{\tau: \diamond \psi \in \Gamma} \psi$ into the subtree $\mathcal{N}_{v}^{j}$ of $\mathcal{N}^{j}$ with root $v$. By the induction hypothesis for a smaller $d$, there exists a K -model $\mathcal{N}_{\tau, v}$ with root $\rho_{\tau, v}$ such that $\left(\mathcal{N}_{v}^{j}, v\right) \sim_{p}\left(\mathcal{N}_{\tau, v}, \rho_{\tau, v}\right)$ and $\mathcal{N}_{\tau, v}, \rho_{\tau, v} \not \vDash \bigvee_{\tau: \diamond \psi \in \Gamma} \psi$. Let $\mathcal{N}^{j+1}$ be the result of replacing each subtree $\mathcal{N}_{v}^{j}$ for children $v$ of $\mathcal{J}(\tau)$ not in Range $(\mathcal{J})$ with $\mathcal{N}_{\tau, v}$ in $\mathcal{N}^{j}$ according to Def. 16. Note that all these subtrees are disjoint because the models are intransitive trees and, hence, these replacements do not interfere with one another. Note also that since Range $(\mathcal{J})$ is downward closed and the roots of the replaced subtrees are outside, no world from the range is modified. Thus, $\mathcal{J}$ remains an injective interpretation into $\mathcal{N}^{j+1}$. Finally, it follows from Lemma 17 that $\left(\mathcal{N}^{j}, \mathcal{J}\right) \sim_{p}\left(\mathcal{N}^{j+1}, \mathcal{J}\right)$. Hence, $\mathcal{N}^{j+1}, \mathcal{J} \not \vDash A_{p}(\Gamma)$.
Let $\mathcal{N}^{\prime}=\left(W^{\prime}, R^{\prime}, V^{\prime}\right)$ be the model obtained after replacements for all $\tau$ 's are completed (again they do not interfere with each other). Then $(\mathcal{N}, \mathcal{J}) \sim_{p}\left(\mathcal{N}^{\prime}, \mathcal{J}\right)$ and, for each out-of-range child $v$ of $\mathcal{J}(\tau)$ in $\mathcal{N}$, the world $\rho_{\tau, v}$ is a child of $\mathcal{J}(\tau)$ in $\mathcal{N}^{\prime}$ and $\mathcal{N}^{\prime}, \rho_{\tau, v} \not \models \bigvee_{\tau: \diamond \psi \in \Gamma} \psi$. This accounts for all children of $\mathcal{J}(\tau)$ in $\mathcal{N}^{\prime}$.
(3) It remains to adjust the truth values of $p$. We define $\mathcal{M}^{\prime}:=\left(W^{\prime}, R^{\prime}, V_{p}^{\prime}\right)$ by modifying

[^4]the valuation $V^{\prime}$ of $\mathcal{N}^{\prime}$ as follows:
\[

V_{p}^{\prime}(q):= $$
\begin{cases}V^{\prime}(q) & \text { if } q \neq p \\ V^{\prime}(p) \cap\left(W^{\prime} \backslash \operatorname{Range}(\mathcal{J})\right) \sqcup\left\{v \in W^{\prime} \mid \exists \sigma(v=\mathcal{J}(\sigma) \& \sigma: \bar{p} \in \Gamma)\right\} & \text { if } q=p\end{cases}
$$
\]

For $\mathcal{I}^{\prime}:=\mathcal{J}$, it immediately follows from the definition that

$$
\begin{align*}
& \mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \not \vDash \bar{p} \text { whenever } \sigma: \bar{p} \in \Gamma  \tag{3}\\
& \mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \not \vDash p \text { whenever } \sigma: p \in \Gamma \tag{4}
\end{align*}
$$

(the latter follows from the injectivity of $\mathcal{I}^{\prime}$ and $\Gamma$ being K -saturated). Moreover, since subtrees $\mathcal{M}_{\rho_{\tau, v}}^{\prime}$ are disjoint from $\operatorname{Range}\left(\mathcal{I}^{\prime}\right)$,

$$
\begin{equation*}
\mathcal{M}^{\prime}, \rho_{\tau, v} \not \vDash \psi \text { whenever } \tau: \diamond \psi \in \Gamma \text {. } \tag{5}
\end{equation*}
$$

After these three steps, we have a model $\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right) \sim_{p}\left(\mathcal{N}^{\prime}, \mathcal{J}\right) \sim_{p}(\mathcal{N}, \mathcal{J}) \sim_{p}(\mathcal{M}, \mathcal{I})$ that satisfies (3), (4), and (5). It remains to prove that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \models \Gamma$ by showing that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \not \models \varphi$ for all $\sigma: \varphi \in \Gamma$, which is done by induction on the structure of $\varphi$. For $\varphi=\perp$ this is trivial, while $\top$ cannot occur in a K-saturated sequent. For $\varphi \in\{p, \bar{p}\}$, this follows from (3) and (4). For any other literal $\varphi \in \operatorname{Lit} \backslash\{p, \bar{p}\}$, according to (1), $\mathcal{M}, \mathcal{I}(\sigma) \nLeftarrow \varphi$ because $\mathcal{M}, \mathcal{I} \not \vDash A_{p}(\Gamma)$, which transfers to $\mathcal{M}^{\prime}$ and $\mathcal{I}^{\prime}$ by bisimilarity up to $p$. For compound formulas other that diamonds, the statement follows by the saturation of $\Gamma$. For instance, if $\sigma: \square \psi \in \Gamma$, we get $\sigma * n: \psi \in \Gamma$ for some label $\sigma * n$ by K-saturation. By induction hypothesis, $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma * n) \not \vDash \psi$. Since $\mathcal{I}^{\prime}(\sigma) R^{\prime} \mathcal{I}^{\prime}(\sigma * n)$, we conclude $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \not \models \square \psi$ as required. Finally, let $\sigma: \diamond \psi \in \Gamma$. To falsify $\diamond \psi$ at $\mathcal{I}^{\prime}(\sigma)$, we need to show that $\mathcal{M}^{\prime}, u \notin \psi$ whenever $\mathcal{I}^{\prime}(\sigma) R^{\prime} u$. If $u=\mathcal{I}^{\prime}(\sigma * n)$ for some label $\sigma * n \in \mathcal{L}(\Gamma)$, saturation ensures that $\sigma * n: \psi \in \Gamma$, hence, $\mathcal{M}^{\prime}, u \not \vDash \psi$ by induction hypothesis. The only other children of $\mathcal{I}^{\prime}(\sigma)$ are $u=\rho_{\sigma, v}$, for which $\mathcal{M}^{\prime}, u \not \vDash \psi$ follows from (5). This completes the proof of BNUIP(iii)' for K-saturated sequents.

- Now we treat all sequents that are not K-saturated based on Table 1. $A_{p}\left(\Gamma^{\prime}\{\top\}_{\sigma}\right)=$ $A_{p}\left(\Gamma^{\prime}\{p, \bar{p}\}_{\sigma}\right)=\sigma: \top$, which cannot be false, thus, $\operatorname{BNUIP}(\text { iii })^{\prime}$ for them is vacuously true.
- For non-saturated $\Gamma^{\prime}\{\varphi \vee \psi\}, \Gamma^{\prime}\{\varphi \wedge \psi\}$, and $\Gamma^{\prime}\{\diamond \varphi,[\Delta]\}$, the requisite statement easily follows by induction hypothesis. For instance, for the last of the three, one obtains $\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right) \sim_{p}(\mathcal{M}, \mathcal{I})$ such that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \vDash \Gamma^{\prime}\{\Delta \varphi,[\Delta, \varphi]\}$. Since $\Gamma^{\prime}\{\Delta \varphi,[\Delta]\}$ consists of some of these formulas in the same nodes, clearly it is also falsified by $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}$.
- For the remaining case, assume $\mathcal{M}, \mathcal{I} \not \vDash A_{p}\left(\Gamma^{\prime}\{\square \varphi\}_{\sigma}\right)$, i.e.,

$$
\begin{equation*}
\mathcal{M}, \mathcal{I} \not \vDash \bigoplus_{i=1}^{m}\left(\sigma: \square \delta_{i} \otimes \bigotimes_{\tau \neq \sigma * n} \tau: \gamma_{i, \tau}\right) \tag{6}
\end{equation*}
$$

where

$$
\begin{equation*}
A_{p}\left(\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}\right) \equiv \bigotimes_{i=1}^{m}\left(\sigma * n: \delta_{i} \otimes \bigotimes_{\tau \neq \sigma * n} \tau: \gamma_{i, \tau}\right) . \tag{7}
\end{equation*}
$$

By (6), for some $i$, we have $\mathcal{M}, \mathcal{I}(\sigma) \not \vDash \square \delta_{i}$ and $\mathcal{M}, \mathcal{I}(\tau) \not \vDash \gamma_{i, \tau}$ for all $\tau \neq \sigma * n$. The former means that $\mathcal{M}, v \not \vDash \delta_{i}$ for some $v$ such that $\mathcal{I}(\sigma) R v$. Therefore, a multiworld interpretation $\mathcal{J}:=\mathcal{I} \sqcup\{(\sigma * n, v)\}$ of $\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}$ into $\mathcal{M}$ falsifies (7), and, by induction hypothesis, there is a multiworld interpretation $\mathcal{J}^{\prime}$ into a K-model $\mathcal{M}^{\prime}$ such that $\left(\mathcal{M}^{\prime}, \mathcal{J}^{\prime}\right) \sim_{p}(\mathcal{M}, \mathcal{J})$ and $\mathcal{M}^{\prime}, \mathcal{J}^{\prime} \notin \Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}$. For $\mathcal{I}^{\prime}:=\mathcal{J}^{\prime} \upharpoonright \operatorname{Dom}(\mathcal{I})$, it is easy to see that $(\mathcal{M}, \mathcal{I}) \sim_{p}\left(\mathcal{M}^{\prime}, \mathcal{I}^{\prime}\right)$ and $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \vDash \Gamma^{\prime}\{\square \varphi\}_{\sigma}$ because all formulas from $\Gamma^{\prime}\{\square \varphi\}_{\sigma}$ are present in $\Gamma^{\prime}\left\{\square \varphi,[\varphi]_{\sigma * n}\right\}$.

| $\Gamma$ matches | $A_{p}(\Gamma)$ equals |
| :---: | :---: |
| $\Gamma^{\prime}\{\Delta \varphi\}$ in logic $T$ | $A_{p}\left(\Gamma^{\prime}\{\Delta \varphi, \varphi\}\right)$ |
| $\Gamma^{\prime}\{\Delta \varphi\}_{\sigma}$ in logic D | $\bigotimes_{i=1}^{m}\left(\sigma: \Delta \delta_{i} \otimes \bigotimes_{\tau \neq \sigma * 1} \tau: \gamma_{i, \tau}\right)$ where the SDNF of |
|  | $A_{p}\left(\Gamma^{\prime}\left\{\diamond \varphi,[\varphi]_{\sigma * 1}\right\}\right) \text { is } \bigotimes_{i=1}^{m}\left(\sigma * 1: \delta_{i} \otimes \bigotimes_{\tau \neq \sigma * 1}^{\bigotimes} \tau: \gamma_{i, \tau}\right)$ |

Table 2 Additional recursive rules for constructing $A_{p}(\Gamma)$ for $\Gamma$ that are not $T$-saturated (top row) or not D -saturated (bottom row).

This concludes the proof of BNUIP(iii)', as well as of BNUIP.
This implies the UIP for K, first proved by Ghilardi [12].

- Corollary 36. Logic K has the uniform interpolation property.
- Remark 37. Note that the structure of models as irreflexive intransitive trees was substantially used to ensure that the replacements applied to the original model do not interfere with each other. The fact that each world has at most one parent provided the modularity necessary to implement various requirements on the sequent-refuting model.
- Example 38. In Example 34 we saw that $A_{p}(\square p, \square \bar{p}) \equiv 1$ :. We now use this example to demonstrate the importance of injectivity in BNUIP(iii)'. Indeed, suppose $\mathcal{M}, \mathcal{I} \not \models 1: \square \perp$, i.e., $\mathcal{I}(1)$ has at least one child. Assume this is the only child, as in a model depicted on the left:


For a saturation $\square p, \square \bar{p},[p]_{11},[\bar{p}]_{12}$ of this sequent, we found an interpolant in SCNF: namely, $1: \perp \otimes 11: \perp \otimes 12: \perp$. A multiworld interpretation $\mathcal{J}$ mapping both 11 and 12 to the only child of $\mathcal{J}(1):=\mathcal{I}(1)$ yields the picture on the right. Clearly, the SCNF is false: $\mathcal{M}, \mathcal{J} \not \vDash 1: \perp \otimes 11: \perp \otimes 12: \perp$. But, without forcing $\mathcal{J}$ to be injective, it is impossible to make $\square p, \square \bar{p}$ false at $\mathcal{J}(1)$ : whichever truth value $p$ has at $\mathcal{J}(11)$, it makes one of the boxes true.

### 3.2 Uniform interpolation for $D$ and $T$

The proof for K can be adjusted to prove the same result for D and T .

- Theorem 39. The nested sequent calculi ND and NT have the BNUIP.

Proof. We follow the structure of the proof of Theorem 35 for K and only describe deviations from it. If $\Gamma$ is not D-saturated ( T -saturated), then cases in Table 1 are appended with the bottom row (top row) of Table 2 which is applied only if $\Delta \varphi$ is not D-saturated (T-saturated) in $\Gamma$. For D-/T-saturated $\Gamma$, we define $A_{p}(\Gamma)$ by (1) as in the previous section. BNUIP(i) is clearly satisfied by either row in Table 2

Let us first show BNUIP(iii) for NT. Although T-models are reflexive, this does not affect the reasoning for either saturated sequents or non-saturated box formulas. The only


Figure 3 Additional transformation for constructing T -model $\mathcal{M}^{\prime}$ for reflexive nodes: cloning.
new case is applying the top row of Table 2 to a non-T-saturated $\sigma: \Delta \varphi$ in $\Gamma$. Assume $\mathcal{M}, \mathcal{I} \models A_{p}\left(\Gamma^{\prime}\{\Delta \varphi, \varphi\}_{\sigma}\right)$ for a T-model $\mathcal{M}$. By induction hypothesis, $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\Delta \varphi, \varphi\}_{\sigma}$. Since $\mathcal{M}, \mathcal{I}(\sigma) \models \varphi$ implies $\mathcal{M}, \mathcal{I}(\sigma) \models \diamond \varphi$ by reflexivity, the desired $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\diamond \varphi\}_{\sigma}$ follows.

For BNUIP(iii)' for T-saturated sequents, we have to modify the construction in step (1) on p. 13 of an injective multiworld interpretation $\mathcal{J}$ into a new T -model $\mathcal{N}$ out of the given $\mathcal{I}$ into $\mathcal{M}$ where $\mathcal{M}, \mathcal{I} \notin A_{p}(\Gamma)$. In the case of K , the breadth-first order of injectifying the interpretations of sequent nodes could only yield one situation of $\sigma * n$ being conflated with some already processed $\tau$ : namely, when $\tau=\sigma * m$ is a sibling. This can still happen for Tmodels and is processed the same way. But, due to reflexivity, there is now another possibility: conflating with the parent $\tau=\sigma$. In this case, cloning is used (see Fig. 4) instead of or in addition to duplication, which produces a bisimilar T-model by Lemma 17 Having intransitive trees that are reflexive rather than irreflexive in step 22 on p .13 does not affect the argument. The proof that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \equiv \Gamma$ for the given $T$-saturated $\Gamma$ in step (3) on p. 14 requires an adjustment only for the case of $\sigma: \diamond \psi \in \Gamma$. It is additionally necessary to show that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \not \vDash \psi$ for the reflexive loop at $\mathcal{I}^{\prime}(\sigma)$. This is resolved by observing that $\sigma: \psi \in \Gamma$ due to T-saturation and, hence, $\psi$ must also be false in $\mathcal{I}^{\prime}(\sigma)$ by induction hypothesis.

Finally, for BNUIP(iii) ${ }^{\prime}$ for non-T-saturated sequents, we gain a new case when the top row of Table 2 is used, but it is clear that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \vDash \Gamma^{\prime}\{\diamond \varphi, \varphi\}$ obtained by induction hypothesis directly implies $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \equiv \Gamma^{\prime}\{\diamond \varphi\}$. This completes the proof of BNUIP for NT.

For BNUIP(iii) for ND, the only new case is applying the bottom row of Table 2 to a non-D-saturated $\sigma: \diamond \varphi$ in $\Gamma=\Gamma^{\prime}\{\diamond \varphi\}_{\sigma}$. Let

$$
\mathcal{M}, \mathcal{I} \models \bigoplus_{i=1}^{m}\left(\sigma: \diamond \delta_{i} \otimes \bigoplus_{\tau \neq \sigma * 1} \tau: \gamma_{i, \tau}\right)
$$

for some multiworld interpretation $\mathcal{I}$ into a D -model $\mathcal{M}=(W, R, V)$ where

$$
A_{p}\left(\Gamma^{\prime}\left\{\diamond \varphi,[\varphi]_{\sigma * 1}\right\}\right) \equiv \bigotimes_{i=1}^{m}\left(\sigma * 1: \delta_{i} \otimes \bigoplus_{\tau \neq \sigma * 1} \tau: \gamma_{i, \tau}\right)
$$

Then, for some $i$, we have $\mathcal{M}, \mathcal{I}(\tau) \models \gamma_{i, \tau}$ for all $\tau \in \mathcal{L}(\Gamma)$ and $\mathcal{M}, \mathcal{I}(\sigma) \models \diamond \delta_{i}$. Thus, $\mathcal{M}, v \models \delta_{i}$ for some $v$ such that $\mathcal{I}(\sigma) R v$. Since $\diamond \varphi$ is not D-saturated in $\Gamma^{\prime}\{\Delta \varphi\}_{\sigma}$, it follows that $\mathcal{I}_{v}:=\mathcal{I} \sqcup\{(\sigma * 1, v)\}$ is a multiworld interpretation of $\Gamma^{\prime}\left\{\Delta \varphi,[\varphi]_{\sigma * 1}\right\}$ into $\mathcal{M}$ such that $\mathcal{M}, \mathcal{I}_{v} \models A_{p}\left(\Gamma^{\prime}\left\{\Delta \varphi,[\varphi]_{\sigma * 1}\right\}\right)$. By induction hypothesis, $\mathcal{M}, \mathcal{I}_{v} \models \Gamma^{\prime}\left\{\Delta \varphi,[\varphi]_{\sigma * 1}\right\}$, from which it easily follows that $\mathcal{M}, \mathcal{I} \models \Gamma^{\prime}\{\Delta \varphi\}_{\sigma}$.

For BNUIP(iii)' for D-saturated sequent, we must change step (1) to preserve D-models. By Lemma 17, duplication used for K preserves D-models when applied to non-leaves of D-models because they are irreflexive. Now consider the case when $w=\mathcal{I}(\sigma)$ is a leaf of a


Figure 4 Additional transformation for constructing D-model $\mathcal{M}_{i}$ for reflexive leaves.
model $\mathcal{M}=(W, R, V)$, but node $\sigma$ has children in the sequent tree, which $\mathcal{I}$ can only map to $w$. To ensure injectivity, we construct an intermediate model $\mathcal{M}_{i}$ separating $\sigma$ from its children as follows (see Fig. 4 ):

$$
\begin{aligned}
W_{i} & :=W \sqcup\left\{w_{\sigma * n} \mid \sigma * n \in \mathcal{L}(\Gamma)\right\} \\
R_{i} & :=R \backslash\{(w, w)\} \sqcup\left\{\left(w, w_{\sigma * n}\right),\left(w_{\sigma * n}, w_{\sigma * n}\right) \mid \sigma * n \in \mathcal{L}(\Gamma)\right\} \\
V_{i}(q) & := \begin{cases}V(q) \sqcup\left\{w_{\sigma * n} \mid \sigma * n \in \mathcal{L}(\Gamma)\right\} & \text { if } w \in V(q), \\
V(q) & \text { if } w \notin V(q) .\end{cases}
\end{aligned}
$$

Accordingly, $\mathcal{I}_{i}(\tau):=w_{\sigma * n}$ if $\tau$ is a descendant of this $\sigma * n$ (or $\sigma * n$ itself) or $\mathcal{I}_{i}(\tau):=\mathcal{I}(\tau)$ if $\tau$ is not a descendant of any of $\sigma * n$. By reasoning similar to Lemma 17, it is easy to show that $\mathcal{M}_{i}$ is a D -model and $\left(\mathcal{M}_{i}, \mathcal{I}_{i}\right) \sim_{p}(\mathcal{M}, \mathcal{I})$ with all $w_{\sigma * n}$ being bisimilar to $w$. The replacements of step $\sqrt{27}$ preserve D-models by Lemma 17 . Step (3) requires no changes either. The only subtlety in the proof that $\mathcal{M}^{\prime}, \mathcal{I}^{\prime} \not \equiv \Gamma$ for a D-saturated $\Gamma$ is for $\sigma: \Delta \psi \in \Gamma$. The argument for $\mathcal{M}^{\prime}, \mathcal{I}^{\prime}(\sigma) \not \vDash \diamond \psi$ does work the same way as in K for the following reason. Since this $\diamond \psi$ is D-saturated, node $\sigma$ must have a child in the sequent tree. Injectivity of the constructed $\mathcal{I}^{\prime}$ means that $\mathcal{I}^{\prime}(\sigma)$ is not a leaf in the D-model $\mathcal{M}^{\prime}$ and, hence, not reflexive.

The only remaining new case is the application of the bottom row of Table 2 for a non-D-saturated $\sigma: \diamond \varphi$, i.e., when node $\sigma$ is a leaf of the sequent tree, in BNUIP(iii) $)^{\prime}$. Let

$$
\mathcal{M}, \mathcal{I} \not \vDash \bigoplus_{i=1}^{m}\left(\sigma: \diamond \delta_{i} \otimes \bigoplus_{\tau \neq \sigma * 1} \tau: \gamma_{i, \tau}\right) .
$$

By seriality of $\mathcal{M}$, there exists a world $v \in W$ such that $\mathcal{I}(\sigma) R v$. Then $\mathcal{J}:=\mathcal{I}^{\prime} \sqcup\{(\sigma * 1, v)\}$ is a multiworld interpretation of $\Gamma^{\prime}\left\{\Delta \varphi,[\varphi]_{\sigma * 1}\right\}$ into $\mathcal{M}$ such that

$$
\mathcal{M}, \mathcal{J} \not \models \bigotimes_{i=1}^{m}\left(\sigma * 1: \delta_{i} \otimes \bigoplus_{\tau \neq \sigma * 1} \tau: \gamma_{i, \tau}\right) .
$$

By induction hypothesis, there is a multiworld interpretation $\mathcal{J}^{\prime}$ of $\Gamma^{\prime}\left\{\Delta \varphi,[\varphi]_{\sigma * 1}\right\}$ into some D-model $\mathcal{M}^{\prime}$ such that $\left(\mathcal{M}^{\prime}, \mathcal{J}^{\prime}\right) \sim_{p}(\mathcal{M}, \mathcal{J})$ and $\mathcal{M}^{\prime}, \mathcal{J}^{\prime} \not \vDash \Gamma^{\prime}\left\{\Delta \varphi,[\varphi]_{\sigma * 1}\right\}$. Similar to the case of $\square \varphi$ for K , restricting this $\mathcal{J}^{\prime}$ to the labels of $\Gamma$ yields a multiworld interpretation bisimilar to $\mathcal{I}$ and refuting $\Gamma=\Gamma^{\prime}\{\Delta \varphi\}_{\sigma}$.

## 4 Uniform interpolation for S5

The uniform interpolation property easily follows for logics satisfying local tabularity and the Craig interpolation property [6]. A logic is locally tabular if there are only finitely many pairwise nonequivalent formulas for each finite set of atomic propositions. Examples of locally
tabular logics are classical propositional logic and S5. In this case, the left interpolant $\forall p \varphi$ can be taken to be the disjunction of all formulas $\psi$ without $p$ implying $\varphi$ (accordingly, the right interpolant $\exists p \varphi$ is the conjunction of all formulas $\psi$ without $p$ implied by $\varphi$ ).

Although proving uniform interpolation for S5 is therefore simple, we want to use our method applied to a hypersequent calculus for S 5 , which provides a direct construction for the interpolants. Important for our method are the form of Kripke models and the structure of the proof system. For K, T, and D we used intransitive treelike models and nested sequents mimicking this treelike structure, which fit well with the recursive step of our method. S5 is complete with respect to single finite clusters, i.e., finite models with the total accessibility relation. In the rest of this section we only work with these kinds of models, i.e., it is assumed that $R=W \times W$.

Cut-free hypersequent calculi for S 5 were first (independently) introduced in [1, 22, 26]. A hypersequent has the form $\mathcal{G}=\Gamma_{1}|\cdots| \Gamma_{n}$ where $\Gamma_{i}$ 's are multisets of formulas in negation normal form, and its corresponding formula $\iota(\mathcal{G}):=\square\left(\bigvee \Gamma_{1}\right) \vee \cdots \vee \square\left(\bigvee \Gamma_{n}\right)$. We use letters $\mathcal{G}$ and $\mathcal{H}$ to denote hypersequents. Among the many existing hypersequent calculi, we use the one closest to tableaus. The hypersequent rules for S5 used here are presented in Fig. 5 These modal rules can be found (as derived rules) in [9]. They are the sequent-style equivalent of what Fitting called there the "Simple S5 Tableau System," i.e., prefixed tableaus with prefixes being integers rather than sequences of integers, and are used to reduce hypersequent completeness to tableau completeness. The same rules can be obtained by Kleene'ing the S5 hypersequent calculus from [27] as explained in [20, Sect. 5] (strictly speaking, rules in [20] are grafted hypersequent rules for K 5 , but the crown rules for these grafted hypersequents are exactly the hypersequent rules for S 5 ; another minor difference is that we are using one-sides sequents and negation normal form). Being Kleene'd, these rules form a terminating calculus for S 5 under the proviso that $k$ and $t$ be applied only if the principal $\Delta \varphi$ in their conclusion is saturated w.r.t. the component of the active formula $\varphi$ and that all the other rules are applied only when their principal formula is not saturated in the conclusion, as defined presently.

$$
\begin{gathered}
\operatorname{idp}_{\overline{\mathcal{G} \mid \Gamma, p, \bar{p}}}^{\text {id }_{\mathrm{T}} \overline{\mathcal{G} \mid \Gamma, \top}} \\
\vee \frac{\mathcal{G} \mid \Gamma, \varphi \vee \psi, \varphi, \psi}{\mathcal{G} \mid \Gamma, \varphi \vee \psi} \quad \wedge \frac{\mathcal{G} \mid \Gamma, \varphi \wedge \psi, \varphi}{\mathcal{G} \mid \Gamma, \varphi \wedge \psi} \mathcal{\mathcal { G } | \Gamma , \varphi \wedge \psi , \psi} \\
\square \frac{\mathcal{G}|\Gamma, \square \varphi| \varphi}{\mathcal{G} \mid \Gamma, \square \varphi} \quad \mathrm{k} \frac{\mathcal{G}|\Gamma, \diamond \varphi| \Delta, \varphi}{\mathcal{G}|\Gamma, \diamond \varphi| \Delta} \quad \mathrm{t} \frac{\mathcal{G} \mid \Gamma, \Delta \varphi, \varphi}{\mathcal{G} \mid \Gamma, \diamond \varphi}
\end{gathered}
$$

Figure 5 Terminating hypersequent rules for S5

- Definition 40 (Saturation in hypersequents). A formula $\theta$ is saturated in a hypersequent $\mathcal{H} \mid \Gamma, \theta$ if it satisfies the following conditions according to the form of $\theta$ :
- $\theta$ is an atomic formula;
- if $\theta=\varphi \vee \psi$, then both $\varphi$ and $\psi$ are in $\Gamma$;
- if $\theta=\varphi \wedge \psi$, then at least one of $\varphi$ or $\psi$ is in $\Gamma$;
- if $\theta=\square \varphi$, then $\varphi$ is either in $\mathcal{H}$ or in $\Gamma$;

The formula $\theta=\diamond \varphi$ is saturated with respect to a sequent component of $\mathcal{H}$ if $\varphi$ is in that sequent component. A hypersequent $\mathcal{G}$ is saturated if all diamond formulas in it are saturated w.r.t. each sequent component of $\mathcal{G}$, all other formulas are saturated, and, additionally, $\mathcal{G}$ is neither of the form $\mathcal{H} \mid \Gamma, \top$ nor of the form $\mathcal{H} \mid \Gamma, p, \bar{p}$ for any atomic proposition $p \in$ Prop.

Labels for hypersequents are natural numbers. For a hypersequent $\mathcal{G}=\Gamma_{1}|\cdots| \Gamma_{n}$ we use the set of labels $\mathcal{L}(\mathcal{G})=\{1, \ldots, n\}$. We define multiworld interpretations and multiformulas for hypersequents by analogy with nested sequents, but now using natural numbers as labels. 7

- Definition 41. $A$ cluster-like multiworld interpretation of a hypersequent $\mathcal{G}=\Gamma_{1}|\cdots| \Gamma_{n}$ into an S5-model $\mathcal{M}=(W, W \times W, V)$ is a function $\mathcal{I}:\{1, \ldots, n\} \rightarrow W$.

Within this section, by "multiworld interpretation" we always mean "cluster-like multiworld interpretation." Note that there is no restriction on the image of $\mathcal{I}$, because we work with S 5 -models where all worlds are related to each other. For a fixed multiworld interpretation $\mathcal{I}$, we usually write $w_{i}$ instead of $\mathcal{I}(i)$ and represent the whole $\mathcal{I}$ by $w_{1}, \ldots, w_{n}$. A multiworld interpretation $w_{1}, \ldots, w_{n}$ is injective if the worlds $w_{i}$ are pairwise disjoint. The rest of the definitions and results for hypersequents are completely analogous to the nested sequent setting (modulo the change of labels into natural numbers). The analog of Def. 12 is

- Definition 42. Let $\mathcal{M}$ be a model with worlds $w_{1}, \ldots, w_{n}$ and let $\mathcal{G}=\Gamma_{1}|\cdots| \Gamma_{n}$ be a hypersequent. We say that $\mathcal{M}, w_{1}, \ldots, w_{n} \models \mathcal{G}$ iff

$$
\mathcal{M}, w_{i} \models \varphi \text { for some } i \text { and } \varphi \in \Gamma_{i} .
$$

A hypersequent $\mathcal{G}$ is valid in a model $\mathcal{M}$, denoted $\mathcal{M} \models \mathcal{G}$, when $\mathcal{M}, w_{1}, \ldots, w_{n} \models \mathcal{G}$ for all multiworld interpretations $w_{1}, \ldots, w_{n}$ of $\mathcal{G}$ into $\mathcal{M}$.

We have completeness for the validity of hypersequents, i.e., $\mathcal{M} \models \mathcal{G}$ iff $\mathcal{M} \models \iota(\mathcal{G})$, for all hypersequents $\mathcal{G}$ and S5-models $\mathcal{M}$.

A multiformula is similarly defined as in Def. 19, where we now use natural numbers as labels instead of sequences of natural numbers, i.e., use $n$ instead of $\sigma$. All definitions and lemmas about multiformulas based on nested sequents also apply to the hypersequent setting (Def. 21 until Lemma 26).

Uniform interpolation for hypersequents is defined in the same way as for nested sequents. All definitions and lemmas between Def. 27 and Cor. 33 are naturally adapted to the hypersequent setting. Instead of NUIP and BNUIP we now speak of the hypersequent uniform interpolation property (HUIP) and the bisimulation hypersequent uniform interpolation property (BHUIP) respectively.

So far, everything goes analogously to the nested sequent case. Even defining the uniform interpolants seems to work analogously. However, when performing the inductive proof (analogous to Theorem 35) ensuring that those are actual uniform interpolants, one runs into a problem in the recursive case for saturated sequents. Roughly speaking, the problem is caused by the fact that in S5-models, the truth of a formula in one world generally depends on all the worlds, including its immediate "parent." Contrast this with treelike models where the truth of a formula in a world is fully determined by its descendants which are disjoint from its parent, as well as from its siblings and their descendants. The reason this feature of

[^5]| $\mathcal{G}$ matches | $A_{p}(\mathcal{G})$ equals |
| :--- | :--- |
| $\mathcal{G}^{\prime} \mid\{\Gamma, \top\}_{k}$ | $k: \top$ |
| $\mathcal{G}^{\prime} \mid\{\Gamma, p, \bar{p}\}_{k}$ | $k: \top$ |
| $\mathcal{G}^{\prime} \mid \Gamma, \varphi \vee \psi$ | $A_{p}\left(\mathcal{G}^{\prime} \mid \Gamma, \varphi, \psi, \varphi \vee \psi\right)$ |
| $\mathcal{G}^{\prime} \mid \Gamma, \varphi \wedge \psi$ | $A_{p}\left(\mathcal{G}^{\prime} \mid \Gamma, \varphi, \varphi \wedge \psi\right) \nsubseteq A_{p}\left(\mathcal{G}^{\prime} \mid \Gamma, \psi, \varphi \wedge \psi\right)$ |
| $\mathcal{G}^{\prime} \mid\{\Gamma, \square \varphi\}_{k}$ | $\mathbb{\bigotimes}_{i=1}^{m}\left(k: \square \delta_{i} \otimes \bigotimes_{j \leq k}\left(j: \gamma_{i, j}\right)\right)$ where the SCNF of |
|  | $A_{p}\left(\mathcal{G}^{\prime}\left\|\{\Gamma, \square \varphi\}_{k}\right\| \varphi\right)$ is $\mathbb{Q}_{i=1}^{m}\left(k+1: \delta_{i} \boxtimes \bigotimes_{j \leq k}\left(j: \gamma_{i, j}\right)\right)$ |
| $\mathcal{G}^{\prime} \mid \Gamma, \diamond \varphi$ | $A_{p}\left(\mathcal{G}^{\prime} \mid \Gamma, \diamond \varphi, \varphi\right)$ |
| $\mathcal{G}^{\prime}\|\Gamma, \diamond \varphi\| \Delta$ | $A_{p}\left(\mathcal{G}^{\prime}\|\Gamma, \diamond \varphi\| \Delta, \varphi\right)$ |

Table 3 Recursive construction of $A_{p}(\Gamma)$ for S5-hypersequents for $\mathcal{G}$ that are not saturated.
cluster-like models is problematic is that changing the valuation of $p$ in a later recursive call may conflict with valuations of $p$ necessitated by the preceding one.

To circumvent this problem, we use a special property of S5: every modal formula is S5-equivalent to a formula of modal depth 1 (see [8, Sect. 5.13], where Fitting proved this in order to establish Craig interpolation for S5). This means that we can restrict ourselves to formulas where each literal $q$ or $\bar{q}$ is under the scope of at most one modality. Therefore, after stripping this one modality away, the resulting formulas are purely propositional, meaning that no further recursive calls are needed and, at the same time, that their truth values depends on the valuation in only one world instead of all worlds in the model. This resolves the aforementioned conflict between recursive calls.

So from now on, we only consider hypersequents $\mathcal{G}=\Gamma_{1}|\cdots| \Gamma_{n}$, where each $\Gamma_{i}$ contains only formulas of modal depth $\leq 1$. With that in mind, we define multiformula interpolants $A_{p}(\mathcal{G})$ for hypersequents $\mathcal{G}$. If $\mathcal{G}$ is not saturated, $A_{p}(\mathcal{G})$ is defined in Table 3 following the finite proof-search tree of the hypersequent. In particular, $\varphi \vee \psi, \varphi \wedge \psi$, and $\square \varphi$ must be non-saturated; in the rule for $\square \varphi$, w.l.o.g. we assume $k$ to be the largest label; the penultimate row is applied only if $\Delta \varphi$ is not saturated w.r.t. its own component; and the last row is only applied if $\Delta \varphi$ is not saturated w.r.t. the component containing the displayed $\Delta$.

For saturated $\mathcal{G}$, we define

$$
\begin{equation*}
A_{p}(\mathcal{G}) \quad:=\bigotimes_{\substack{k: \ell \in \mathcal{G} \\ \ell \in \mathrm{Lit} \backslash\{p, \bar{p}\}}} k: \ell \quad \otimes \quad 1: \Delta \forall p\left(\bigvee_{\diamond \psi \in \mathcal{G}} \psi\right) \tag{8}
\end{equation*}
$$

where $(\forall p) \xi$ represents the uniform interpolant of a propositional formula $\xi$ w.r.t. classical propositional logic. Any known algorithm for its computation can be used. The construction of $A_{p}(\mathcal{G})$ is well-defined because the recursion in Table 3 terminates by the termination of the rules.

- Theorem 43. Logic S 5 has the BHUIP.

Proof. We follow the proof of Theorem 35 showing the three condition for BHUIP. It is easily seen that $A_{p}(\mathcal{G})$ does not contain $p$ and that its labels are from $\mathcal{G}$.

For BHUIP(iii), let $w_{1}, \ldots, w_{n}$ be a multiworld interpretation of a hypersequent $\mathcal{G}$, and of the multiformula $A_{p}(\mathcal{G})$, into an S5-model $\mathcal{M}=(W, W \times W, V)$. We use induction to show

$$
\mathcal{M}, w_{1}, \ldots, w_{n} \models A_{p}(\mathcal{G}) \quad \text { implies } \quad \mathcal{M}, w_{1}, \ldots, w_{n} \models \mathcal{G} .
$$

First we treat some cases from Table 3 and then we consider the case where $\mathcal{G}$ is saturated.

- Both $\mathcal{G} \mid\{\Gamma, p, \bar{p}\}_{k}$ and $\mathcal{G} \mid\{\Gamma, \top\}_{k}$ hold in all models, under all interpretations.
- Boolean cases work the same way as for nested sequents.
- The case of $\square \varphi$ is also very similar. The only difference from the nested case for K is that instead of considering only children of the node where $\square \varphi$ needs to be true in a treelike model, here we have to consider all worlds in the model. Otherwise, the reasoning is the same.
- The penultimate row of Table 3 can be processed the same way as the row for T in Table 2 because 55 -models are similarly reflexive.
- The last row of Table 3 works the same way as the last row of Table 1 because the interpretation of the label with $\varphi$ is in both cases accessible from the interpretation of the label with $\diamond \varphi$.
- Finally, if $\mathcal{G}$ is saturated, let $\mathcal{M}, w_{1}, \ldots, w_{n} \models A_{p}(\mathcal{G})$ for $A_{p}(\mathcal{G})$ from (8). As for nested sequents, the case of $\mathcal{M}, w_{1}, \ldots, w_{n} \models k: \ell$ with $k: \ell \in \mathcal{G}$ is straightforward. It remains to consider the case when, $\mathcal{M}, w_{1} \models \diamond \forall p\left(\bigvee_{\diamond \psi \in \mathcal{G}} \psi\right)$. This means that there is a $v \in W$ such that $\mathcal{M}, v \models \forall p\left(\bigvee_{\diamond \psi \in \mathcal{G}} \psi\right)$. Since $\forall p \xi \rightarrow \xi$ is a propositional tautology for any $\xi$ by Def. 18, we have $\mathcal{M}, v \models \psi$ for some $\diamond \psi \in \mathcal{G}$. Therefore $\mathcal{M}, w_{k} \models \diamond \psi$ for all $k$, including the label of the component containing $\diamond \psi$. Thus, $\mathcal{M}, w_{1}, \ldots, w_{n} \models \mathcal{G}$.
For BHUIP(iii) ${ }^{\prime}$, let $w_{1}, \ldots, w_{n}$ a multiworld interpretation of $\mathcal{G}$ into an S5-model $\mathcal{M}=$ $(W, W \times W, V)$ such that $\mathcal{M}, w_{1}, \ldots, w_{n} \not \models A_{p}(\mathcal{G})$. We need to find worlds $w_{1}^{\prime}, \ldots, w_{n}^{\prime}$ from another S5-model $\mathcal{M}^{\prime}=\left(W^{\prime}, W^{\prime} \times W^{\prime}, V^{\prime}\right)$ such that $\left(\mathcal{M}, w_{1}, \ldots, w_{n}\right) \sim_{p}\left(\mathcal{M}^{\prime}, w_{1}^{\prime}, \ldots, w_{n}^{\prime}\right)$ and $\mathcal{M}^{\prime}, w_{1}^{\prime}, \ldots, w_{n}^{\prime} \not \equiv \mathcal{G}$. We define $\mathcal{M}^{\prime}$ and $w_{1}^{\prime}, \ldots w_{n}^{\prime}$ and prove BHUIP(iii) ${ }^{\prime}$ by simultaneous recursion. We first consider the case where $\mathcal{G}$ is saturated, then we show several cases following Table 3
- For $\mathcal{G}$ being saturated, we assume $\mathcal{M}, w_{1}, \ldots, w_{n} \not \vDash A_{p}(\mathcal{G})$ for $A_{p}(\mathcal{G})$ from (8). We have three steps in the construction of model $\mathcal{M}^{\prime}$, which can be compared to the steps of the construction in Theorem 35,
(1) Whenever $w_{i}=w_{j}$, duplicate this world, until all $w_{i}$ 's are distinct. Clearly, this yields a $p$-bisimilar model $\mathcal{N}=\left(W^{\prime}, W^{\prime} \times W^{\prime}, V_{\mathcal{N}}\right)$ with $W^{\prime} \supseteq W$ and an injective multiworld interpretation $w_{1}^{\prime}, \ldots, w_{n}^{\prime}$ of $\mathcal{G}$ into $\mathcal{N}$ such that $\mathcal{N}, w_{1}^{\prime}, \ldots, w_{n}^{\prime} \not \equiv A_{p}(\mathcal{G})$.
(2) Now we construct a model $\mathcal{N}^{\prime}$ from $\mathcal{N}$ by changing valuations of $p$ in all worlds $v \notin\left\{w_{1}^{\prime}, \ldots, w_{n}^{\prime}\right\}$. It follows from the last disjunct in (8) that $\mathcal{N}, v \not \vDash \forall p\left(\bigvee_{\diamond \psi \in \mathcal{G}} \psi\right)$ for all such $v$. It is a straightforward consequence of Def. 18 for the purely propositional formula $\bigvee_{\diamond \psi \in \mathcal{G}} \psi$ that it is possible to modify the valuation $V_{\mathcal{N}}(p)$ in such a way that for the resulting $\mathcal{N}^{\prime}:=\left(W^{\prime}, W^{\prime} \times W^{\prime}, V_{\mathcal{N}}^{\prime}\right)$ we have $\mathcal{N}^{\prime}, v \not \vDash \bigvee_{\diamond \psi \in \mathcal{G}} \psi$ for all worlds $v \notin\left\{w_{1}^{\prime}, \ldots, w_{n}^{\prime}\right\}$. Changing only truth values of $p$ results in a $p$-bisimilar model.
(3) Finally, we define model $\mathcal{M}^{\prime}:=\left(W^{\prime}, W^{\prime} \times W^{\prime}, V_{p}^{\prime}\right)$ to be the same as model $\mathcal{N}^{\prime}$ except for valuations of $p$ as follows: $V_{p}^{\prime}(p):=V_{\mathcal{N}}^{\prime}(p) \sqcup\left\{w_{k}^{\prime} \mid k: \bar{p} \in \mathcal{G}\right\} \backslash\left\{w_{k}^{\prime} \mid k: p \in \mathcal{G}\right\}$. Note that the resulting model is still $p$-bisimilar and, moreover, $\mathcal{M}^{\prime}, v \not \vDash \bigvee_{\diamond \psi \in \mathcal{G}} \psi$ still holds for all $v \notin\left\{w_{1}^{\prime}, \ldots, w_{n}^{\prime}\right\}$.
This finishes the construction.
Now we prove that $\mathcal{M}^{\prime}, w_{k}^{\prime} \not \models \varphi$ whenever $k: \varphi \in \mathcal{G}$ by induction on the structure of $\varphi$.
- We leave the cases for $\mathrm{T}, \perp, p, \bar{p}, \psi \vee \psi^{\prime}$, and $\psi \wedge \psi^{\prime}$, which are analogous to K , to the reader.
= If $k: \square \psi \in \mathcal{G}$, then by saturation, there is a label $l$ such that $l: \psi \in \mathcal{G}$. By induction hypothesis, $\mathcal{M}^{\prime}, w_{l}^{\prime} \not \vDash \psi$. Therefore, $\mathcal{M}^{\prime}, w_{k}^{\prime} \not \models \square \varphi$.
- If $k: \Delta \psi \in \mathcal{G}$, then for each $v \in W^{\prime}$ we have to prove $\mathcal{M}^{\prime}, v \not \models \psi$. First, consider $v=w_{l}^{\prime}$ for some $l$. Since $\mathcal{G}$ is saturated, $l: \psi \in \mathcal{G}$. By induction hypothesis $\mathcal{M}^{\prime}, w_{l}^{\prime} \not \models \psi$. Otherwise, if $v \notin\left\{w_{1}^{\prime}, \ldots, w_{n}^{\prime}\right\}$, the falsity of $\psi$ was assured in step (3). Thus, $\mathcal{M}^{\prime}, w_{k}^{\prime} \not \models \diamond \psi$.

There is nothing new for non-saturated cases from Table 3. Most of them work the same way as for K , with the exception of the penultimate row that works the same way as for T and uses reflexivity of S5-models.

## 5 Conclusion

We have developed a constructive method of proving uniform interpolation based on generalized sequent calculi such as nested sequents and hypersequents. While this is an important and natural step to further exploit these formalisms, much remains to be done. This method works well for the non-transitive logics K, D, and T but meets with difficulties, e.g., for S5, which is also known to enjoy uniform interpolation. And while we successfully adapted the method to hypersequents to cover this logic, the adaptation relies on the reduction to uniform interpolation for classical propositional logic and, thus, is not fully recursive. There are other logics in the so-called modal cube between K and S 5 with the UIP, for which it remains to find the right formalism and adaptation of our method. Another natural direction of future work is intermediate logics, where exactly seven logics are known to have the UIP.

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[^0]:    1 More precisely, the method enables one to find interpolants efficiently rather than by an exhaustive search of all formulas, the search that terminates due to the proven existence of an interpolant.
    2 Nested sequents are also known as tree-hypersequents 25] or deep sequents [5] in the literature.

[^1]:    ${ }^{3}$ Labeled nested sequents are closely related to labelled sequents from 23] but retain the nested notation.

[^2]:    ${ }^{4}$ Here $v^{c}:=(v, c), W_{w}^{c}:=\left\{v^{c} \mid v \in W_{w}\right\}, R_{w}^{c}:=\left\{\left(v^{c}, u^{c}\right) \mid(v, u) \in R_{w}\right\}$, and $V_{w}^{c}(q):=\left\{v^{c} \mid v \in V_{w}(q)\right\}$.

[^3]:    5 Strictly speaking, this is a non-deterministic algorithm. Since the order does not affect our results, we do not specify it. However, it is more efficient to apply rows $1-2$ of Table 1 first and row 5 last.

[^4]:    ${ }^{6}$ It must be injective as a function, i.e., $\mathcal{I}^{\prime}(\sigma)=\mathcal{I}^{\prime}(\tau)$ implies $\sigma=\tau$.

[^5]:    7 Strictly speaking, these labels impose an ordering on the sequent components turning it into a sequence of sequents rather than a multiset of sequents. Since permuting sequent components is both trivial and tedious, we continue with the multiset representation, stating labels explicitly if necessary.

